

# Canonical Representations of $k$ -Safety Hyperproperties



Reactive  
Systems  
Group

**Bernd Finkbeiner**, Lennart Haas, Hazem Torfah  
Saarland University

## This paper

Representing  
**hyperproperties** such as noninterference, observational determinism etc.  
canonically as **automata**

- Foundation for automata-based **analysis** techniques such as **monitoring**,
- and for **constructive** techniques such as **learning**.

# Hyperproperties

## Sets

Hyperproperty  
= a **set of sets of traces**  
[Clarkson & Schneider '10]

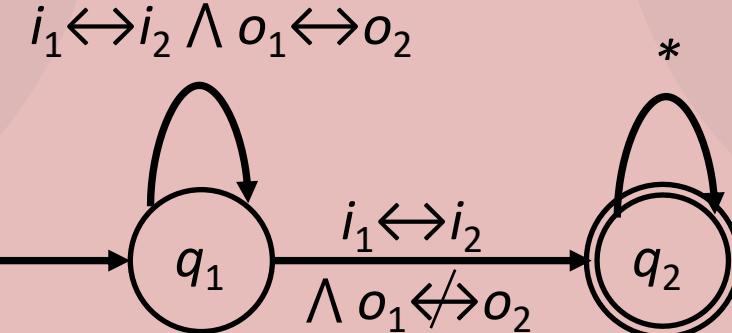
$$\{T \subseteq \Sigma^\omega \mid \forall t, t' \in T. t =_i t' \Rightarrow t =_o t'\}$$

## Logic

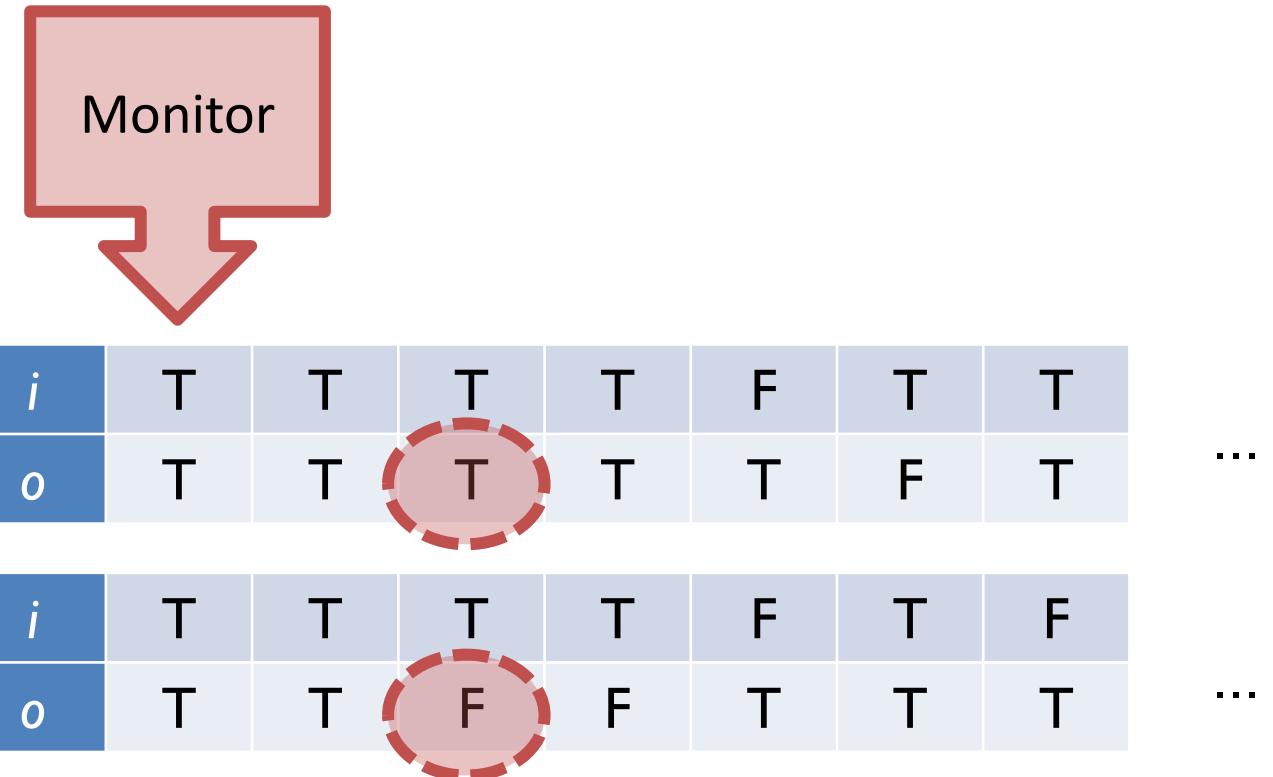
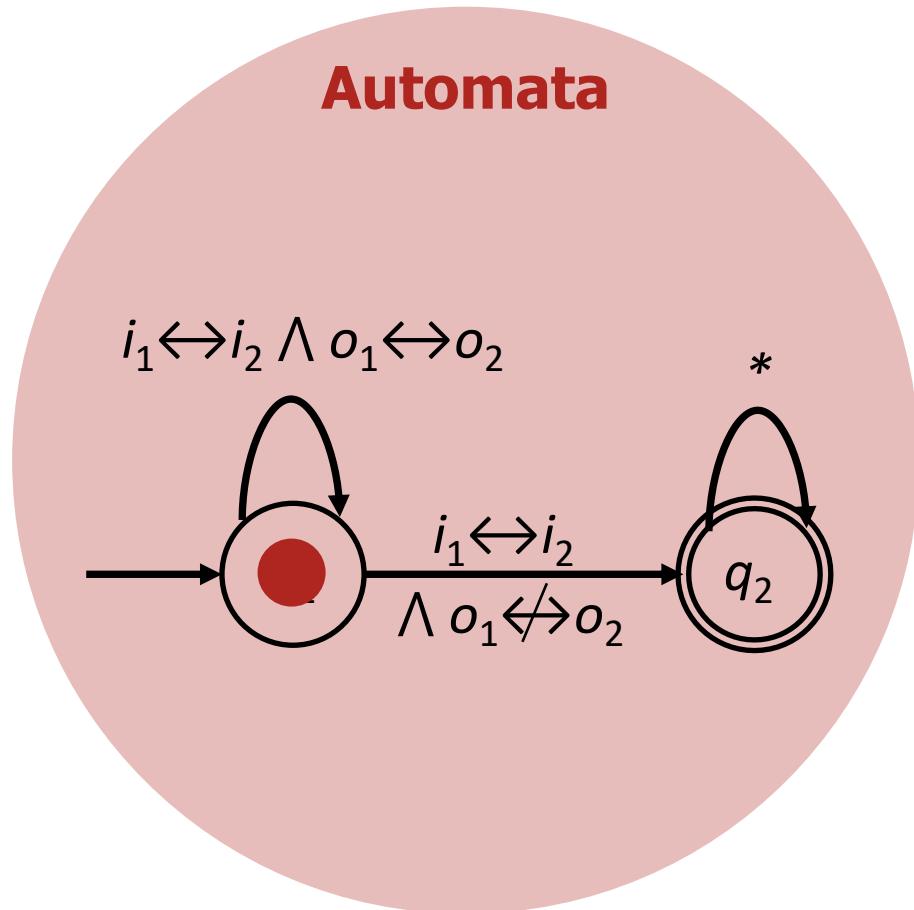
HyperLTL  
= LTL + **trace quantifiers**

$$\forall \pi, \pi'. (o_\pi \leftrightarrow o_{\pi'}) \text{ W-Until } (i_\pi \not\leftrightarrow i_{\pi'})$$

## Automata



# Monitoring

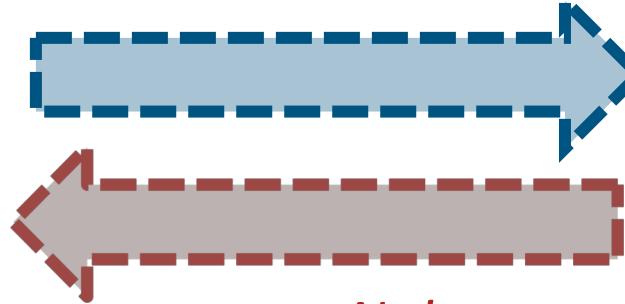


Automata provide an **operational semantics** for monitoring

# Learning



*Does the trace set  $T$  satisfy  
the hyperproperty?*



*No!*

## Student

Does **not** know hyperproperty  
Asks queries to teacher  
Learns automaton from answers

## Teacher

Knows hyperproperty  
Answers queries  
Can be human or automated  
(e.g., HyperLTL tool)

Automata provide a **canonical representation** for learning

## Bad prefixes

<i>i</i>	T	T	T	T	T	F	T	T
<i>o</i>	T	T	T	T	T	F	T	T
<i>i</i>	T	T	T	T	F	T	T	F
<i>o</i>	T	T	T	F	T	T	T	T
<i>i</i>	F	F	T	F	T	F	T	T
<i>o</i>	T	F	F	T	T	T	T	T
<i>i</i>	T	F	T	T	F	T	T	T
<i>o</i>	T	F	F	T	T	F	T	T
:								

...

...

...

...

## Bad prefixes

<i>i</i>	T	T	T
<i>o</i>	T	T	T
<i>i</i>	T	T	T
<i>o</i>	T	T	F

A **bad prefix** of a hyperproperty  $H$  is a **finite set of finite traces** such that **every extension** to a set of infinite traces **violates  $H$** .

A hyperproperty  $H$  is **safety** if every trace set that **violates  $H$**  has a **bad prefix**.

A hyperproperty  $H$  is  **$k$ -safety** if every trace set that violates  $H$  has a bad prefix **of size  $k$** .

## Representing sets of traces

{

$i$	T	T	T
$o$	T	T	T
$i$	T	T	T
$o$	T	T	F

}



$T_1$

$i$	T	T	T	
$o$	T	T	T	

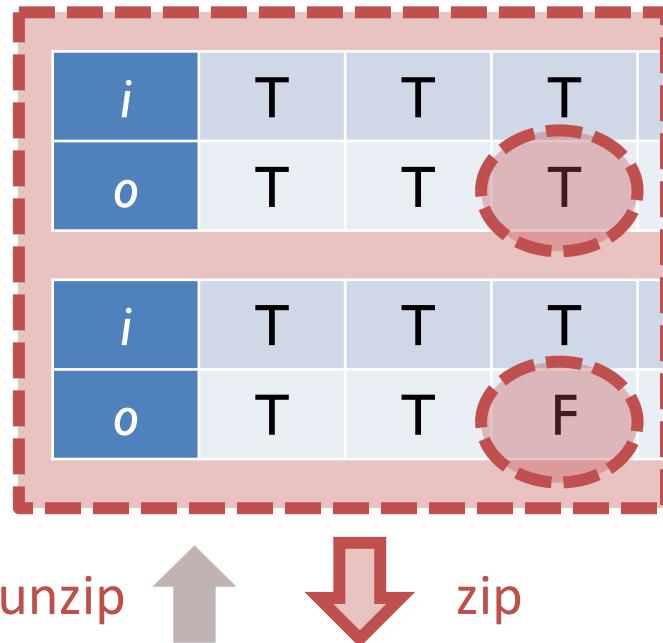
$i_1$	T	T	T
$o_1$	T	T	T
$i_2$	T	T	T
$o_2$	T	T	F



$T_2$

$i$	T	T	T	
$o$	T	T	F	

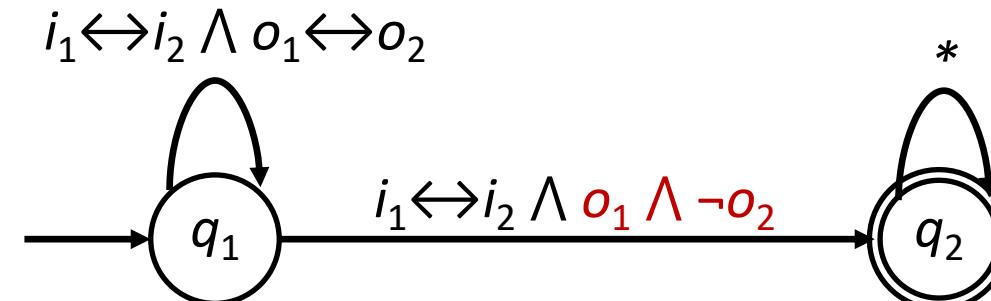
## Representing hyperproperties



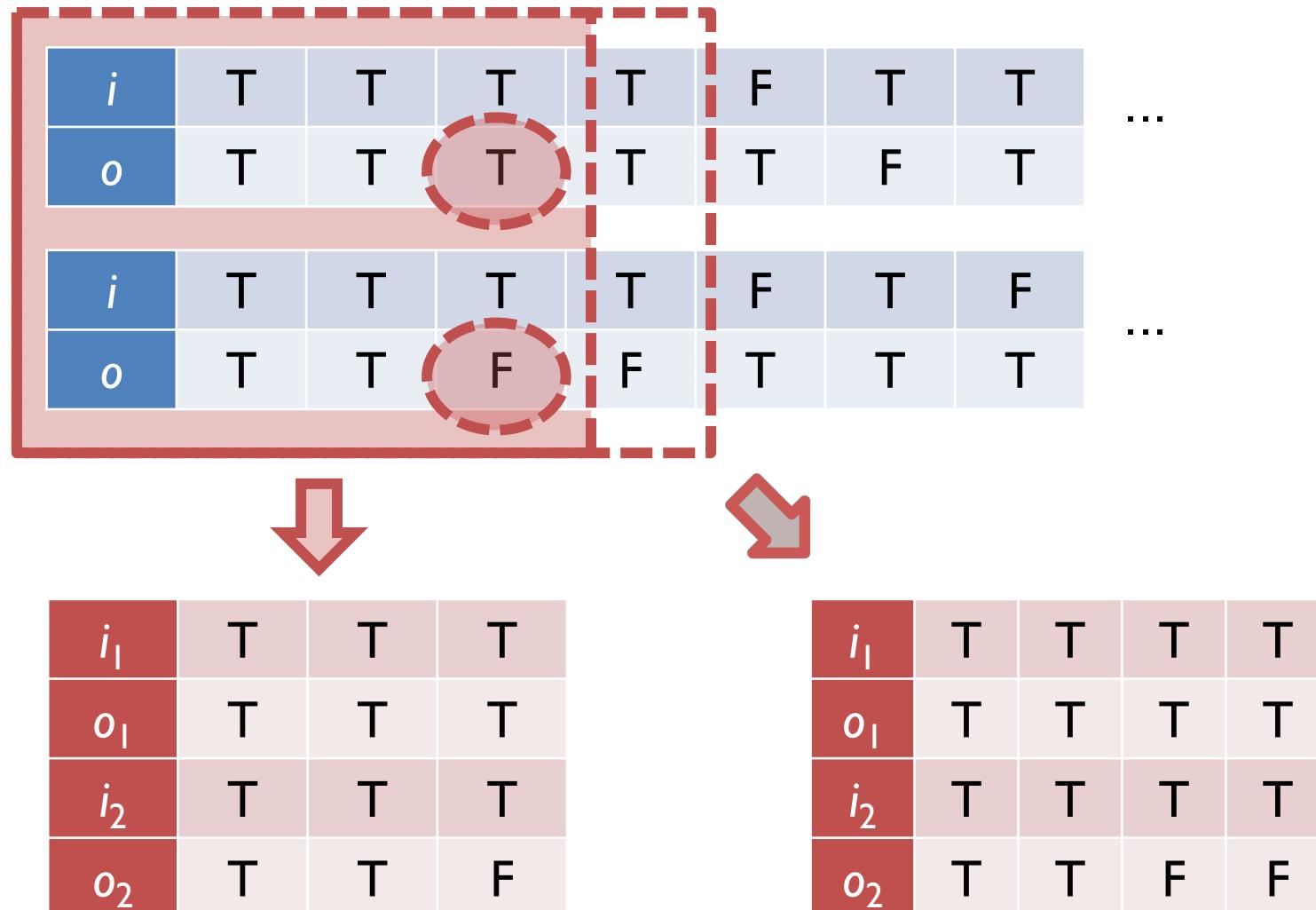
$i_1$	T	T	T
$o_1$	T	T	T
$i_2$	T	T	T
$o_2$	T	T	F

A **bad-prefix automaton** of a  $k$ -safety hyperproperty  $H$  is an automaton  $A$  over **finite words** of  $k'$ -tuples such that, for every set of traces  $T$ ,

$T$  violates  $H$  iff  $L(A)$  contains a word  $\sigma$  s.t.  
 $\text{unzip}(\sigma)$  is a prefix of  $T$



## Horizontal tightness



## Vertical tightness

$i$	T	T	T	T	T	F	T	T
$o$	T	T	T	T	T	T	F	T
$i$	T	T	T	T	T	F	T	F
$o$	T	T	T	F	T	T	T	T
$i$	F	F	T	T	F	T	F	T
$o$	T	F	F	T	T	T	T	T
$i$	T	F	T	T	F	T	T	T
$o$	T	F	F	T	T	F	T	T
:								

...

...

...

...



$i_1$	T	T	T
$o_1$	T	T	T
$i_2$	T	T	T
$o_2$	T	T	F
$i_1$	T	T	T
$o_1$	T	T	T
$i_2$	T	T	T
$o_2$	T	T	F
$i_3$	F	F	T
$o_3$	T	F	F

## Tight automata

$i$	T	T	T	T	T	F	T	T
$o$	T	T	T	T	T	T	F	T
$i$	T	T	T	T	F	T	T	F
$o$	T	T	T	F	T	T	T	T
$i$	F	F	T	F	T	F	T	T
$o$	T	F	F	T	T	T	T	T
$i$	T	F	T	T	F	T	T	T
$o$	T	F	F	T	T	F	T	T

...  
A  $k$ -bad-prefix automaton is **tight** iff it accepts  
**some representation** of each bad prefix of size  $\leq k$

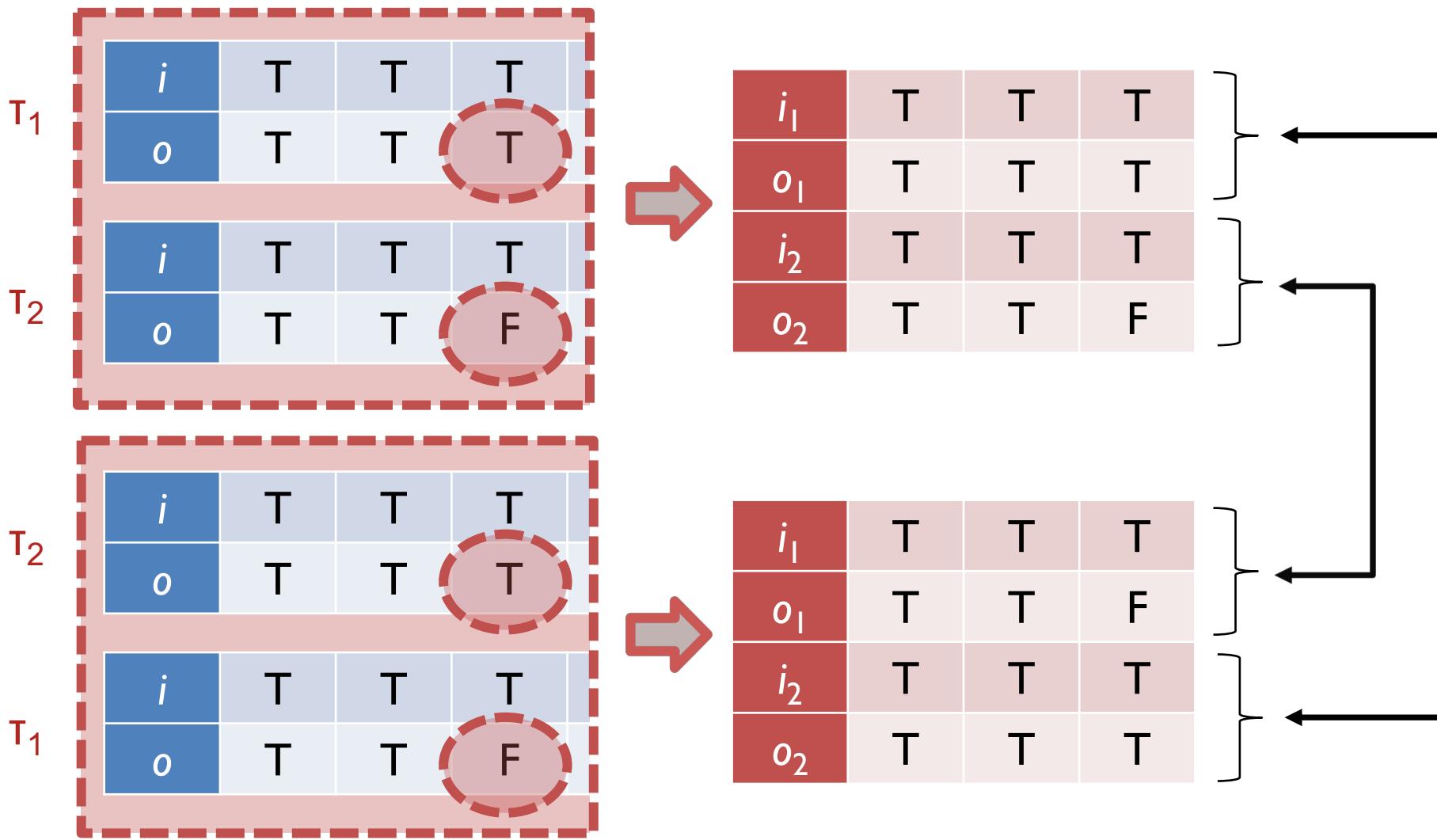
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## Permutation completeness



## Permutation completeness

$T_1$	<table border="1"><tr><td><math>i</math></td><td>T</td><td>T</td><td>T</td></tr><tr><td><math>o</math></td><td>T</td><td>T</td><td>T</td></tr></table>	$i$	T	T	T	$o$	T	T	T
$i$	T	T	T						
$o$	T	T	T						
$T_2$	<table border="1"><tr><td><math>i</math></td><td>T</td><td>T</td><td>T</td></tr><tr><td><math>o</math></td><td>T</td><td>T</td><td>F</td></tr></table>	$i$	T	T	T	$o$	T	T	F
$i$	T	T	T						
$o$	T	T	F						
$T_2$	<table border="1"><tr><td><math>i</math></td><td>T</td><td>T</td><td>T</td></tr><tr><td><math>o</math></td><td>T</td><td>T</td><td>T</td></tr></table>	$i$	T	T	T	$o$	T	T	T
$i$	T	T	T						
$o$	T	T	T						
$T_1$	<table border="1"><tr><td><math>i</math></td><td>T</td><td>T</td><td>T</td></tr><tr><td><math>o</math></td><td>T</td><td>T</td><td>F</td></tr></table>	$i$	T	T	T	$o$	T	T	F
$i$	T	T	T						
$o$	T	T	F						

A  $k$ -bad-prefix automaton is **tight** iff it accepts **some representation** for each bad prefix of size  $\leq k$

A  $k$ -bad-prefix automaton is **permutation-complete** iff it accepts **every representation** for each bad prefix it accepts.

### Theorem:

A minimal deterministic **tight permutation-complete**  $k$ -bad-prefix automaton is a **canonical representation** for (regular)  $k$ -safety hyperproperties.

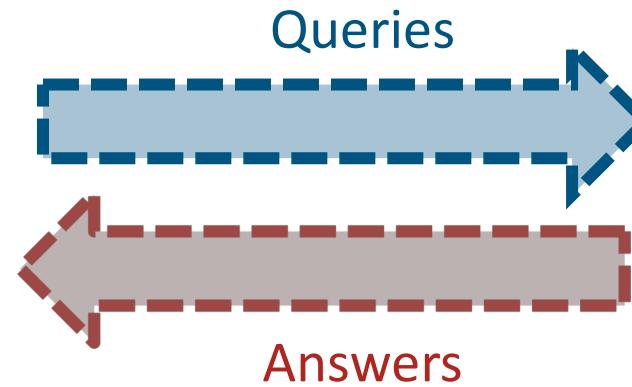
## Direct automata constructions

### Construction:

For a  $k$ -safety hyperproperty  $S$ , we can construct a **deterministic**, **tight**, and **permutation-complete** bad-prefix automaton of size

- **polynomial in  $|A|$  and doubly exponential in  $k \cdot \log(k)$**  if  $S$  is given as a deterministic bad-prefix automaton  $A$
- **exponential in  $|A|$  and doubly exponential in  $k \cdot \log(k)$**  if  $S$  is given as a nondeterministic bad-prefix automaton  $A$

## Learning regular languages: $L^*$



Teacher answers two types of queries:

- **Membership queries**

*Is the word  $\sigma$  in the language?*

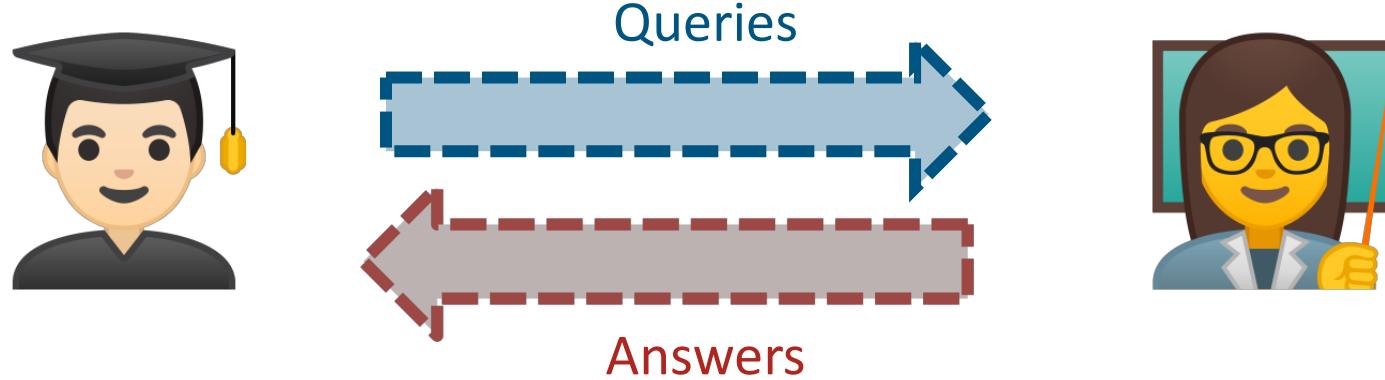
*Yes/No*

- **Equivalence queries:**

*Does the automaton  $A$  recognize the language?*

*Yes/No: counterexample*

## Learning hyperproperties: L\* Hyper



Teacher answers two types of queries:

- **Membership queries**

*Does the trace set  $T$  satisfy the hyperproperty?*

*Yes/No*

- **Equivalence queries:**

*Is the automaton  $A$  a bad-prefix automaton for the hyperproperty?*

*Yes/No: counterexample*

## Observation tables

		Separating sequences $E \subseteq \Sigma^*$	
		$\epsilon$	$\neg a$
Accessing sequences $S \subseteq \Sigma^*$	$\epsilon$	0	1
	$\neg a$	1	1
	$a$	0	0
	$a \cdot \neg a$	0	0
$S \cdot \Sigma$	$\neg a \cdot a$	1	1
	$\neg a \cdot \neg a$	1	1
	$a \cdot a$	0	0
	$a \cdot \neg a \cdot a$	0	0
	$a \cdot \neg a \cdot \neg a$	0	0

Observation table stores results of membership queries

accessing seq · separating seq

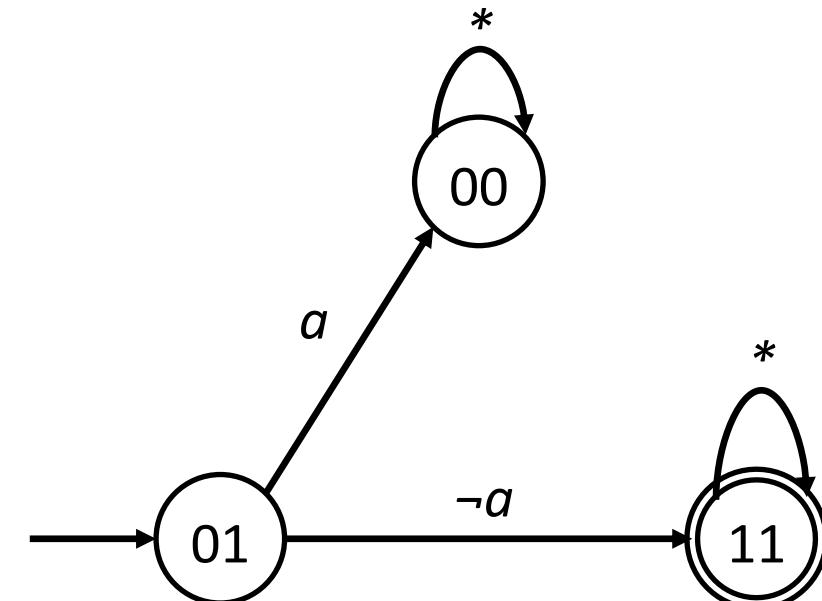
E.g.  $\neg a \cdot \neg a$  is in the language

## Observation tables

Separating sequences  $E \subseteq \Sigma^*$

		$\epsilon$	$\neg a$
		0	1
Accessing sequences $S \subseteq \Sigma^*$		0	1
$S \cdot \Sigma$		1	1
$\epsilon$		0	0
$\neg a$		0	0
$a$		1	1
$a \cdot \neg a$		1	1
$\neg a \cdot a$		0	0
$\neg a \cdot \neg a$		0	0
$a \cdot a$		0	0
$a \cdot \neg a \cdot a$		0	0
$a \cdot \neg a \cdot \neg a$		0	0

States



[Angluin 1987]

## Observation tables

Separating sequences  $E \subseteq \Sigma^*$

		$\epsilon$	$\neg a$
		0	1
Accessing sequences $S \subseteq \Sigma^*$	$\epsilon$	0	1
	$\neg a$	1	1
	$a$	0	0
	$a \cdot \neg a$	0	0
	$\neg a \cdot a$	1	1
$S \cdot \Sigma$	$\neg a \cdot \neg a$	1	1
	$a \cdot a$	0	0
	$a \cdot \neg a \cdot a$	0	0
	$a \cdot \neg a \cdot \neg a$	0	0
		States	

### Closedness check:

For all  $t \in S, e \in \Sigma$ ,  
there is a  $t' \in S$ .

$$\text{state}(t \cdot e) = \text{state}(t') ?$$

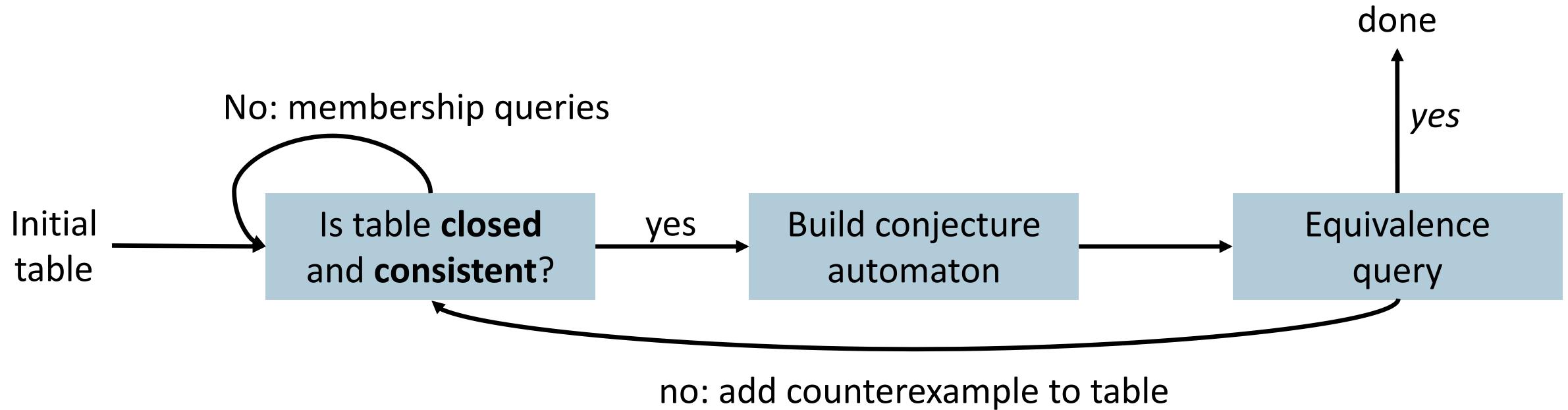
### Consistency check:

For all  $t, t' \in S, e \in \Sigma$ .

$$\begin{aligned} \text{state}(t) = \text{state}(t') \Rightarrow \\ \text{state}(t \cdot e) = \text{state}(t' \cdot e) ? \end{aligned}$$

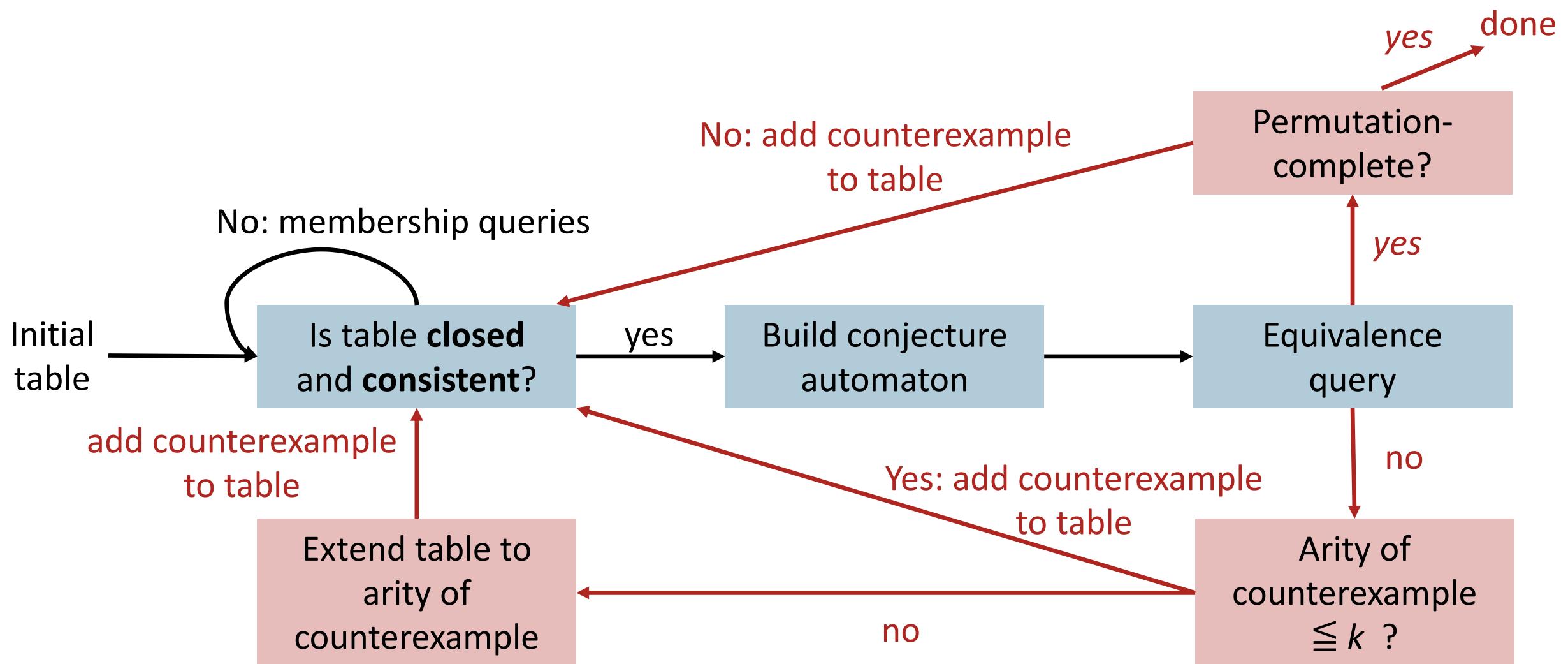
A **closed** and **consistent** observation table defines a **deterministic automaton**.

## Learning regular languages: $L^*$



[Angluin 1987]

## Learning hyperproperties: L\* Hyper

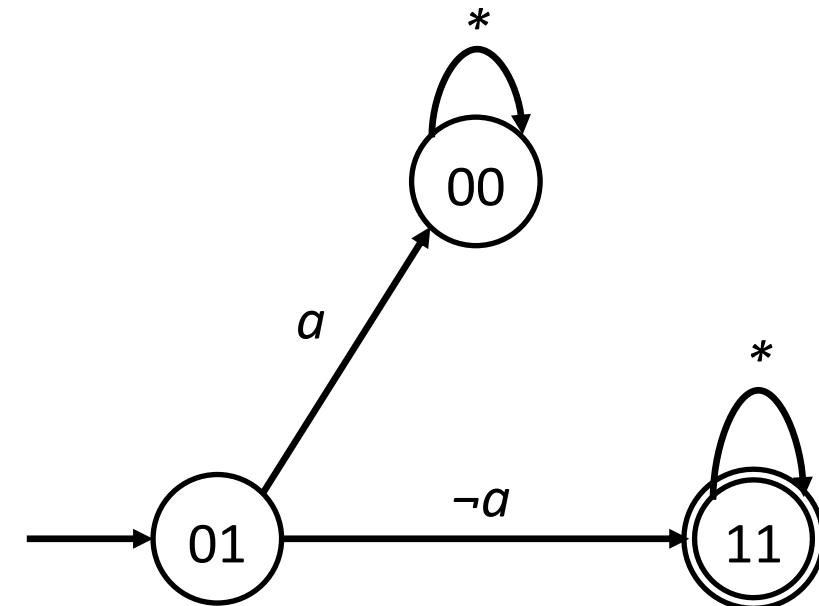


## Example

$$\forall \pi, \pi'. a_\pi \wedge \text{Globally } (a_\pi \leftrightarrow a_{\pi'})$$

Table for arity 1:

	$\epsilon$	$\neg a$
$\epsilon$	0	1
$\neg a$	1	1
$a$	0	0
$a \cdot \neg a$	0	0
$\neg a \cdot a$	1	1
$\neg a \cdot \neg a$	1	1
$a \cdot a$	0	0
$a \cdot \neg a \cdot a$	0	0
$a \cdot \neg a \cdot \neg a$	0	0



Equivalence query: Counterexample of arity 2,  $\{a \cdot \neg a, a \cdot a\}$

## Extending the arity

$E \subseteq (\Sigma^1)^*$

Table for arity 1:

	$\epsilon$	$\neg a$
$\epsilon$	0	1
$\neg a$	1	1
$a$	0	0
$a \cdot \neg a$	0	0
$\neg a \cdot a$	1	1
$\neg a \cdot \neg a$	1	1
$a \cdot a$	0	0
$a \cdot \neg a \cdot a$	0	0
$a \cdot \neg a \cdot \neg a$	0	0

$S \subseteq (\Sigma^1)^*$

$S \cdot \Sigma^1$

$E \subseteq (\Sigma^2)^*$

Table for arity 2:

Repeat last tuple element  
to turn 1-tuple into 2-tuple

## Extending the arity

$$E \subseteq (\Sigma^1)^*$$

Table for arity 1:

$$S \subseteq (\Sigma^1)^*$$

	$\epsilon$	$\neg a$
$\epsilon$	0	1
$\neg a$	1	1
$a$	0	0
$a \cdot \neg a$	0	0

$$S \cdot \Sigma^1$$

$\neg a \cdot a$	1	1
$\neg a \cdot \neg a$	1	1
$a \cdot a$	0	0
$a \cdot \neg a \cdot a$	0	0
$a \cdot \neg a \cdot \neg a$	0	0

Table for arity 2:

element  
to 2-tuple

$\epsilon$	$(\neg a, \neg a)$
$\epsilon \cdot (a, a)$	$(a, a) \cdot (\neg a, \neg a)$
$(a, a) \cdot (\neg a, \neg a)$	$(\neg a, \neg a) \cdot (a, a)$
$(\neg a, \neg a) \cdot (\neg a, \neg a)$	$(a, a) \cdot (a, a)$
$(a, a) \cdot (\neg a, \neg a) \cdot (a, a)$	$(a, a) \cdot (\neg a, \neg a) \cdot (\neg a, \neg a)$

$$S' \cdot \Sigma^2$$

$$E \subseteq (\Sigma^2)^*$$

$\epsilon$	$(\neg a, \neg a)$
------------	--------------------

## Extending the arity

Table for arity 1:

0	1
1	1
0	0
0	0
1	1
1	1
0	0
0	0
0	0
$\epsilon$	$(\neg a, \neg a)$

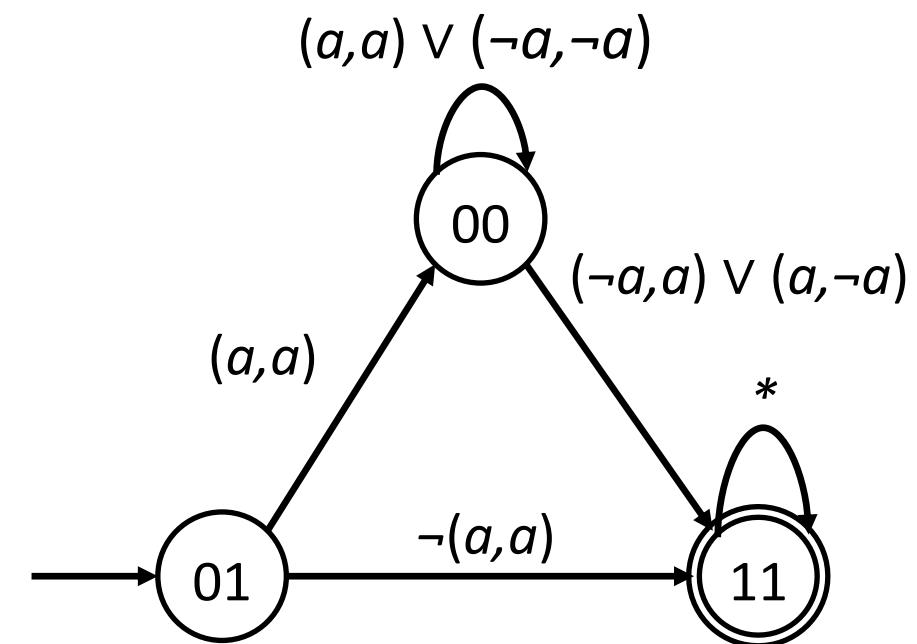
Table for arity 2:

$\epsilon$
$(\neg a, \neg a)$
$\epsilon \cdot (a, a)$
$(a, a) \cdot (\neg a, \neg a)$
$(\neg a, \neg a) \cdot (a, a)$
$(\neg a, \neg a) \cdot (\neg a, \neg a)$
$(a, a) \cdot (a, a)$
$(a, a) \cdot (\neg a, \neg a) \cdot (a, a)$
$(a, a) \cdot (\neg a, \neg a) \cdot (\neg a, \neg a)$

## Closed and consistent observation table with added counterexample

	$\epsilon$	$(\neg a, \neg a)$
$\epsilon$	0	1
$(\neg a, \neg a)$	1	1
$(a, a)$	0	0
$(a, a) \cdot (\neg a, \neg a)$	0	0
$(a, \neg a)$	1	1
$(\neg a, a)$	1	1
$(\neg a, \neg a) \cdot (*)$	1	1
$(a, a) \cdot (a, a)$	0	0
$(a, a) \cdot (\neg a, \neg a) \cdot (a, a)$	0	0
$(a, a) \cdot (\neg a, \neg a) \cdot (\neg a, a)$	1	1
$(a, a) \cdot (\neg a, \neg a) \cdot (a, \neg a)$	1	1
$(a, a) \cdot (\neg a, \neg a) \cdot (\neg a, \neg a)$	0	0
$(a, a) \cdot (\neg a, a) \cdot (*)$	1	1

$\forall \pi, \pi'. a_\pi \wedge \text{Globally } (a_\pi \leftrightarrow a_{\pi'})$



# Complexity

## Theorem:

$L^*$  Hyper learns a **minimal, deterministic, and permutation-complete** automaton  $A$  for a  $k$ -safety hyperproperty in

- **polynomial time** in  $|A|$
- **polynomial time** in the length of the longest counterexample, and
- **exponential time** in  $k$

## Application: Learning Automata for HyperLTL

**Membership queries** for a set  $T$  of traces of length  $n$  and a hyperproperty given as a universal safety HyperLTL formula  $\varphi$  with  $k$  quantifiers can be answered in

- **polynomial time** in  $n$  and
- **polynomial space** in  $|\varphi|$  and  $k \cdot \log(|T|)$

**Equivalence queries** for a deterministic automaton  $A$  and a hyperproperty given as a universal safety HyperLTL formula  $\varphi$  with  $k$  quantifiers can be solved in

- **polynomial time** in  $|A|$ ,
- **exponential time** in  $|\varphi|$ , and
- **exponential space** in  $k$ .

## Conclusions

- Automata provide a **canonical representation** for  $k$ -safety hyperproperties
- **Direct constructions** for tight permutation-complete automata
- **L\* Hyper** learns minimal deterministic automata in **polynomial time** in the size of the automaton
- Learning is an **output-sensitive** approach
- **Challenge: Beyond  $k$ -safety**

