

# Some results about growth and cogrowth for finitely generated groups

**Murray Elder**, Newcastle (Australia)



International Group Theory Webinar, March 14 2013

Part 1 joint work with

**Andrew Rechnitzer** (UBC)

**Buks van Rensburg** (York)

**Tom Wong** (UBC)

Part 2 joint work with

**José Burillo** (UPC Barcelona)

## Part 1: cogrowth

$G$  a group,  $X=X^{-1}$  finite generating set

$c(n) = \#$  words over  $X^*$  of length  $n$  equal to  $e$

is the **cogrowth function** for  $(G,X)$

## Part 1: cogrowth

$G$  a group,  $X=X^{-1}$  finite generating set

$c(n) = \#$  words over  $X^*$  of length  $n$  equal to  $e$

is the **cogrowth function** for  $(G,X)$

$\rho = \limsup_{n \rightarrow \infty} c(n)^{1/n}$  is the **cogrowth rate** for  $(G,X)$

## Part 1: cogrowth

$G$  a group,  $X=X^{-1}$  finite generating set

$c(n) = \#$  words over  $X^*$  of length  $n$  equal to  $e$

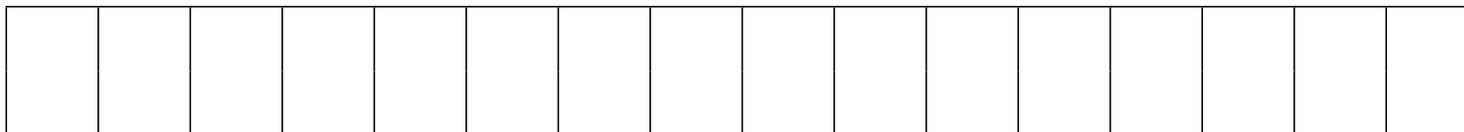
is the **cogrowth function** for  $(G,X)$

$\rho = \limsup_{n \rightarrow \infty} c(n)^{1/n}$  is the **cogrowth rate** for  $(G,X)$

and  $\sum_{n=0}^{\infty} c(n)z^n$  is the **cogrowth series** for  $(G,X)$

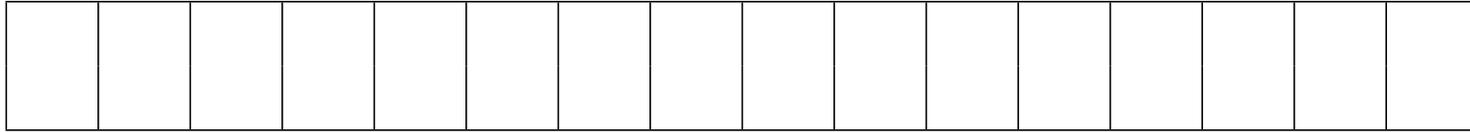


**Eg:**  $\mathbb{Z} = \langle a \mid - \rangle$



so  $c(2n + 1) = 0$  and  $c(2n) = \binom{2n}{n}$  which is approx  $4^n = 2^{2n}$

**Eg:**  $\mathbb{Z} = \langle a \mid - \rangle$



so  $c(2n + 1) = 0$  and  $c(2n) = \binom{2n}{n}$  which is approx  $4^n = 2^{2n}$

so  $\rho = 2$

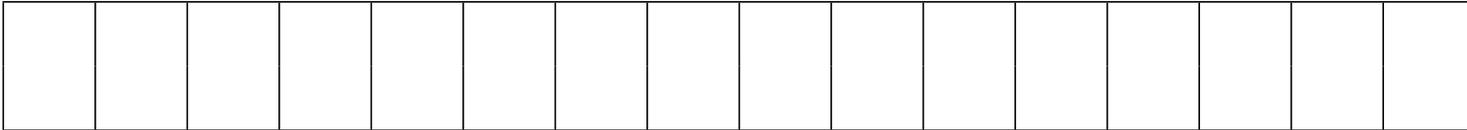
(Note: the number of words on  $X$  is  $|X|^n$  so  $\rho \leq |X|$ )

**Eg:**  $\mathbb{Z}^2 = \langle a, b \mid ab = ba \rangle$

How many return paths of length  $n$  (even) are there in the grid?

rotate  $\pi/4$ , four types of edges: 1      2      3      4

**Eg:**  $\mathbb{Z}^2 = \langle a, b \mid ab = ba \rangle$



Choose  $n/2$  boxes to be  $\uparrow$  vertical, and (independently)  $n/2$  boxes to be  $\uparrow$  horizontal.

$$\text{So } c(n) = \binom{n}{n/2} \binom{n}{n/2}.$$

**Eg:**  $\mathbb{Z}^2 = \langle a, b \mid ab = ba \rangle$

$\binom{n}{n/2} \binom{n}{n/2}$  grows like  $\frac{4^{n+1/2}}{\pi n}$  so  $\rho = 4$ .

**Eg:**  $\mathbb{Z}^2 = \langle a, b \mid ab = ba \rangle$

$\binom{n}{n/2} \binom{n}{n/2}$  grows like  $\frac{4^{n+1/2}}{\pi n}$  so  $\rho = 4$ .

Note: the number of words on  $X$  is  $|X|^n$  so  $\rho \leq |X|$ .

Note also: the  $n$  in the denominator means that the cogrowth series for  $\mathbb{Z}^2$  is not algebraic.

**Eg:**  $F_2 = \langle a, b \mid \rangle$

Recall (Muller-Schupp) that the **word problem** for  $F_2$  is context-free

$$S \rightarrow aAa^{-1}S \mid bBb^{-1}S \mid a^{-1}CaS \mid b^{-1}DbS \mid \epsilon$$

$$A \rightarrow aAa^{-1}A \mid bBb^{-1}A \mid b^{-1}DbA \mid \epsilon$$

$$B \rightarrow aAa^{-1}B \mid bBb^{-1}B \mid a^{-1}CaB \mid \epsilon$$

$$C \rightarrow bBb^{-1}C \mid a^{-1}CaC \mid b^{-1}DbC \mid \epsilon$$

$$D \rightarrow aAa^{-1}D \mid a^{-1}CaD \mid b^{-1}DbD \mid \epsilon$$

**Eg:**  $F_2 = \langle a, b \mid \rangle$

$$g(z) = 4z^2 f(z)g(z) + 1 \text{ and } f(z) = 3z^2 f(z)f(z) + 1$$

(since we are just counting,  $f(z)$  is the same for each of the last four productions)

**Eg:**  $F_2 = \langle a, b \mid \rangle$

$$g(z) = 4z^2 f(z)g(z) + 1 \text{ and } f(z) = 3z^2 f(z)f(z) + 1$$

(since we are just counting,  $f(z)$  is the same for each of the last four productions)

$$\text{so } 3z^2 f(z)^2 - f + 1 = 0 \text{ so } f(z) = \frac{1 - \sqrt{1 - 12z^2}}{6z^2}$$

**Eg:**  $F_2 = \langle a, b \mid \rangle$

$$g(z) = 4z^2 f(z)g(z) + 1 \text{ and } f(z) = 3z^2 f(z)f(z) + 1$$

(since we are just counting,  $f(z)$  is the same for each of the last four productions)

$$\text{so } 3z^2 f(z)^2 - f + 1 = 0 \text{ so } f(z) = \frac{1 - \sqrt{1 - 12z^2}}{6z^2}$$

$$\text{and } g(z) = \frac{1}{1 - 4z^2 f(z)} \text{ so } g(z) = \frac{3}{1 + 2\sqrt{1 - 12z^2}}$$

which has radius of convergence  $\frac{1}{\sqrt{12}}$  so  $\rho = \sqrt{12} \approx 3.4$

**Thm**

(Grigorchuk/Cohen)

$G$  is amenable iff  $\rho = |X|$ .

**So ...**

counting return paths in different graphs gives information about (the sometimes very difficult problem of) amenability.

Statistical physicists have studied similar problems: self-avoiding polymers, walks, etc;

and good techniques have been developed.

**So ...**

counting return paths in different graphs gives information about (the sometimes very difficult problem of) amenability.

Statistical physicists have studied similar problems: self-avoiding polymers, walks, etc;

and good techniques have been developed.

The idea of this work is to apply such techniques to sample trivial words from a group and use statistical information from such experiments to find bounds and estimates for cogrowth

with one particular group in mind: R. Thomsson's group  $F$ .

## Previous work

Belk 2005: upper bound of  $\frac{1}{2}$  for isoperimetric constant  $\inf_{n \rightarrow \infty} \frac{|\partial F_n|}{|F_n|}$

## Previous work

Belk 2005: upper bound of  $\frac{1}{2}$  for isoperimetric constant  $\inf_{n \rightarrow \infty} \frac{|\partial F_n|}{|F_n|}$

Burillo-Cleary-Weist 2007: computational explorations, rate of escape of random walks in  $F$

## Previous work

Belk 2005: upper bound of  $\frac{1}{2}$  for isoperimetric constant  $\inf_{n \rightarrow \infty} \frac{|\partial F_n|}{|F_n|}$

Burillo-Cleary-Weist 2007: computational explorations, rate of escape of random walks in  $F$

Arzhantseva-Guba-Lustig-Préaux 2008: testing Cayley graph densities

## Previous work

Belk 2005: upper bound of  $\frac{1}{2}$  for isoperimetric constant  $\inf_{n \rightarrow \infty} \frac{|\partial F_n|}{|F_n|}$

Burillo-Cleary-Weist 2007: computational explorations, rate of escape of random walks in  $F$

Arzhantseva-Guba-Lustig-Préaux 2008: testing Cayley graph densities

Moore 2009: fast growth in the Følner function for  $F$

## Previous work

Belk 2005: upper bound of  $\frac{1}{2}$  for isoperimetric constant  $\inf_{n \rightarrow \infty} \frac{|\partial F_n|}{|F_n|}$

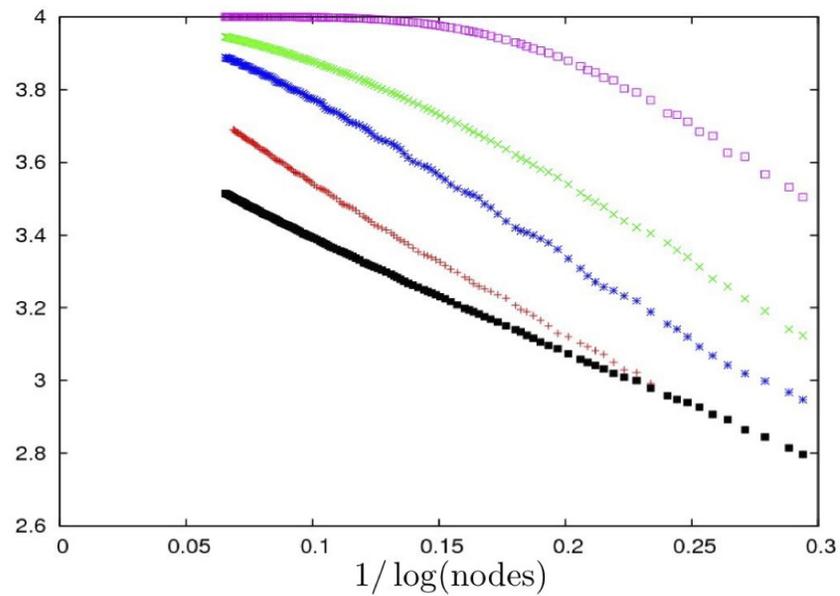
Burillo-Cleary-Weist 2007: computational explorations, rate of escape of random walks in  $F$

Arzhantseva-Guba-Lustig-Préaux 2008: testing Cayley graph densities

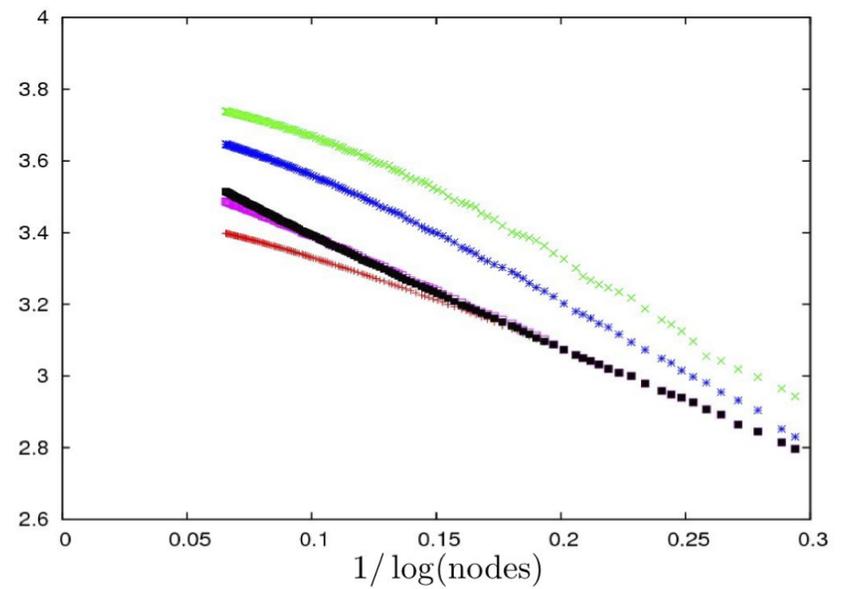
Moore 2009: fast growth in the Følner function for  $F$

Elder-Rechnitzer-Wong 2011: lower bounds for cogrowth by looking at number of loops in finite subgraphs of Cayley graphs

## Previous work



F (black) vs.  
amenable groups  
( $\mathbb{Z} \times \mathbb{Z}$  is pink)



F (black) vs.  
non-amenable groups  
( $F_2$  is red)

## Alternative defn of cogrowth

One can also count the number of **freely reduced** words equal to  $e$ , say  $r(n)$

and put

$\bar{\rho} = \limsup_{n \rightarrow \infty} r(n)^{1/n}$  the **(reduced) cogrowth rate** for  $(G, X)$

and  $\sum_{n=0}^{\infty} r(n)z^n$  the **(reduced) cogrowth series** for  $(G, X)$

## Alternative defn of cogrowth

**Thm** (Grigorchuk/Cohen)

$G$  is amenable iff  $\bar{\rho} = |X| - 1$ .

(Note the total number of reduced words on  $X=X^{-1}$  is  $|X| - 1$ ).

## Sampling trivial words

Let  $G$  be a group with finite presentation  $\langle X = X^{-1} \mid R \rangle$

Let  $R_c$  be the set of all cyclic permutations of relators in  $R$ , and their inverses.

**trivial word** = freely reduced word equal to the identity

## Sampling trivial words

Let  $G$  be a group with finite presentation  $\langle X = X^{-1} \mid R \rangle$

Let  $R_c$  be the set of all cyclic permutations of relators in  $R$ , and their inverses.

**trivial word** = freely reduced word equal to the identity

Consider the following two moves on trivial words:

- conjugate by a generator then freely reduce

## Sampling trivial words

Let  $G$  be a group with finite presentation  $\langle X = X^{-1} \mid R \rangle$

Let  $R_c$  be the set of all cyclic permutations of relators in  $R$ , and their inverses.

**trivial word** = freely reduced word equal to the identity

Consider the following two moves on trivial words:

- conjugate by a generator then freely reduce
- insert at some position a word from  $R_c$  then freely reduce

## Sampling trivial words

conjugation is a uniquely reversible operation

but insertion is not:

- $w = a^n r^{-1} a^{-n}$ , inserting  $r$  gives  $e$

but neither conj or insertion will recover  $w$  in one move

## Sampling trivial words

conjugation is a uniquely reversible operation

but insertion is not:

- $w = a^n r^{-1} a^{-n}$ , inserting  $r$  gives  $e$

but neither conj or insertion will recover  $w$  in one move

solution: reject a move if it results in cancelation more than the length of  $r$ .

## Sampling trivial words

- in  $\mathbb{Z}^2$ ,  $w = uba^{-1}b^{-1} \underset{\uparrow}{aba^{-1}}v$ , inserting  $bab^{-1}a^{-1}$  gives  $uba^{-1}v$



## Sampling trivial words

- in  $\mathbb{Z}^2$ ,  $w = uba^{-1}b^{-1} \underset{\uparrow}{aba^{-1}}v$ , inserting  $bab^{-1}a^{-1}$  gives  $uba^{-1}v$

this can be reversed in two ways: insert  $ba^{-1}b^{-1}a$  after  $u$

or: insert  $b^{-1}aba^{-1}$  before  $v$

solution: reject if inserting  $r$  leads to cancelations on the right of  $r$

## Left-insertions

Define a **left-insertion** on a trivial word  $w$  as follows:

for  $r \in R_c$  and  $m \in \{0, 1, \dots, |w|\}$

write  $w = uv$  with  $|u| = m$

set  $w' = urv$

## Left-insertions

Define a **left-insertion** on a trivial word  $w$  as follows:

for  $r \in R_c$  and  $m \in \{0, 1, \dots, |w|\}$

write  $w = uv$  with  $|u| = m$

set  $w' = urv$

if  $rv$  freely cancels, reject

else freely reduce  $w'$ , and reject if the result has length  $< |w| - |r|$

## Left-insertions

### Lem

Left-insertions are uniquely reversible

### Lem

Every trivial word can be obtained starting from  $w_0 =$  some relator from  $R_c$  and applying some sequence of conjugations and left-insertions.

## Markov Chain Monte Carlo sampling

Now the theory of MCMC applies:

1. define a probability distribution  $P$  over  $R_c$  (uniform if  $R$  is finite)
2. fix  $p_c \in [0, 1]$  and  $p = p(u, v, \alpha, \beta) \in [0, 1]$  where  $p$  depends on the trivial words  $u, v$  and constants  $\alpha \in \mathbb{R}, \beta \in \mathbb{R}^+$
3. start with a **state** (trivial word)  $w_0$  from  $R_c$  chosen with probability  $P(w_0)$

## Markov Chain Monte Carlo sampling

4. if  $w_n$  is the current state, with probability  $p_c$  choose a conjugation move, else choose a left-insertion

- if conjugation, choose one of the  $|X|$  possible conjugations uniformly at random, put  $u = cw_n c^{-1}$  and freely reduce to obtain  $w'$

$$\text{put } w_{n+1} = \begin{cases} w' & \text{with probability } p(w, w', \alpha, \beta) \\ w_n & \text{otherwise} \end{cases}$$

## Markov Chain Monte Carlo sampling

- if left-insertion, choose  $r \in R_c$  with probability  $P(r)$ , a location  $m \in [0, \dots, |w_n|]$  uniformly, and let  $w'$  be the outcome of the insertion

$$\text{put } w_{n+1} = \begin{cases} w_n & \text{if insertion invalid} \\ w' & \text{with probability } p(w, w', \alpha, \beta) \\ w_n & \text{otherwise} \end{cases}$$

## Markov Chain Monte Carlo sampling

- if left-insertion, choose  $r \in R_c$  with probability  $P(r)$ , a location  $m \in [0, \dots, |w_n|]$  uniformly, and let  $w'$  be the outcome of the insertion

$$\text{put } w_{n+1} = \begin{cases} w_n & \text{if insertion invalid} \\ w' & \text{with probability } p(w, w', \alpha, \beta) \\ w_n & \text{otherwise} \end{cases}$$

Note that we only allow valid moves with a certain probability, which depends on a constant  $\beta$ .

(This option to reject a move with some probability is called the **Metropolis** MCMC method.)

## Markov Chain Monte Carlo sampling

Varying the value of  $\beta$  changes the expected value of the mean length of words sampled.

Analysis of the probabilities shows there is a critical value,  $\beta_c$ , for  $\beta$  below which the expected mean length is finite and above it is infinite.

## Markov Chain Monte Carlo sampling

Varying the value of  $\beta$  changes the expected value of the mean length of words sampled.

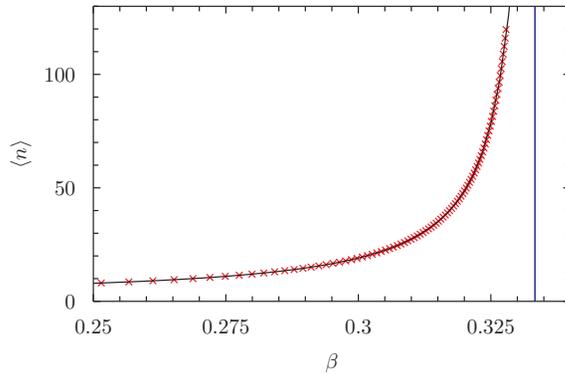
Analysis of the probabilities shows there is a critical value,  $\beta_c$ , for  $\beta$  below which the expected mean length is finite and above it is infinite.

The cogrowth rate corresponds to the **reciprocal** of this value.

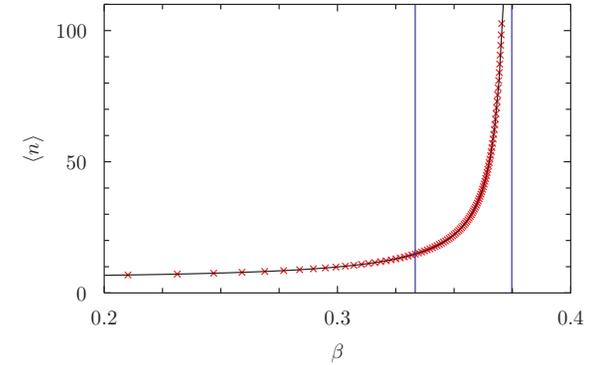
Details (and **results**) in our paper.

# Results

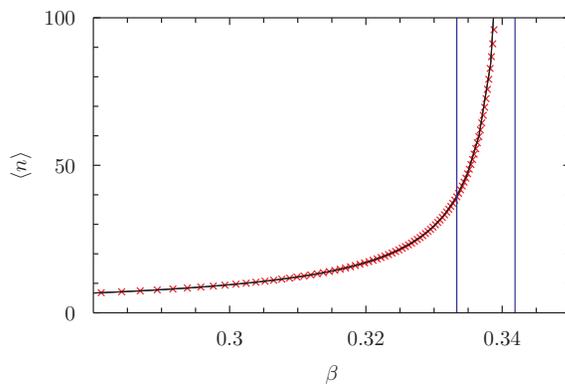
$\mathbb{Z}^2$



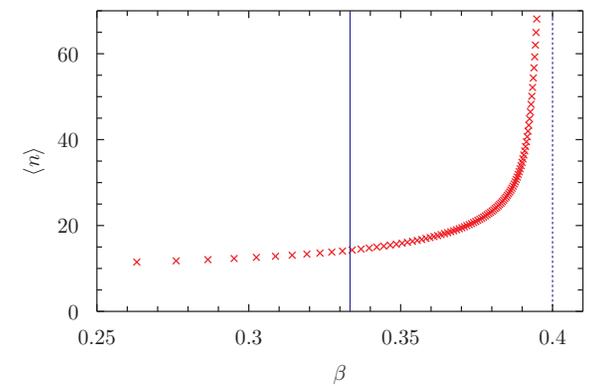
BS(2, 2)



$\mathbb{Z}_2 * \mathbb{Z}_3$



F



Mean length of freely reduced trivial words at different values of  $\beta$ . The solid blue lines indicate the reciprocal of the cogrowth of amenable groups with  $|\mathbb{X}| = 4$ ,  $\beta_c = \frac{1}{3}$ . The dashed blue lines indicate the approximate location of the vertical asymptote.

## Part 2: lower bounds for growth and metric estimates

$E : G \rightarrow \mathbb{R}$  is a *metric estimate* for a group  $G$  if there are constants  $C_1, C_2$  so that

$$C_1 E(x) \leq |x| \leq C_2 E(x)$$

In addition, a *good* metric estimate is one that is easy to compute.

Estimates have been used to study subgroup distortion, etc.

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^mt^{-1} = a^n \rangle$

each element can be written in the form  $Pa^N$  where  
 $P \in \{t, at, \dots, a^{n-1}t, t^{-1}, at^{-1}, \dots, a^{m-1}t^{-1}\}^*$

by applying the moves  $a^mt^{-1} \rightarrow t^{-1}a^n$  and  $a^nt \rightarrow ta^m$

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^mt^{-1} = a^n \rangle$

each element can be written in the form  $Pa^N$  where  
 $P \in \{t, at, \dots, a^{n-1}t, t^{-1}, at^{-1}, \dots, a^{m-1}t^{-1}\}^*$

by applying the moves  $a^mt^{-1} \rightarrow t^{-1}a^n$  and  $a^nt \rightarrow ta^m$

Eg:  $BS(2, 2)$

$$a^{-1}ta^{-1}ta^{-1}ta^{-1}ta^{-1}t$$

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^mt^{-1} = a^n \rangle$

each element can be written in the form  $Pa^N$  where  
 $P \in \{t, at, \dots, a^{n-1}t, t^{-1}, at^{-1}, \dots, a^{m-1}t^{-1}\}^*$

by applying the moves  $a^mt^{-1} \rightarrow t^{-1}a^n$  and  $a^nt \rightarrow ta^m$

Eg:  $BS(2, 2)$

$$a^{-1}ta^{-1}ta^{-1}ta^{-1}ta^{-1}t = aa^{-2}ta^{-1}ta^{-1}ta^{-1}ta^{-1}t$$

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^mt^{-1} = a^n \rangle$

each element can be written in the form  $Pa^N$  where  
 $P \in \{t, at, \dots, a^{n-1}t, t^{-1}, at^{-1}, \dots, a^{m-1}t^{-1}\}^*$

by applying the moves  $a^mt^{-1} \rightarrow t^{-1}a^n$  and  $a^nt \rightarrow ta^m$

Eg:  $BS(2, 2)$

$$a^{-1}ta^{-1}ta^{-1}ta^{-1}ta^{-1}t = aa^{-2}ta^{-1}ta^{-1}ta^{-1}ta^{-1}t$$

$$= ata^{-1}ta^{-1}ta^{-1}ta^{-1}ta^{-2}$$

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^mt^{-1} = a^n \rangle$

each element can be written in the form  $Pa^N$  where  
 $P \in \{t, at, \dots, a^{n-1}t, t^{-1}, at^{-1}, \dots, a^{m-1}t^{-1}\}^*$

by applying the moves  $a^mt^{-1} \rightarrow t^{-1}a^n$  and  $a^nt \rightarrow ta^m$

Eg:  $BS(2, 2)$

$$a^{-1}ta^{-1}ta^{-1}ta^{-1}ta^{-1}t = aa^{-2}ta^{-1}ta^{-1}ta^{-1}ta^{-1}t$$

$$= ata^{-1}ta^{-1}ta^{-1}ta^{-1}ta^{-2}$$

$$\dots = atatatata^{-10}$$

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^m t^{-1} = a^n \rangle$

Assume  $m \leq n$ .

We can write  $a^N = a^{r_0} t a^{r_1} t \dots a^{r_s} t a^\kappa t^{-s}$

where  $0 < \kappa < n, 0 \leq r_i < n$  (or  $-n < \kappa < 0, -n < r_i \leq 0$  if  $N < 0$ )

and  $s$  is at most  $\log_{n/m} |N|$

**check**

$a^{100}$  in  $BS(2, 3)$ :

$$aa^{99} = ata^{66}t^{-1} = atta^{44}t^{-2} = atta^2ta^{28}t^{-3} = atta^2tata^{18}t^{-4} = \\ atta^2tatta^{12}t^{-5} = atta^2tattta^8t^{-6} = atta^2tattta^2ta^4t^{-7}$$

$$\log_{3/2} 100 = \frac{\log_e 100}{\log_e 3/2} = 11.3577\dots$$

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^mt^{-1} = a^n \rangle$

So  $x = PQ$  where  $P$  is as before and  $Q$  is of the form

$$a^{r_0}ta^{r_1}t \dots a^{r_s}ta^{\kappa}t^{-s}$$

which has length some constant multiple of  $\log_{n/m} |N|$

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^mt^{-1} = a^n \rangle$

So  $x = PQ$  where  $P$  is as before and  $Q$  is of the form

$$a^{r_0}ta^{r_1}t \dots a^{r_s}ta^{\kappa}t^{-s}$$

which has length some constant multiple of  $\log_{n/m} |N|$

**Propn** (Elder, Burillo)

$$E(x) = |P| + \log |N|$$

is a metric estimate for  $BS(m, n)$ .

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^m t^{-1} = a^n \rangle$

Proof: The upper bound is clear – we can write  $x = PQ$  and  $PQ$  has this length (up to a constant)

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^m t^{-1} = a^n \rangle$

Proof: The upper bound is clear – we can write  $x = PQ$  and  $PQ$  has this length (up to a constant)

For the lower bound, if  $w = Pa^N$  then multiplying on the right by  $a^{\pm 1}$  increases  $\log N$  by at most 1,

and multiplying on the right by  $t^{\pm 1}$  increases  $|P|$  by at most  $\max\{|m|, |n|\} = c$  and  $\log N$  by at most 1.

**metric estimate for**  $BS(m, n) = \langle a, t \mid ta^m t^{-1} = a^n \rangle$

Proof: The upper bound is clear – we can write  $x = PQ$  and  $PQ$  has this length (up to a constant)

For the lower bound, if  $w = Pa^N$  then multiplying on the right by  $a^{\pm 1}$  increases  $\log N$  by at most 1,

and multiplying on the right by  $t^{\pm 1}$  increases  $|P|$  by at most  $\max\{|m|, |n|\} = c$  and  $\log N$  by at most 1.

So if  $x$  has geodesic length  $|x|$ , each time we multiply by a letter (starting at  $e$ ),  $|P|$  increases by at most  $c$  and  $\log N$  by at most 1, so after  $|x|$  letters we have  $|P| + |\log N| \leq c|x| + |x|$

## lower bounds for growth

We are currently using these estimates to find good lower bounds for the growth function

*i.e.* if  $C_2E(x) \leq r$  then  $x \in B(r)$

## References

- G. Arzhantseva, V. Guba, M. Lustig, J. Préaux, **Testing Cayley graph densities**. Ann. Math. Blaise Pascal, 15(2):233–286, 2008
- J. Belk, K. Brown, **Forest diagrams for elements of Thompsons group  $F$** . Internat. J. Algebra Comput. 15, 815–850, 2005
- J. Burillo, S. Cleary, B. Wiest, **Computational explorations in Thompson’s group  $F$** . In Geometric group theory, Trends Math., pages 21–35, 2007
- J. Cohen, **Cogrowth and amenability of discrete groups**. J. Funct. Anal., 48(3):301–309, 1982
- M. Elder, A. Rechnitzer, T. Wong, **On the cogrowth of Thompson’s group  $F$** . Groups, Complexity, Cryptology 4 Issue 2 pages 301–320, 2012
- M. Elder, A. Rechnitzer, E. J. Janse van Rensburg, T. Wong, **On trivial words in finitely presented groups**. <http://arxiv.org/abs/1210.3425>
- R. Grigorchuk, **Symmetrical random walks on discrete groups**. Adv. Probab. Related Topics 6, pages 285–325. Dekker, New York, 1980
- D. Kouksov, **On rationality of the cogrowth series**. Proc. Amer. Math. Soc., 126(10):2845–2847, 1998
- Metropolis, Rosenbluth, Rosenbluth, Teller, Teller, et al., **Equation of state calculations by fast computing machines**. Journal of Chemical Physics, 21(6):1087, 1953
- J. Moore, **Fast growth in the Følner function for Thompson’s group  $F$** . <http://arxiv.org/abs/0905.1118>