

# "Non-commutative discrete optimization"

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(based on joint work with A.Nikolaev and A.Ushakov)

- What is non-commutative discrete optimization?
- Knapsack problems in groups.
- More open problems.

# Non-commutative discrete optimization

Non-commutative discrete (combinatorial) optimization concerns with complexity of the classical discrete optimization (DO) problems stated in a very general form - for **non-commutative groups**.

# Non-commutative discrete optimization

DO problems concerning integers (subset sum, knapsack problem, etc.) make perfect sense when the group of additive integers is replaced by an arbitrary (non-commutative) group  $G$ .

The classical **subset sum problem (SSP)**: Given  $a_1, \dots, a_k, a \in \mathbb{Z}$  decide if  $\varepsilon_1 a_1 + \dots + \varepsilon_k a_k = a$  for some  $\varepsilon_1, \dots, \varepsilon_k \in \{0, 1\}$ .

**SSP** for a group  $G$ :

Given  $g_1, \dots, g_k, g \in G$  decide if  $g_1^{\varepsilon_1} \dots g_k^{\varepsilon_k} = g$   
for some  $\varepsilon_1, \dots, \varepsilon_k \in \{0, 1\}$ .

Elements in  $G$  are given as words in a fixed set of generators of  $G$ .

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# Non-commutative discrete optimization

The classical lattice problems are about subgroups (integer lattices) of the additive groups  $\mathbb{Z}^n$  or  $\mathbb{Q}^n$ , their non-commutative versions deal with arbitrary finitely generated subgroups of a group  $G$ .

The shortest vector problem (**SVP**): Find a shortest vector in a given lattice  $L$  of  $\mathbb{Z}^n$  (or  $\mathbb{Q}^n$ ).

**SVP** for a group  $G$ :

Find a shortest element (in the word metric) in a subgroup of  $G$  generated by elements  $g_1, \dots, g_k \in G$ .

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# Non-commutative discrete optimization

The travelling salesman problem, the Steiner tree problem, the Hamiltonian circuit problem, - all make sense for arbitrary finite subsets of vertices in a given Cayley graph of a non-commutative infinite group (with the word metric).

Let  $G$  be a group generated by a finite set  $X$  and  $\text{Cay}(G, X)$  the Cayley graph of  $G$ .

## Traveling Salesman Problem in $G$ :

Given a finite set of vertices  $v_1, \dots, v_n \in \text{Cay}(G, X)$  find a closed tour of minimal total length (in the word metric) that visits all the vertices once.

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This list of examples can be easily extended, but the point here is that many classical DO problems have natural and interesting non-commutative versions.

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# Knapsack problems in groups

There are three principle Knapsack type problems in groups: **subset sum**, **knapsack**, and **submonoid membership**.

We have mentioned already the subset sum problem **SSP** in groups. The classical **SSP** is the most basic **NP**-complete problem, it became famous after Merkle-Hellman's cryptosystem.

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# The knapsack problem in groups

The knapsack problem (**KP**) for  $G$ :

Given  $g_1, \dots, g_k, g \in G$  decide if  $g =_G g_1^{\varepsilon_1} \dots g_k^{\varepsilon_k}$  for some non-negative integers  $\varepsilon_1, \dots, \varepsilon_k$ .

There are minor variations of this problem, for instance, **integer KP**, when  $\varepsilon_j$  are arbitrary integers. They are all similar, we omit them here.

The subset sum problem sometimes is called 0 – 1 knapsack.

# The knapsack problem in groups

The knapsack problems in groups is closely related to the **big powers method**, which appeared long before any complexity considerations (Baumslag, 1962).

The method shaped up as a basic tool in the study of

- equations in free or hyperbolic groups,
- in algebraic geometry over groups groups,
- completions and group actions,
- became a routine in the theory of hyperbolic groups (in the form of properties of quasigeodesics).

# Submonoid membership problem in groups

The third problem is equivalent to **KP** in the classical (abelian) case, but not in general, it is of prime interest in algebra:

## Submonoid membership problem (**SMP**):

Given a finite set  $A = \{g_1, \dots, g_k, g\}$  of elements of  $G$  decide if  $g$  belongs to the submonoid generated by  $A$ , i.e., if  $g = g_{i_1} \dots g_{i_s}$  for some  $g_{i_j} \in A$ .

If the set  $A$  is closed under inversion then we have the **subgroup membership problem** in  $G$ .

# Algorithmic set-up

$G$  is a group generated by a set  $X \subseteq G$ .

Elements in  $G$  are given as group words over  $X$ .

If  $X$  is finite then the size of a word  $g$  in  $X^\pm$  is its length  $|g|$ .

The size of a tuple of words  $g_1, \dots, g_k$  is the total sum of the lengths  $|g_1| + \dots + |g_k|$ .

# Algorithmic set-up

If the generating set  $X$  is infinite, then the size of a letter  $x \in X$  is not necessarily equal to 1, it depends on how we represent elements of  $X$ .

We always assume that there is an efficient injective function  $\nu : X \rightarrow \{0, 1\}^*$  which encodes elements in  $X$  by binary strings.

In this case for  $x \in X$  we define:

$$\text{size}(x) = |\nu(x)|,$$

for a word  $g = x_1 \dots x_n$  with  $x_i \in X$

$$\text{size}(g) = \text{size}(x_1) + \dots + \text{size}(x_n),$$

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It makes sense to consider the bounded versions of **KP** and **SMP**, they are always decidable in groups with decidable word problem.

The bounded knapsack problem (**BKP**) for  $G$ :

decide, when given  $g_1, \dots, g_k, g \in G$  and  $1^m \in \mathbb{N}$ , if  $g =_G g_1^{\varepsilon_1} \dots g_k^{\varepsilon_k}$  for some  $\varepsilon_i \in \{0, 1, \dots, m\}$ .

This problem is **P**-time equivalent to **SSP** in  $G$ .

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This problem is **P**-time equivalent to **SSP** in  $G$ .

The bounded **SMP** in  $G$  is very interesting in its own right.

Bounded submonoid membership problem (**BSMP**) for  $G$ :

Given  $g_1, \dots, g_k, g \in G$  and  $1^m \in \mathbb{N}$  (in unary) decide if  $g$  is equal in  $G$  to a product of the form  $g = g_{i_1} \cdots g_{i_s}$ , where  $g_{i_1}, \dots, g_{i_s} \in \{g_1, \dots, g_k\}$  and  $s \leq m$ .

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We will discuss later the [optimization version of search problems](#), when one has to find a solution under some optimal restrictions.

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As we mentioned the classical **SSP** is **NP**-complete when the numbers are given in binary.

But if the numbers in **SSP** are given in unary, then the problem is in **P** (the problem is pseudo-polynomial).

How one explain this from the group-theoretic view-point?

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How one explain this from the group-theoretic view-point?

- $\mathbb{Z}$  is generated by  $\{1\}$ . Then **SSP**( $\mathbb{Z}, \{1\}$ ) is linear-time equivalent to the classical **SSP** in which numbers are given in unary. In particular, **SSP**( $\mathbb{Z}, \{1\}$ ) is in **P**.
- $\mathbb{Z}$  is generated by  $X = \{x_n = 2^n \mid n \in \mathbb{N}\}$ . Fix an encoding  $\nu : X^{\pm 1} \rightarrow \{0, 1\}^*$  such that  $size(x_n)$  is about  $n$ . Then **SSP**( $\mathbb{Z}, X$ ) is **P**-time equivalent to its classical version where the numbers are given in the binary form. In particular, **SSP**( $\mathbb{Z}, X$ ) is **NP**-complete.

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# Infinite direct sum of $\mathbb{Z}$

Let  $G = \mathbb{Z}^\omega$ ,  $E = \{\mathbf{e}_i\}_{i \in \mathbb{N}}$  is the standard basis for  $\mathbb{Z}^\omega$ .

We fix an encoding  $\nu : E^{\pm 1} \rightarrow \{0, 1\}^*$  for the generating set  $E$  defined by:

$$\begin{cases} \mathbf{e}_i & \xrightarrow{\nu} & 0101(00)^i 11, \\ -\mathbf{e}_i & \xrightarrow{\nu} & 0100(00)^i 11. \end{cases}$$

## Theorem

$\text{SSP}(\mathbb{Z}^\omega, E)$  is **NP**-complete.

Proof. The following **NP**-complete problem is **P**time reducible to  $\text{SSP}(\mathbb{Z}^\omega, E)$ .

**Zero-one equation problem:** Given a zero-one matrix  $A \in \text{Mat}(n, \mathbb{Z})$  decide if there exists a zero-one vector  $x \in \mathbb{Z}^n$  satisfying  $A \cdot x = \mathbf{1}_n$ , or not.

# Crucial lemma

To formulate the following results put

$$\mathcal{P} = \{\mathbf{SSP}, \mathbf{KP}, \mathbf{SMP}, \mathbf{BKP}, \mathbf{BSMP}\}.$$

## Ptime embeddings

Let  $G_i$  be a group generated by a set  $X_i$  with an encoding  $\nu_i$ ,  $i = 1, 2$ . If

$$\phi : G_1 \rightarrow G_2$$

is a **P**-time computable embedding relative to  $(X_1, \nu_1), (X_2, \nu_2)$  then  $\mathbf{\Pi}(G_1, X_1)$  is **P**-time reducible to  $\mathbf{\Pi}(G_2, X_2)$  for any problem  $\mathbf{\Pi} \in \mathcal{P}$ .

If  $X_1, X_2$  are finite then any embedding  $\phi : G_1 \rightarrow G_2$  is a **P**-time computable.

In particular, any problem from  $\mathcal{P}$  is **P**time equivalent upon changing finite generating sets.

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## Examples

The following groups have **NP**-complete **SSP**:

- (a) Free metabelian non-abelian groups of finite rank.
- (b) Wreath product  $\mathbb{Z} \wr \mathbb{Z}$ .

Let  $M_n$  be a free metabelian group with basis  $X = \{x_1, \dots, x_n\}$ , where  $n \geq 2$ . A map

$$e_i \rightarrow x_1^{-i} [x_2, x_1] x_1^i \quad (\text{for } i \in \mathbb{N})$$

gives a **P**-time embedding of  $\mathbb{Z}^\omega$  into  $M_n$ .

Let  $G = \langle a \rangle \text{ wr } \langle t \rangle$ . A map  $e_i \rightarrow t^{-i} a t^i$ ,  $i \in \mathbb{N}$  gives a **P**-time embedding of  $\mathbb{Z}^\omega$  into  $G$ .

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## Thompson group

The subset sum problem for the Thompson's group

$$F = \langle a, b \mid [ab^{-1}, a^{-1}ba] = 1, [ab^{-1}, a^{-2}ba^2] = 1 \rangle$$

is **NP**-complete.

Proof. The wreath product  $\mathbb{Z} \wr \mathbb{Z}$  can be embedded into  $F$ .

## Baumslag's group $GB$

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$$GB = \langle a, s, t \mid [a, a^t] = 1, [s, t] = 1, a^s = aa^t \rangle$$

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## $BS(1, p)$

The subset sum problem for Baumslag-Solitar metabelian group

$$BS(1, p) = \langle a, t \mid t^{-1}at = a^p \rangle$$

is **NP**-complete.

Proof. We showed earlier that **SSP**( $\mathbb{Z}, X$ ) is **NP**-complete for a generating set  $X = \{x_n = 2^n \mid n \in \mathbb{N}\}$ . The map

$$x_n \rightarrow t^{-n}at^n$$

**P**-time computable embedding  $\phi : \mathbb{Z} \rightarrow BS(1, 2)$  because  $t^{-n}at^n = a^{2^n}$ .

## Theorem

Let  $G$  be a finitely generated virtually nilpotent group. Then **SSP**( $G$ ) and **BSMP**( $G$ ), as well as their search and optimization variations, are in **P**.

The proof is based on the fact that finitely generated virtually nilpotent groups have polynomial growth.

## Theorem

Let  $G$  be a hyperbolic group then all the problems **SSP**( $G$ ), **KP**( $G$ ), **BSMP**( $G$ ), as well as their search and optimization versions are in **P**.