

# The Geometry of Rings

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SCPQ

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## LWE Over Rings (Over-Simplified) [LPR'10]

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$$\|e + f\| \leq \|e\| + \|f\| \quad \|e \cdot f\| \leq \sqrt{n} \cdot \|e\| \cdot \|f\|.$$

“Expansion factor”  $\sqrt{n}$  is worst-case. (“On average,”  $\approx \sqrt{\log n}$ .)

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Many mults  $\Rightarrow$  large power of expansion factor  $\Rightarrow$  tiny error rate  $\alpha \Rightarrow$   
**big parameters!**

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“Power”  $\mathbb{Z}$ -basis of  $R$  is  $\{1, X, X^2, \dots, X^{n-1}\}$ .

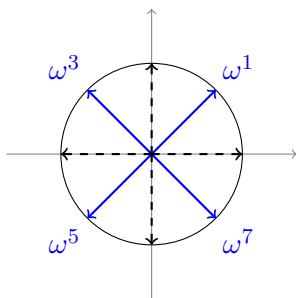
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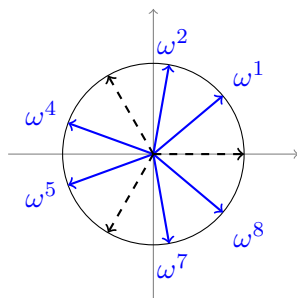
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$$\Phi_8(X) = 1 + X^4$$



$$\Phi_9(X) = 1 + X^3 + X^6$$

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- $\times$  Large expansion factor  $\gg \sqrt{n}$  – even super-poly( $n$ )!
- $\times$  Provable hardness also degrades with expansion factor: pay twice!

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  - ✓ No expansion factor anywhere
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Based on:

LPR'10 V. Lyubashevsky, C. Peikert, O. Regev.  
“On Ideal Lattices and Learning with Errors Over Rings.”

LPR'12 V. Lyubashevsky, C. Peikert, O. Regev.  
“A Toolkit for Ring-LWE Cryptography.”

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- ▶  $R$  has **tensor**  $\mathbb{Z}$ -basis  $\{X_1^{j_1} \cdots X_\ell^{j_\ell}\}$ , where each  $0 \leq j_i < \varphi(m_i)$ .

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## Reducing to the Prime-Power Case

- ▶ Let  $m$  have prime-power factorization  $m = m_1 \cdots m_\ell$ . Then

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- ▶  $R$  has **tensor**  $\mathbb{Z}$ -basis  $\{X_1^{j_1} \cdots X_\ell^{j_\ell}\}$ , where each  $0 \leq j_i < \varphi(m_i)$ .  
Notice!: tensor basis  $\neq$  power basis  $\{X^j\}$ ,  $0 \leq j < \varphi(m)$ .

# Cyclotomic Rings

## Key Facts

- 1 For prime  $p$ :  $\Phi_p(X) = 1 + X + X^2 + \dots + X^{p-1}$
- 2 For  $m = p^e$ :  $\Phi_m(X) = \Phi_p(X^{m/p}) = 1 + X^{m/p} + \dots + X^{m-m/p}$
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- ▶ **Bottom line:** can reduce operations in  $R$  to independent operations in prime-power cyclotomic rings  $\mathbb{Z}[X_i]/\Phi_{m_i}(X_i)$ .

## Canonical Geometry of $R$

- ▶  $R = \mathbb{Z}[X]/\Phi_m(X)$  has  $n = \varphi(m)$  ring **embeddings** (homomorphisms) into  $\mathbb{C}$ , each given by evaluation at a root of  $\Phi_m$ :

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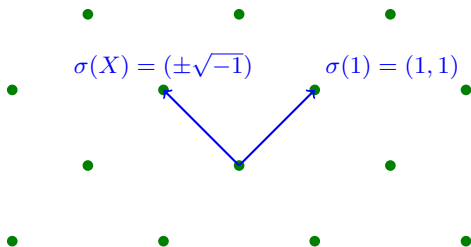
- ✓ Expansion is **element-specific**. No more ring “expansion factor.”

## Example 1

- ▶ 4th cyclotomic  $R = \mathbb{Z}[X]/(1 + X^2)$ : embeddings  $X \mapsto \pm\sqrt{-1}$

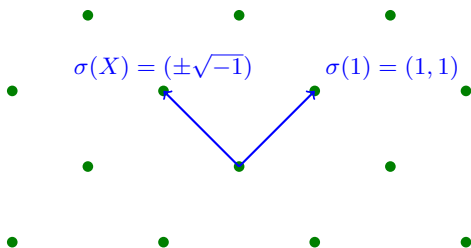
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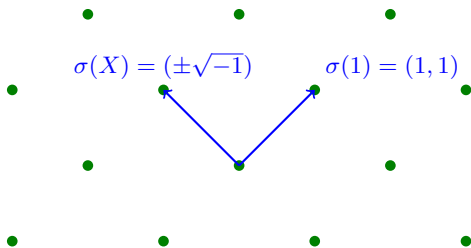


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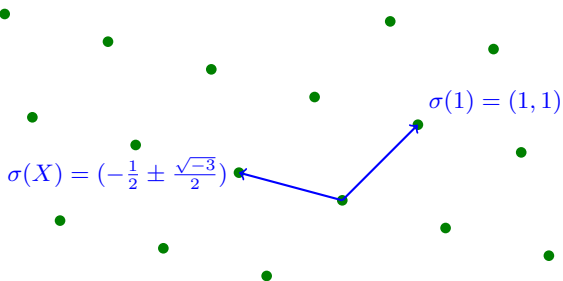


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- ✓ For any  $j$ ,  $\|X^j\|_2 = \sqrt{n}$  and  $\|X^j\|_\infty = 1$ .
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So coefficient/canonical embeddings **equivalent** (up to  $\sqrt{n}$  scaling).

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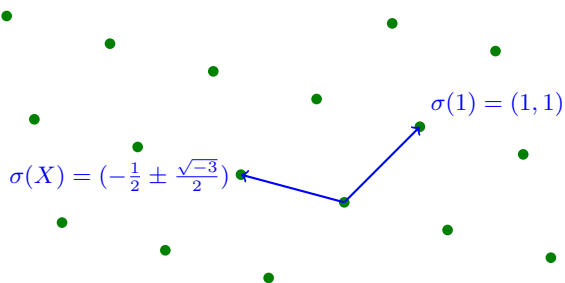
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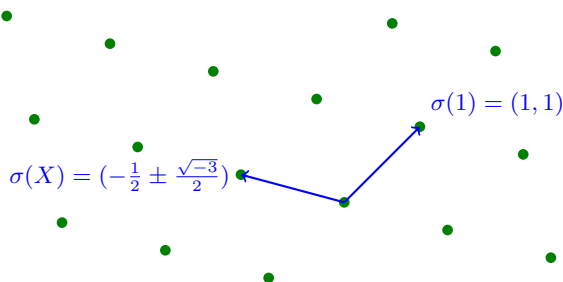


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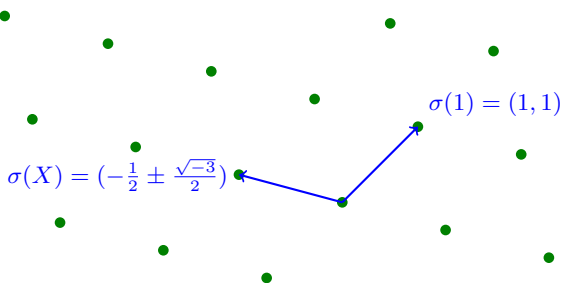


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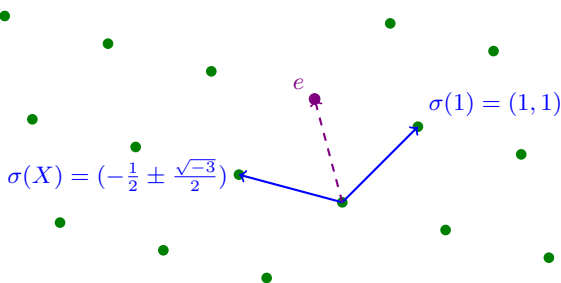


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E.g.,  $\|e\| = \|1\| = \|X\| = \sqrt{n}$  but  $e = 1 + X$ .

## Duality and the Dual Ideal $R^\vee$

- ▶ Define **trace** function  $\text{Tr}: R \rightarrow \mathbb{Z}$  as  $\text{Tr}(a) = \sum_{i \in \mathbb{Z}_m^*} a(\omega^i)$ .

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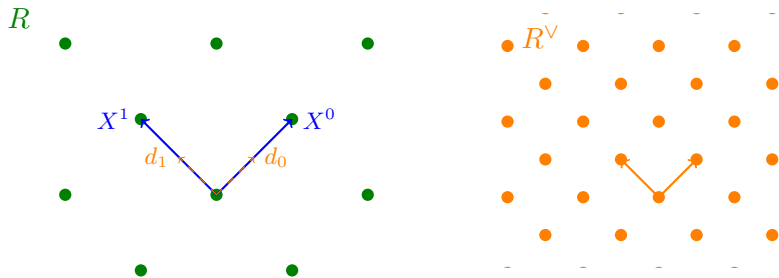
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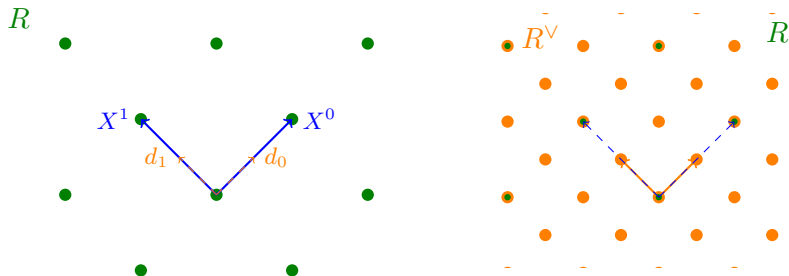


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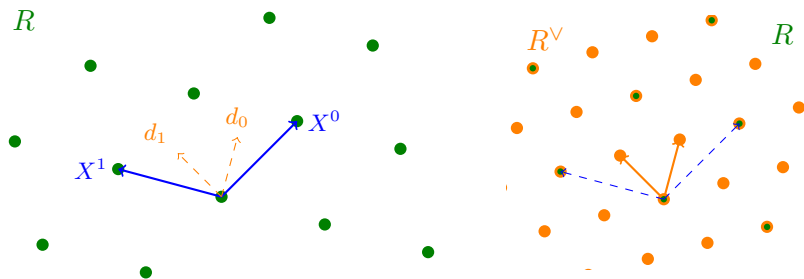


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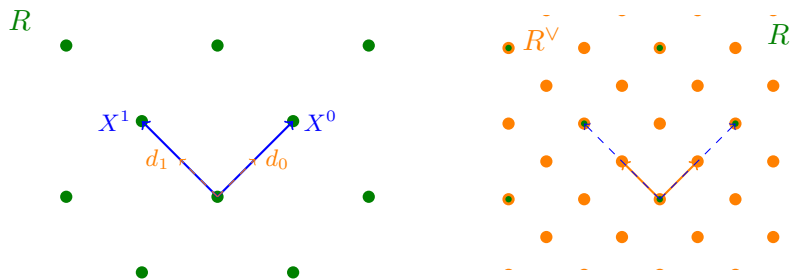
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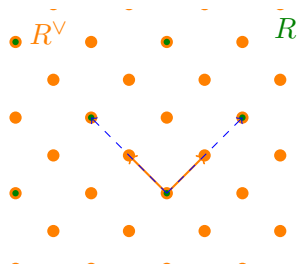
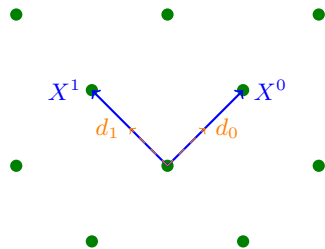
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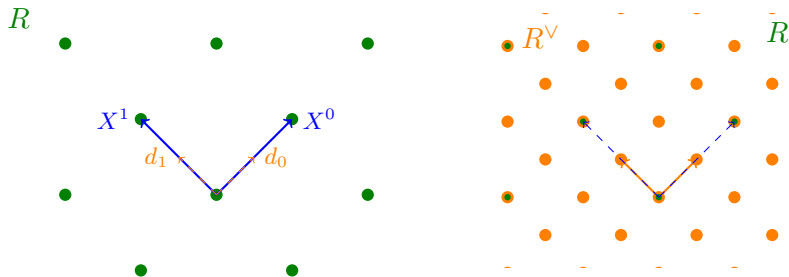


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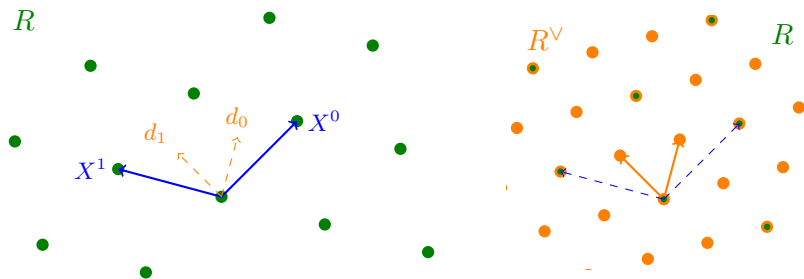


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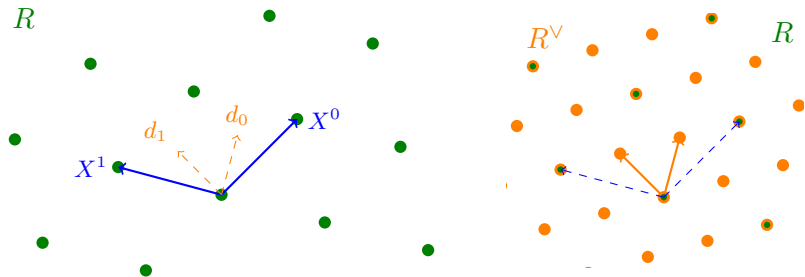


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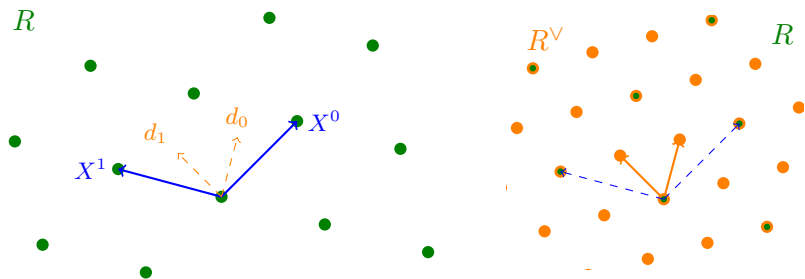
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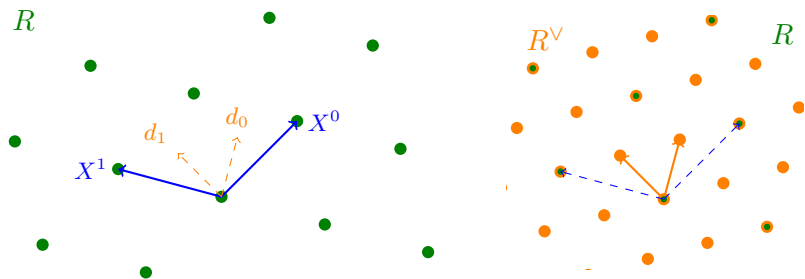
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(Better: Gaussian  $e$  w/std. dev.  $s \implies$  Gaussian  $e_j$  w/std. dev.  $s\sqrt{n}$ .)





## Ring-LWE: The Complete Definition [LPR'10]

Ring  $\boxed{R := \mathbb{Z}[X]/\Phi_m(X)}$  for any  $m$ ,  $R_q = R/qR$ ,  $R_q^\vee = R^\vee/qR^\vee$ .

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### Theorem

For **any**  $m$ , ring-LWE with error std. dev.  $\alpha q \geq 6^*$

is (quantumly) as hard as

$\tilde{O}(n/\alpha)$ -SVP on any **ideal lattice** in  $R$ .

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$$c_1 \leftarrow R_q^\vee \quad \text{and} \quad c_0 = -c_1 \cdot s + e \in R_q^\vee$$

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Versus  $q \approx \gamma^{k-1} n^k$  via expansion factor  $\gamma \gg \sqrt{n}$ .  
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