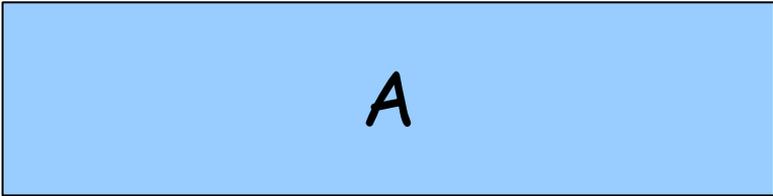


Efficient Cryptography from Generalized Compact Knapsacks

Vadim Lyubashevsky
INRIA & ENS Paris

The Knapsack Problem

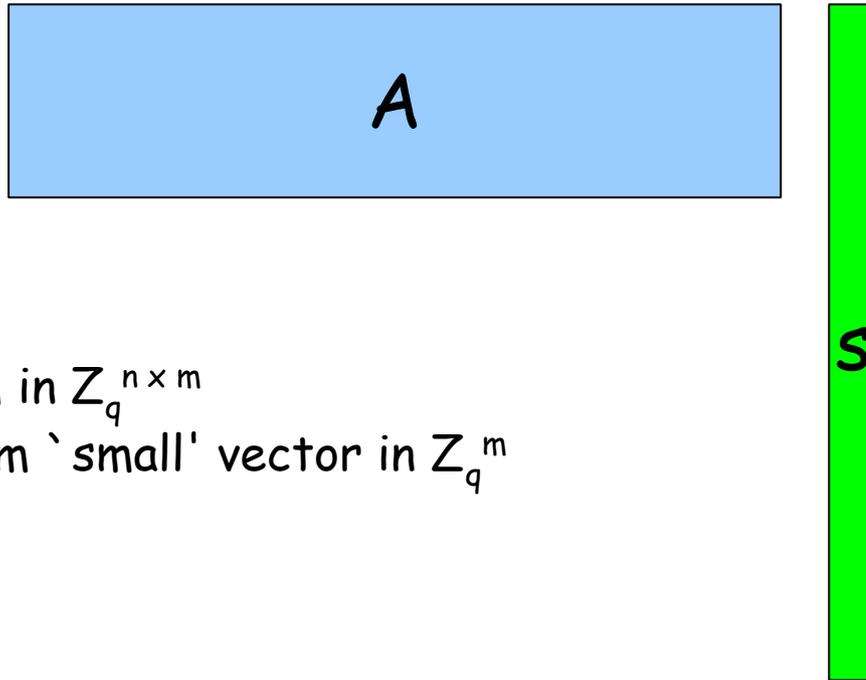
The Knapsack Problem



A

A is random in $Z_q^{n \times m}$

The Knapsack Problem



A is random in $Z_q^{n \times m}$
 s is a random 'small' vector in Z_q^m

The Knapsack Problem

A diagram illustrating the knapsack problem equation. On the left, a light blue horizontal rectangle contains the letter 'A'. To its right is a vertical green bar containing the letter 's'. To the right of the green bar is an equals sign, followed by a light blue vertical rectangle containing the letter 'b'.

$$A \cdot s = b$$

A is random in $Z_q^{n \times m}$
 s is a random 'small' vector in Z_q^m
 $b = As \pmod q$

The Knapsack Problem

The diagram illustrates the equation $As = b$ using colored boxes. A light blue horizontal rectangle labeled 'A' is on the left. To its right is a vertical green rectangle labeled 's'. To the right of 's' is an equals sign, followed by a vertical light blue rectangle labeled 'b'.

A is random in $\mathbb{Z}_q^{n \times m}$
 s is a random 'small' vector in \mathbb{Z}_q^m
 $b = As \pmod q$

Given (A, b) , find small s' such that
 $As' = b \pmod q$

The Knapsack Problem

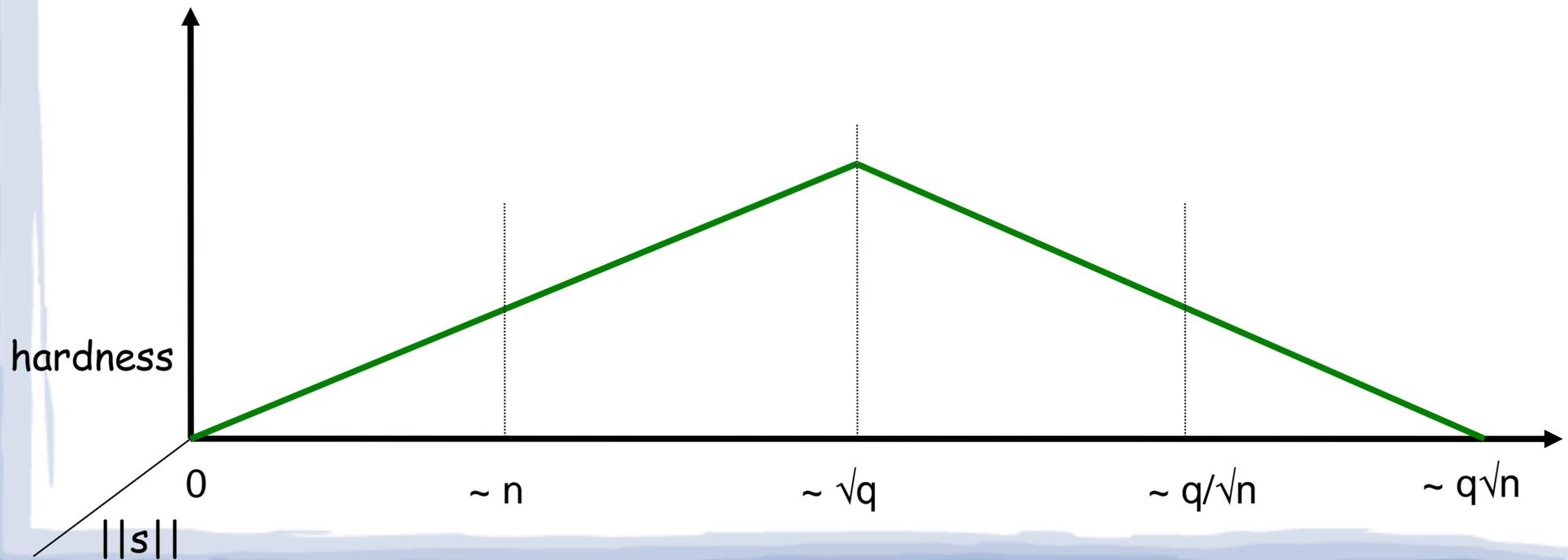
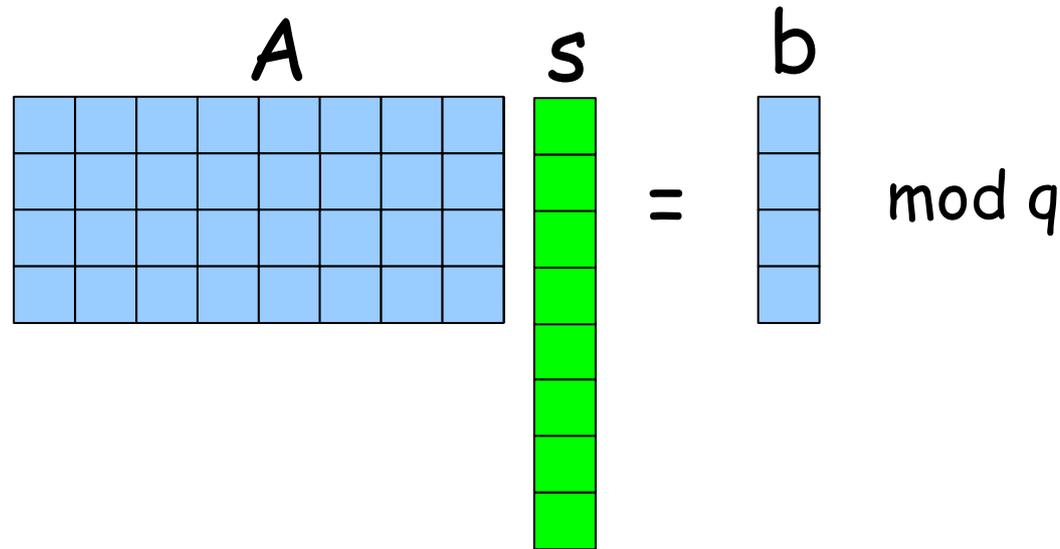
$$A \cdot s = b \pmod{17}$$

A							
4	11	6	8	1	0	0	0
7	7	1	2	0	1	0	0
2	9	12	5	0	0	1	0
1	3	14	9	0	0	0	1

s
1
0
0
1
0
1
1
0

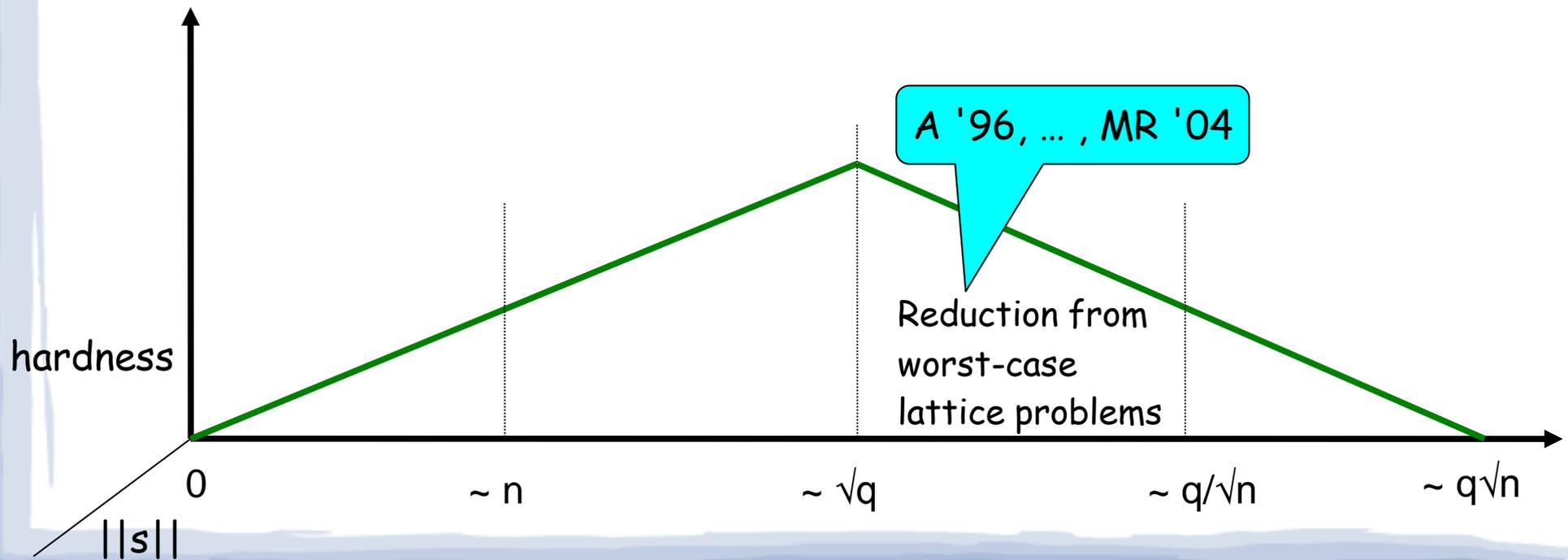
b
12
10
8
10

Hardness of the Knapsack Problem



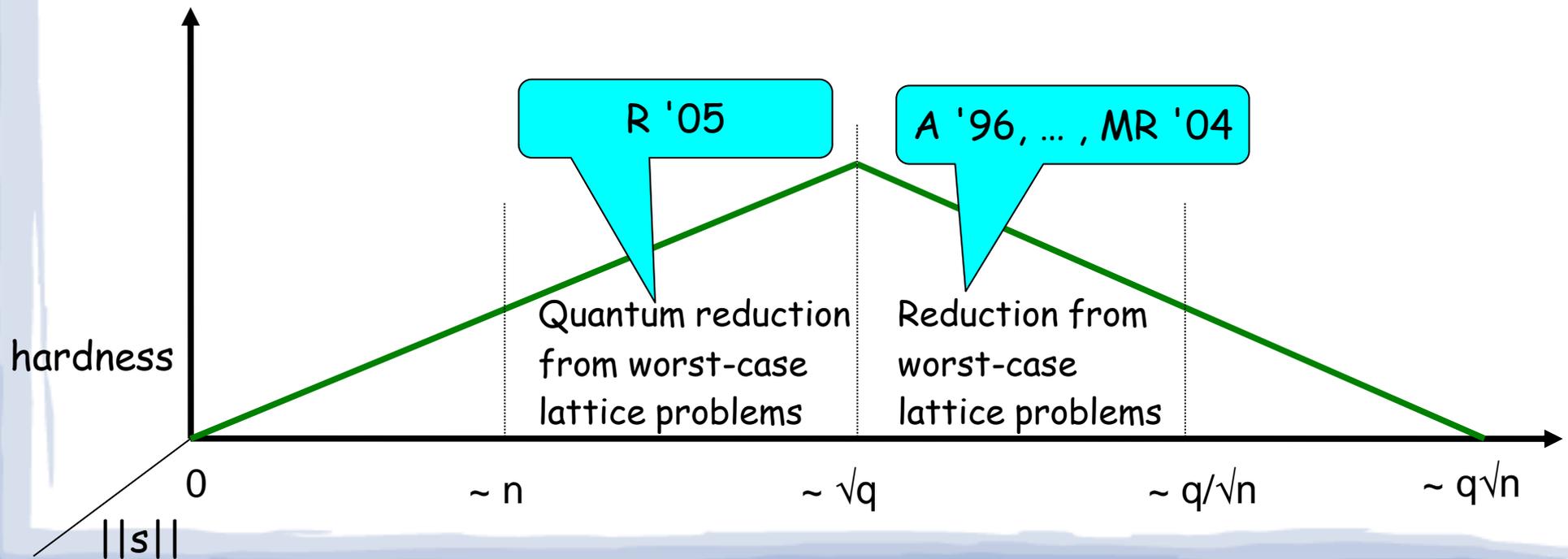
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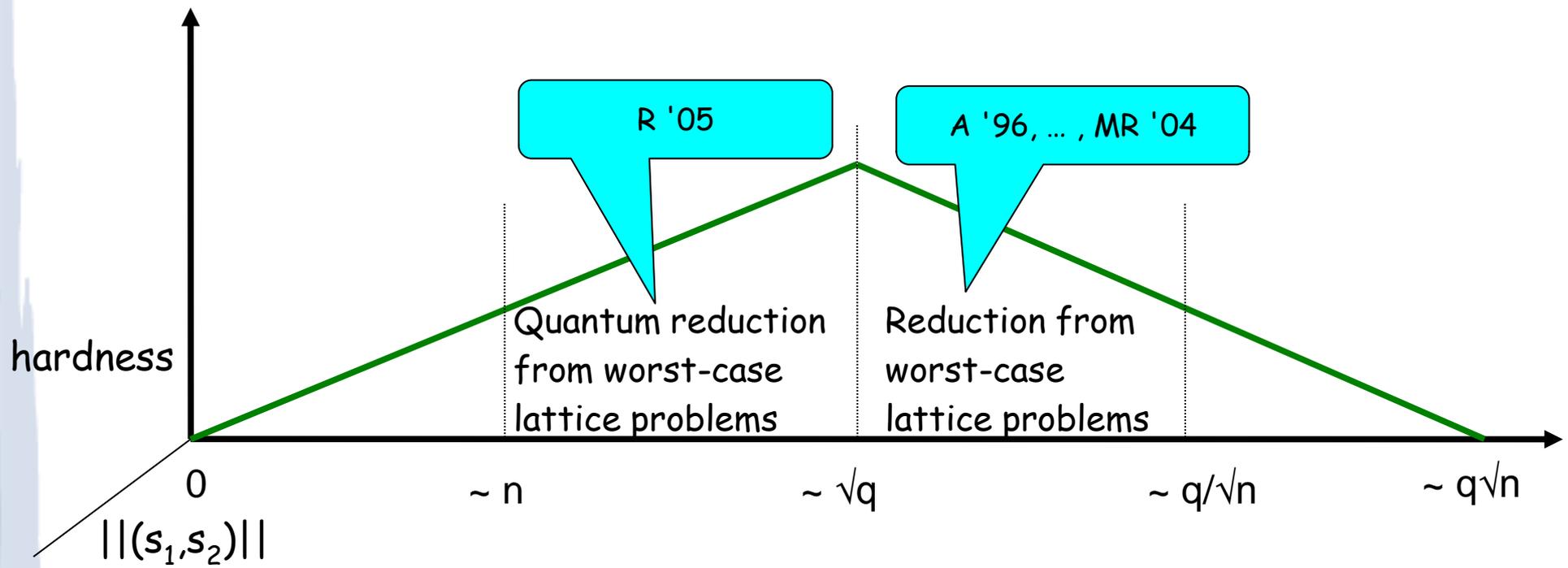


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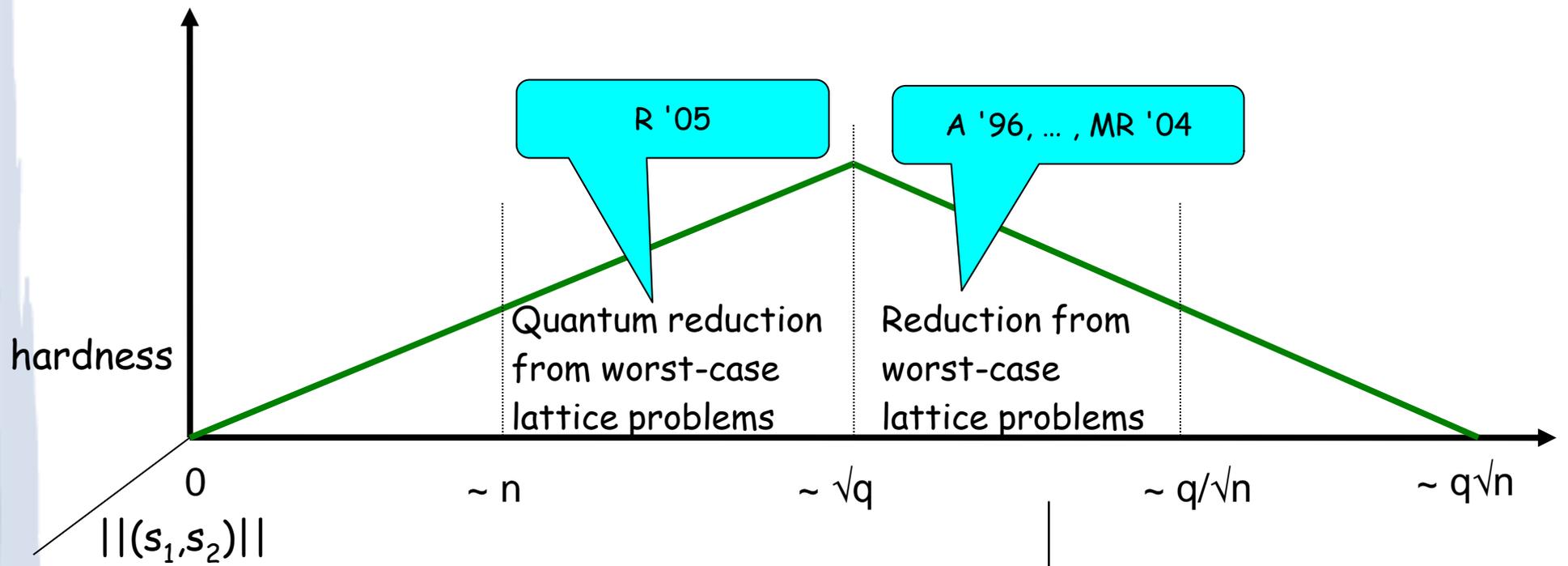
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Cryptographic Primitives

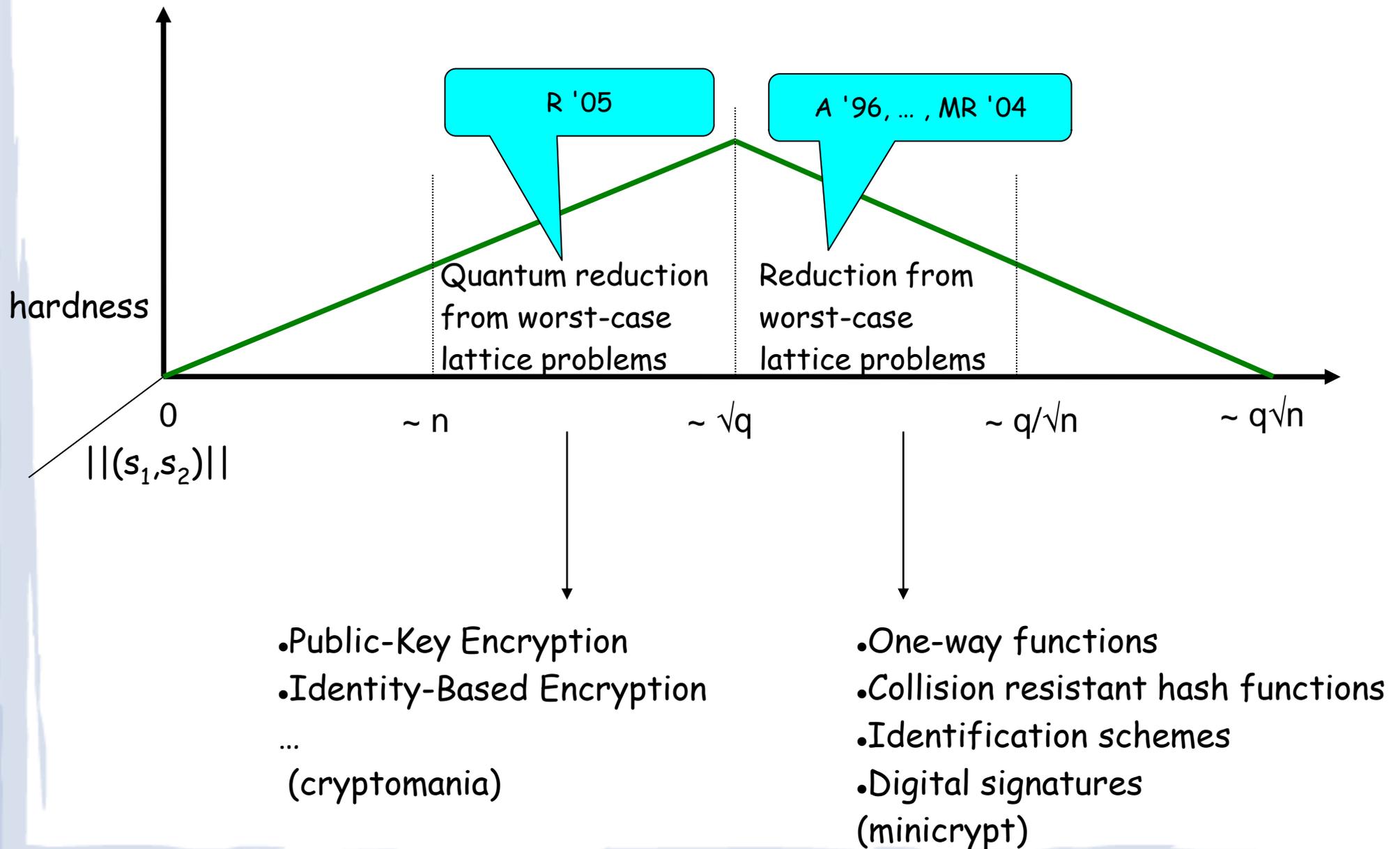


Cryptographic Primitives

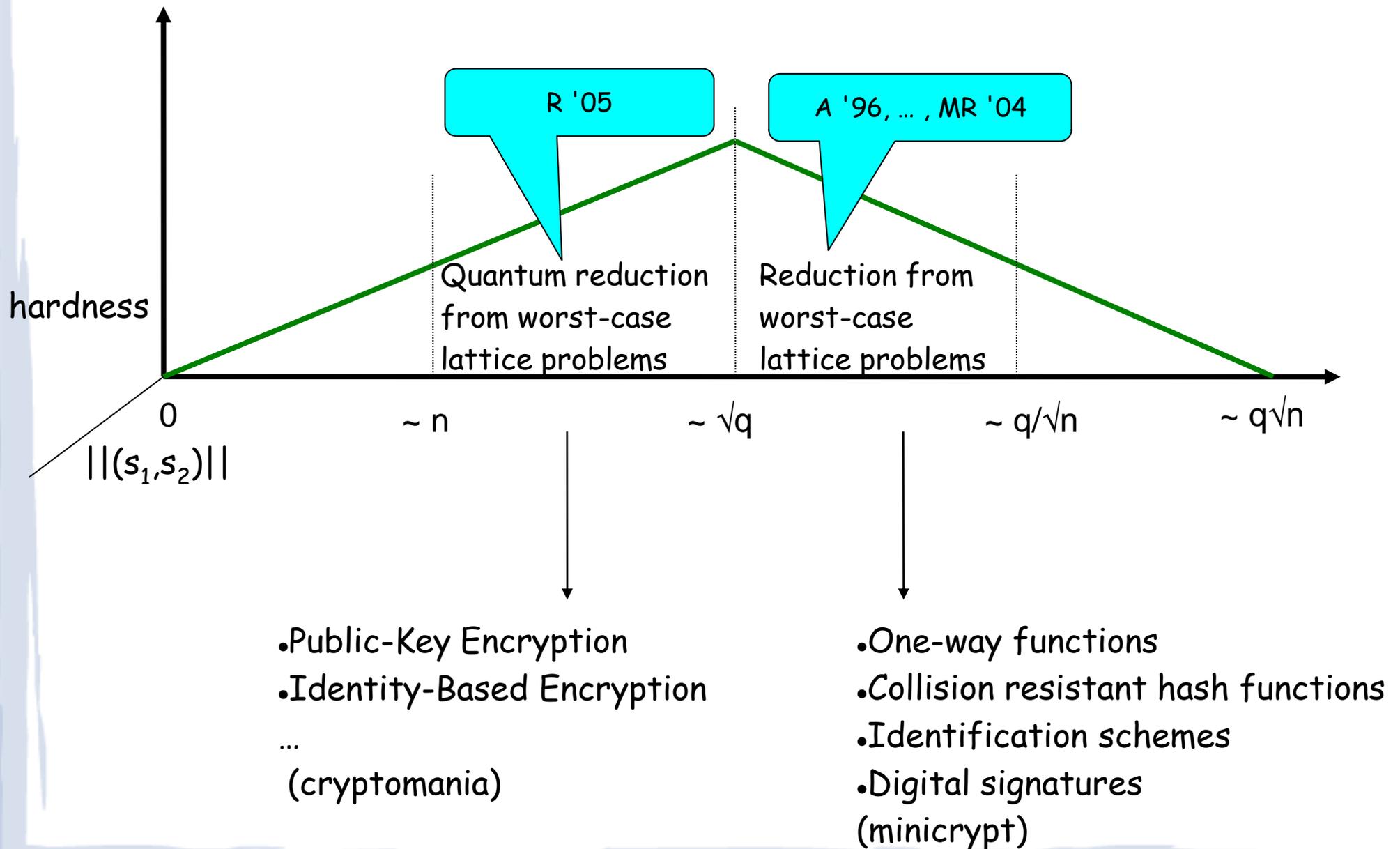


- One-way functions
- Collision resistant hash functions
- Identification schemes
- Digital signatures (minicrypt)

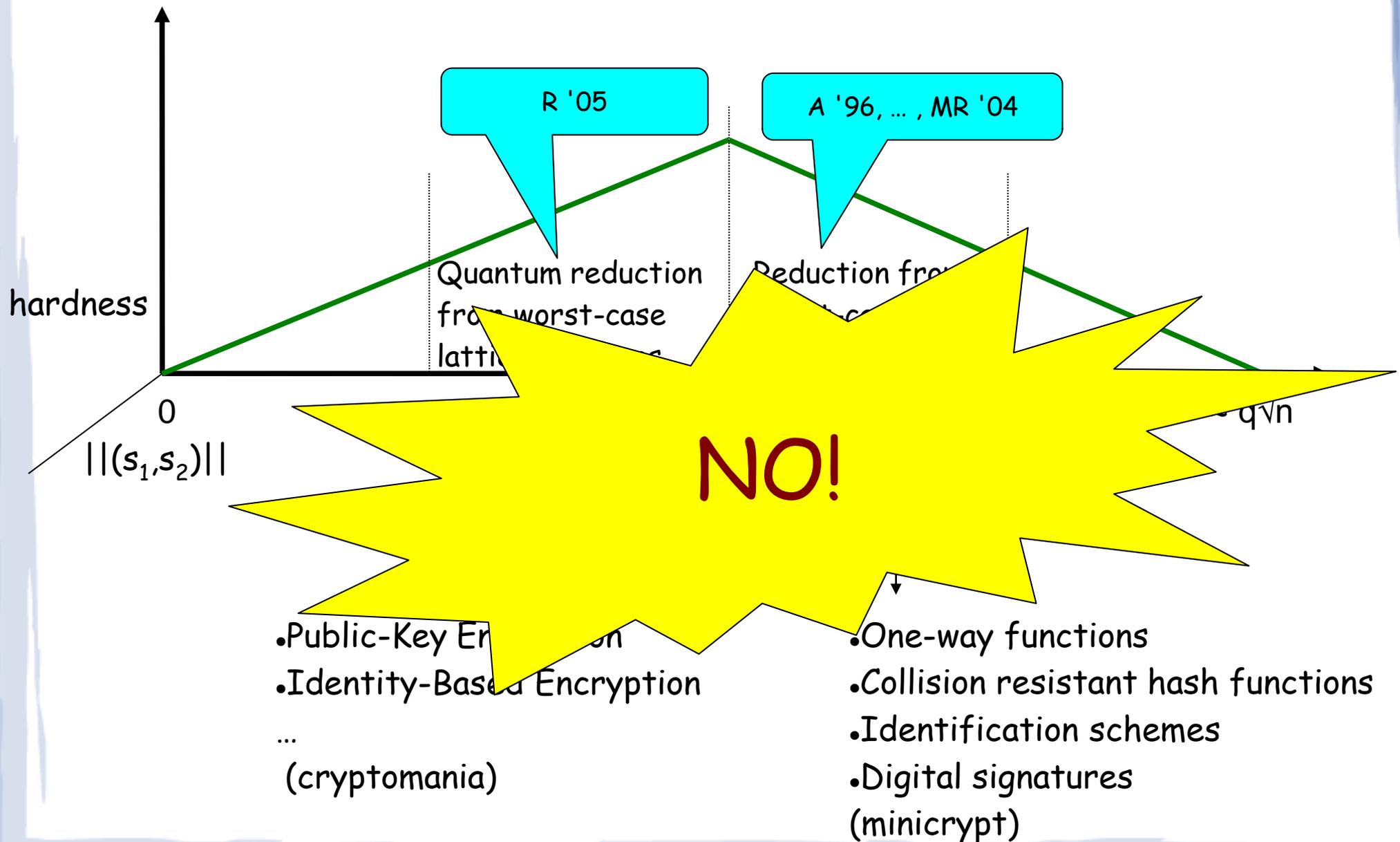
Cryptographic Primitives



Practical Cryptographic Primitives?



Practical Cryptographic Primitives?



Why Construct Crypto Primitives Based on Knapsacks?

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Can we have the same properties and practicality?

The Compact Knapsack Problem

$$A \cdot s = b \pmod{q}$$

4	-1	-2	-7	1	0	0	0
7	4	-1	-2	0	1	0	0
2	7	4	-1	0	0	1	0
1	2	7	4	0	0	0	1

The diagram illustrates the compact knapsack problem as a system of linear equations modulo q . It features a matrix A with 4 rows and 8 columns, a vector s with 8 green cells, and a vector b with 4 blue cells. The equation $A \cdot s = b \pmod{q}$ is shown to the right of the matrix and vector s .

The Compact Knapsack Problem

$$A \cdot s = b \pmod{q}$$

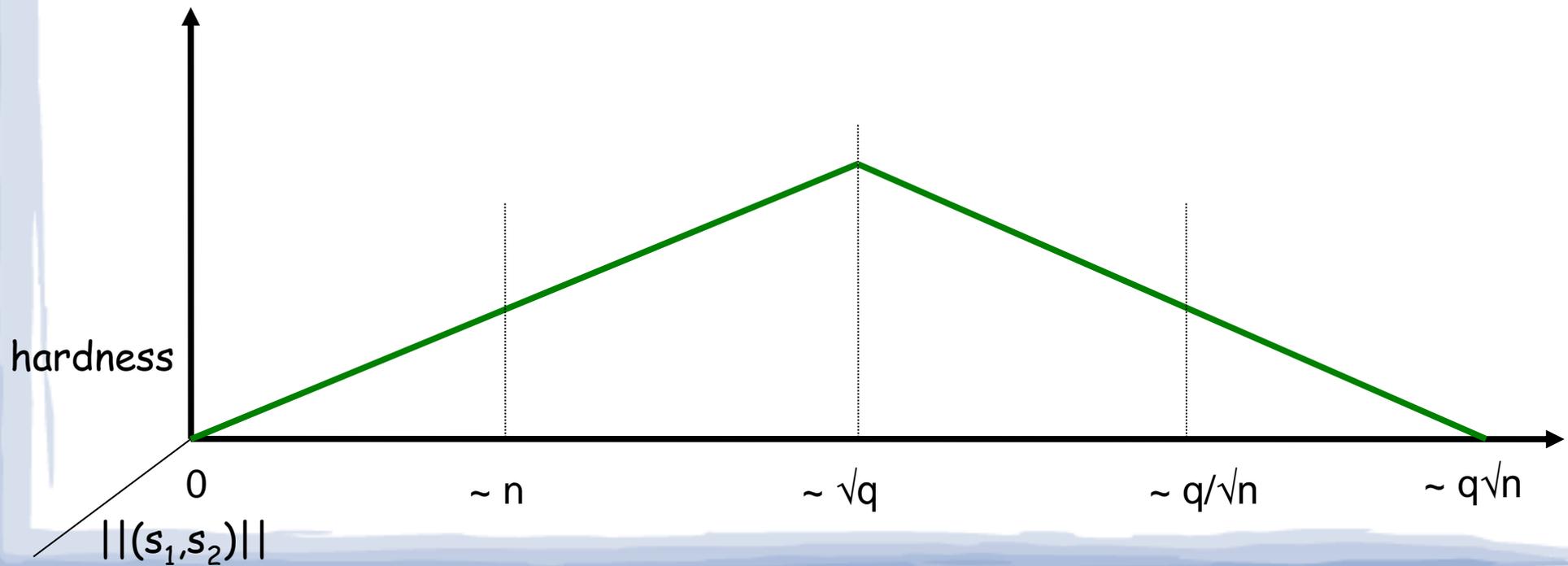
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The diagram illustrates the compact knapsack problem. It shows a matrix A with 4 rows and 8 columns, a vector s with 8 elements, and a vector b with 4 elements. The matrix A is a 4x8 grid of integers. The vector s is a vertical column of 8 green boxes. The vector b is a vertical column of 4 blue boxes. An equals sign is placed between the vector s and the vector b , with the text "mod q" to the right of the vector b .

Equivalent to polynomial multiplication in the ring $R = \mathbb{Z}_q[x]/(x^n+1)$
 $as_1 + s_2 = b$

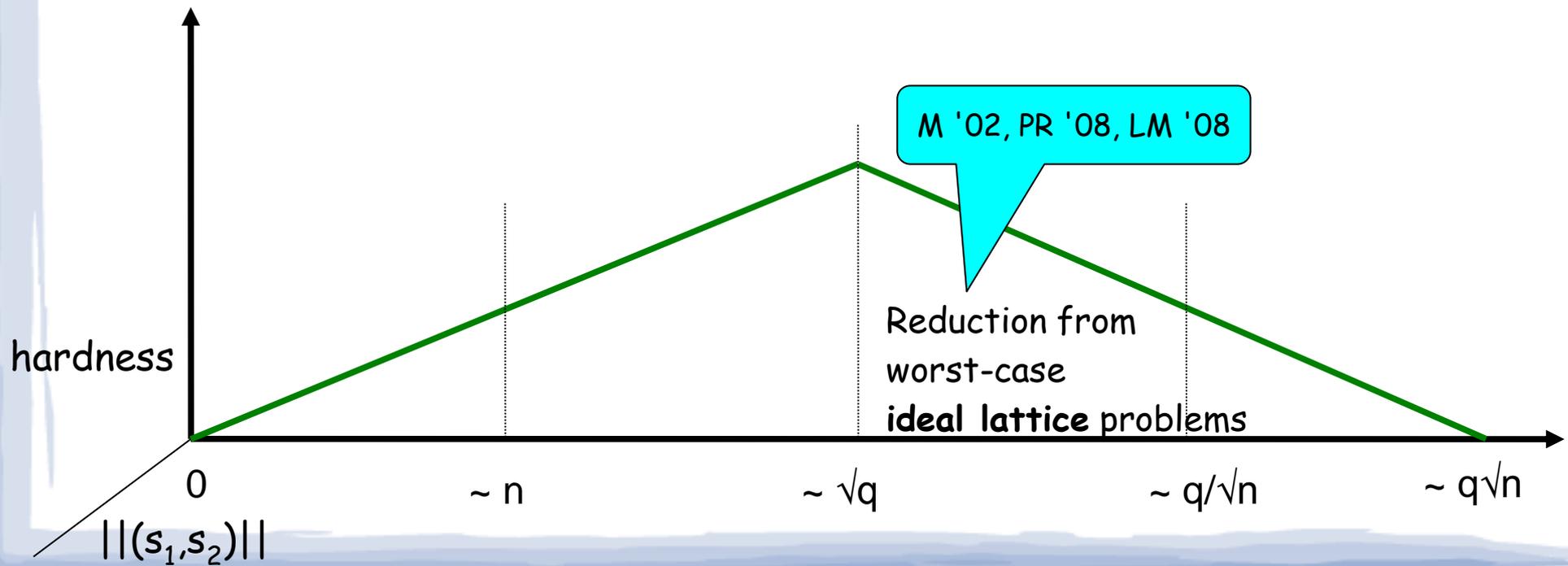
Hardness of the Compact Knapsack Problem

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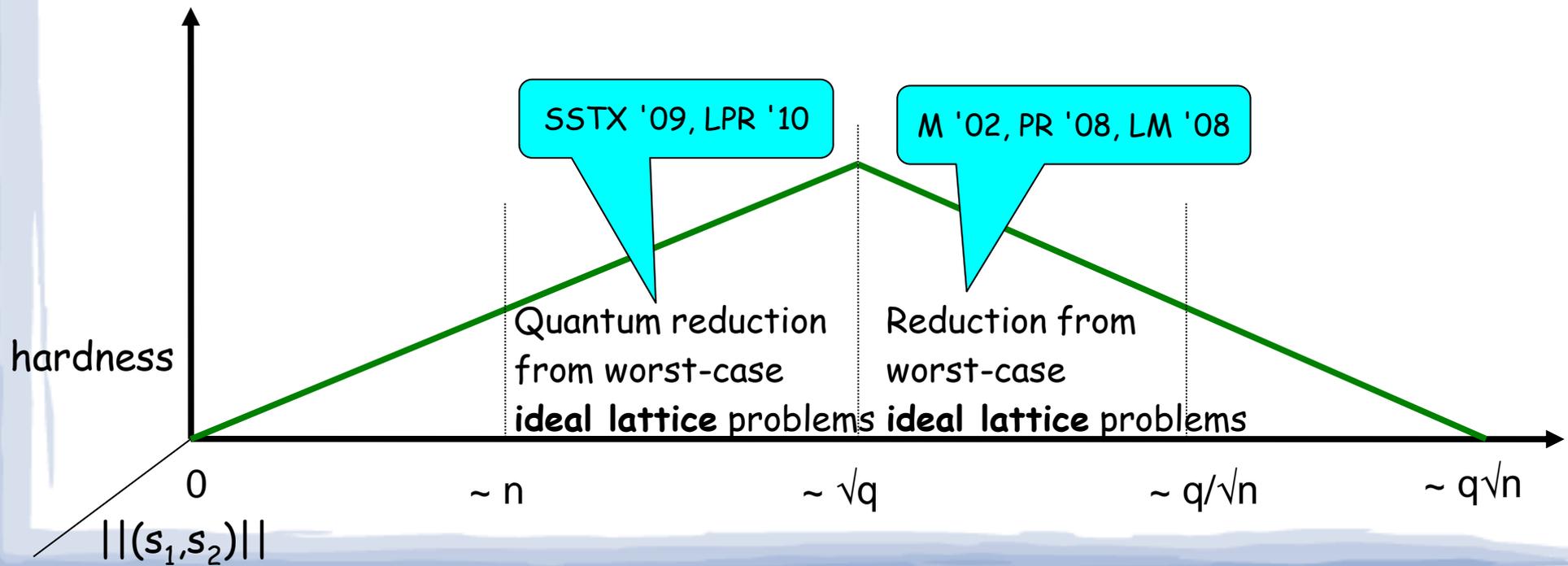
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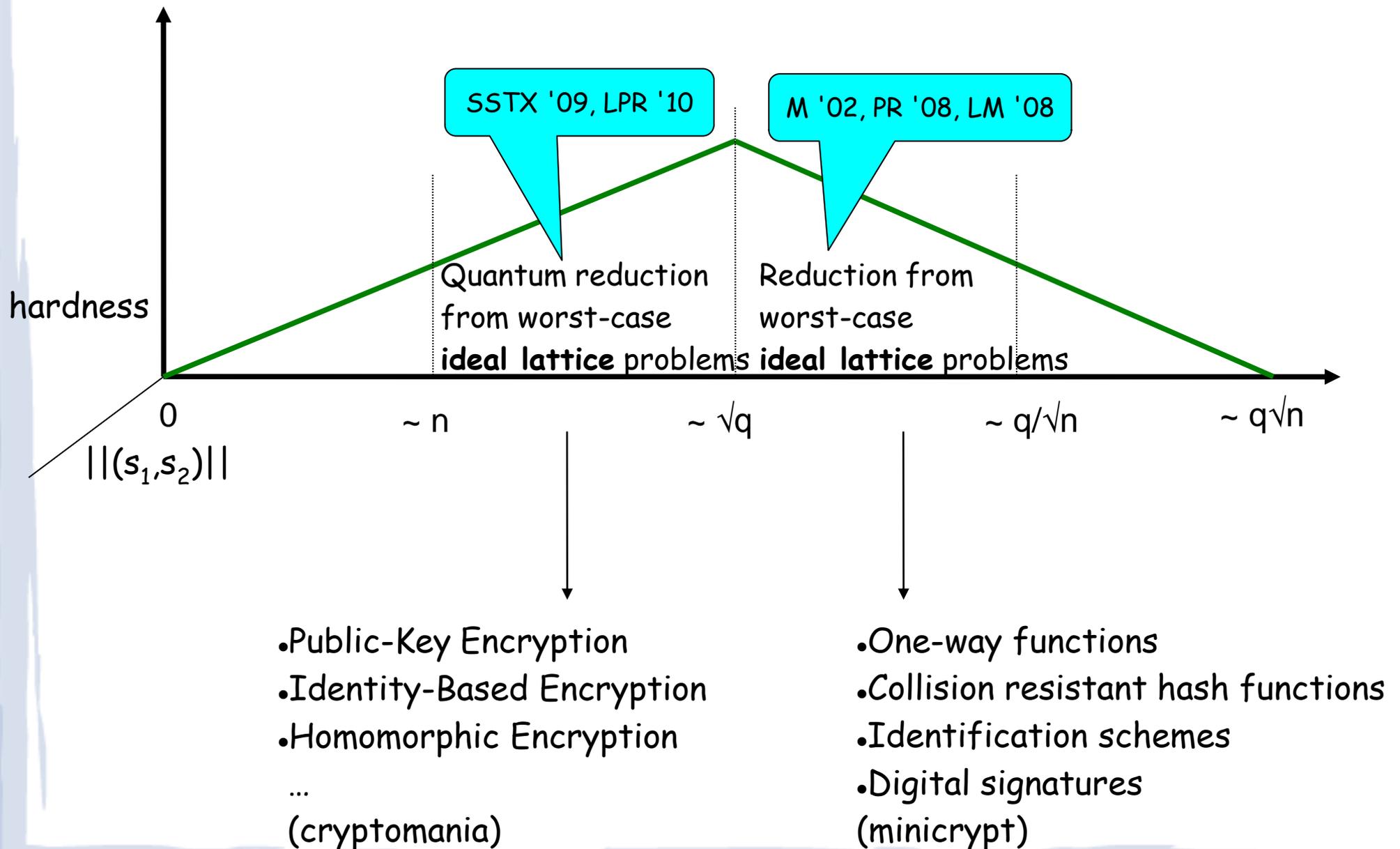


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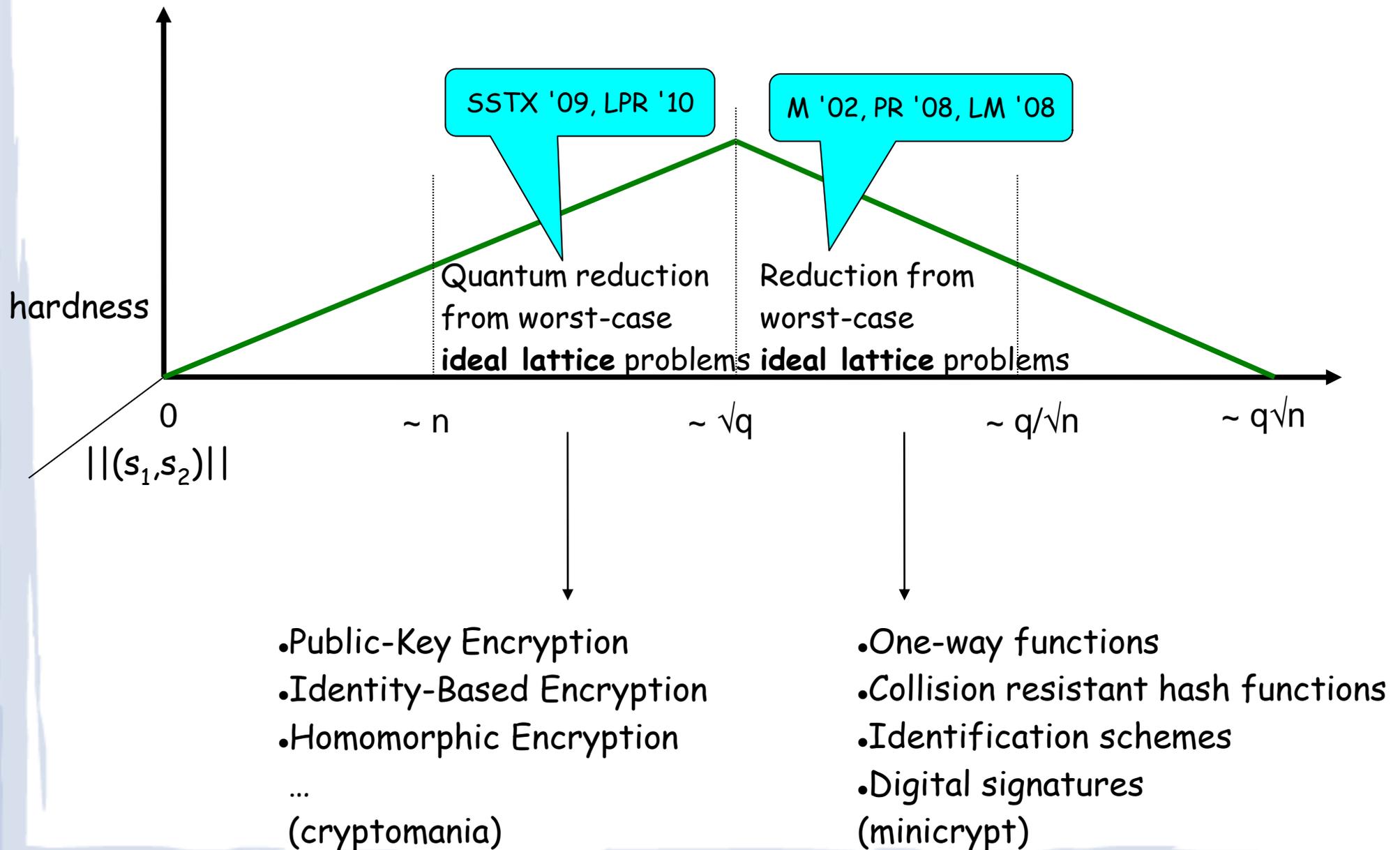
$$as_1 + s_2 = b \pmod{q}$$



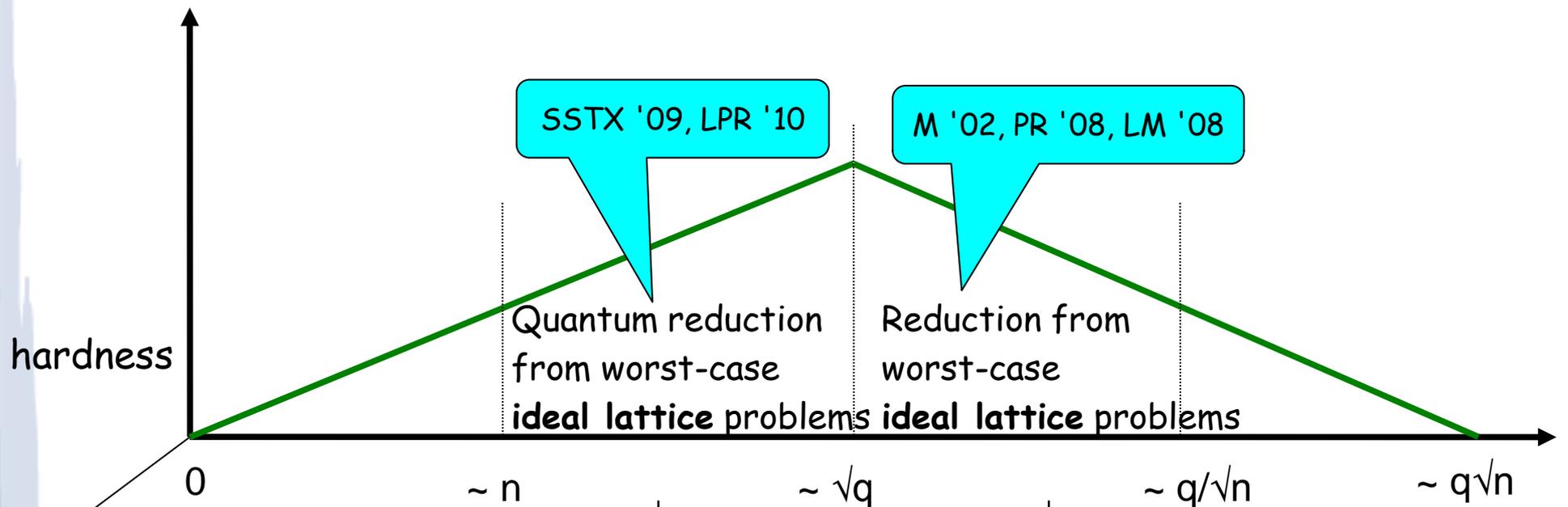
Cryptographic Primitives



Practical Cryptographic Primitives?



Practical Cryptographic Primitives?



- ⚡ •Public-Key Encryption
- Identity-Based Encryption
- Homomorphic Encryption
- ...
- (cryptomania)

- ⚡ •One-way functions
- ⚡ •Collision resistant hash functions
- Identification schemes
- Digital signatures
- (minicrypt)

Digital Signatures

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Digital Signatures

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- If we want lattices to be a viable alternative, we **must** make signatures smaller
 - In my opinion, this, and constructing 'practical' fully-homomorphic encryption are the two most important problems in lattice-based crypto

In this Talk

In this Talk

- A new way to construct lattice-based signature schemes

In this Talk

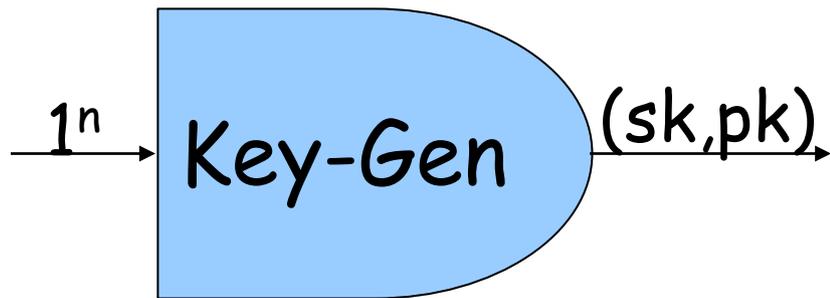
- A new way to construct lattice-based signature schemes
- For ~ 80 bits of security:
 - public key $\sim 12,000$ bits
 - secret key ~ 1700 bits
 - signature size ~ 9000 bits
 - much faster than RSA/EC signatures

Digital Signature Schemes

Consist of three algorithms: *Key-Generate*, *Sign*, and *Verify*

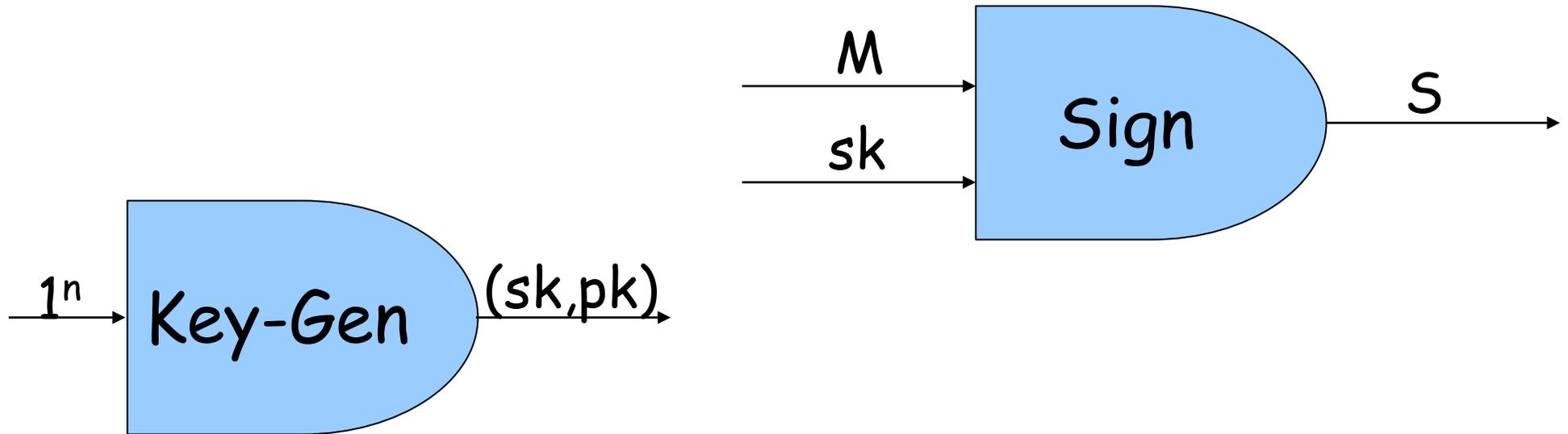
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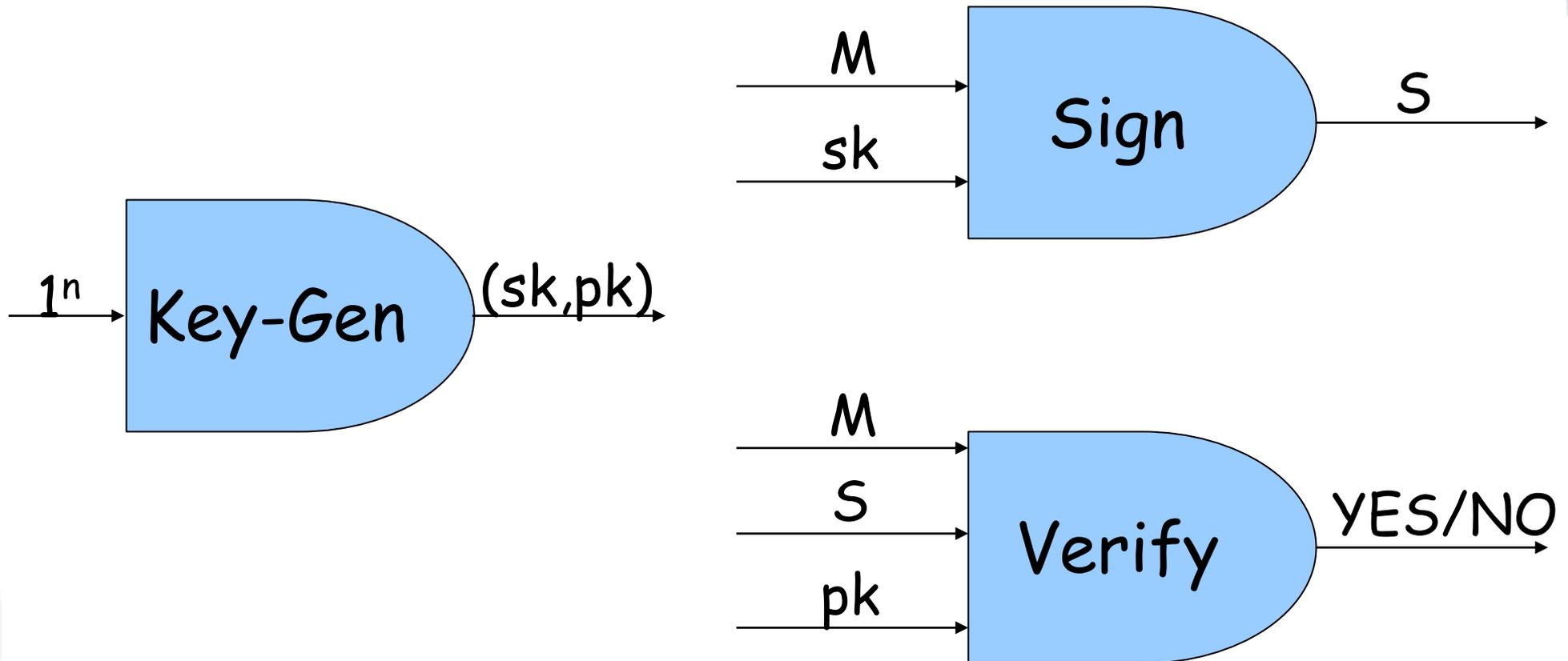
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Digital Signature Schemes

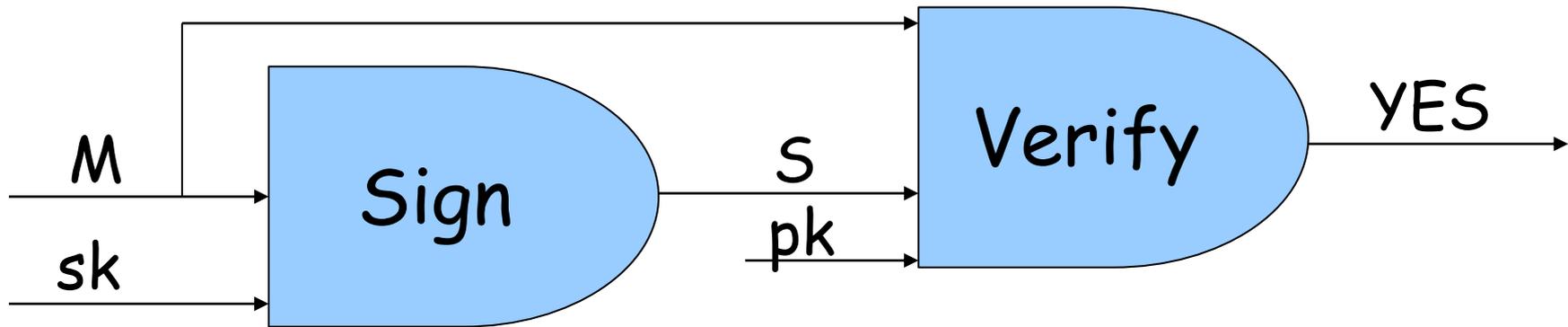
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Two Properties

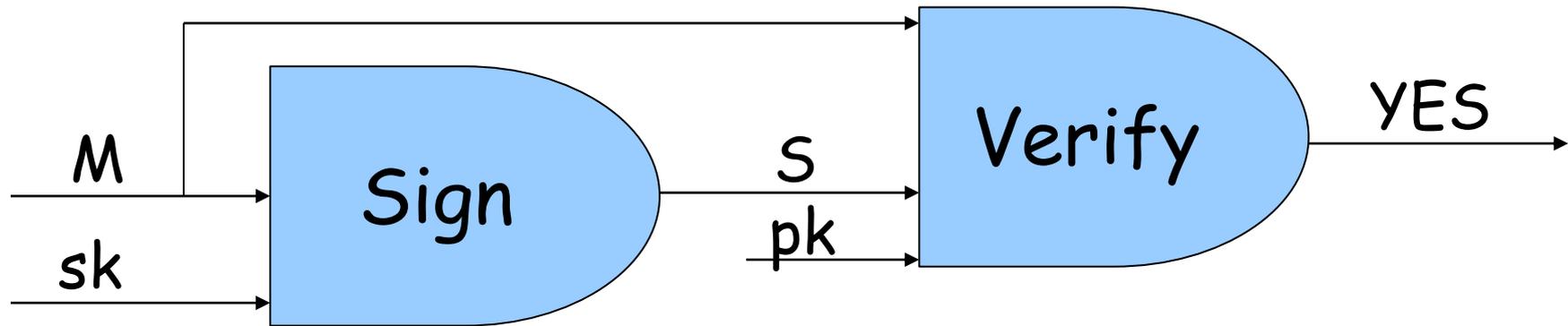
Two Properties

1. Correctness



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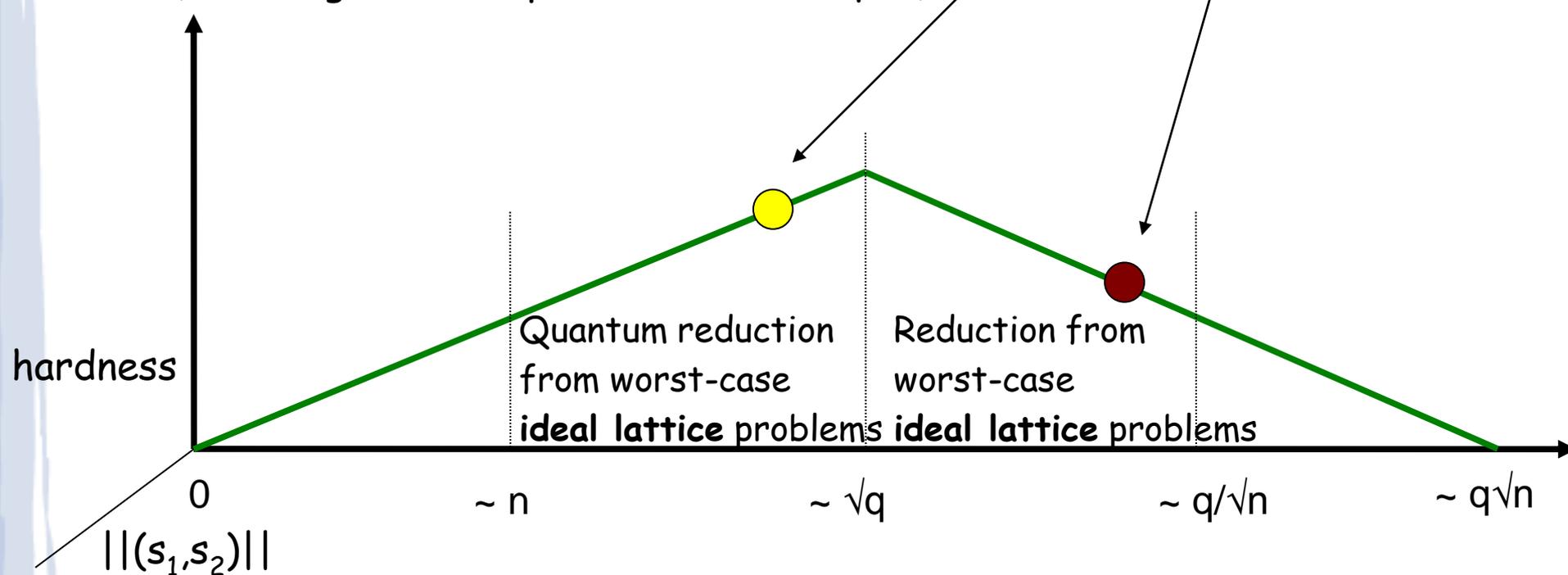
2. Security

Unless M has been signed, cannot find an S such that

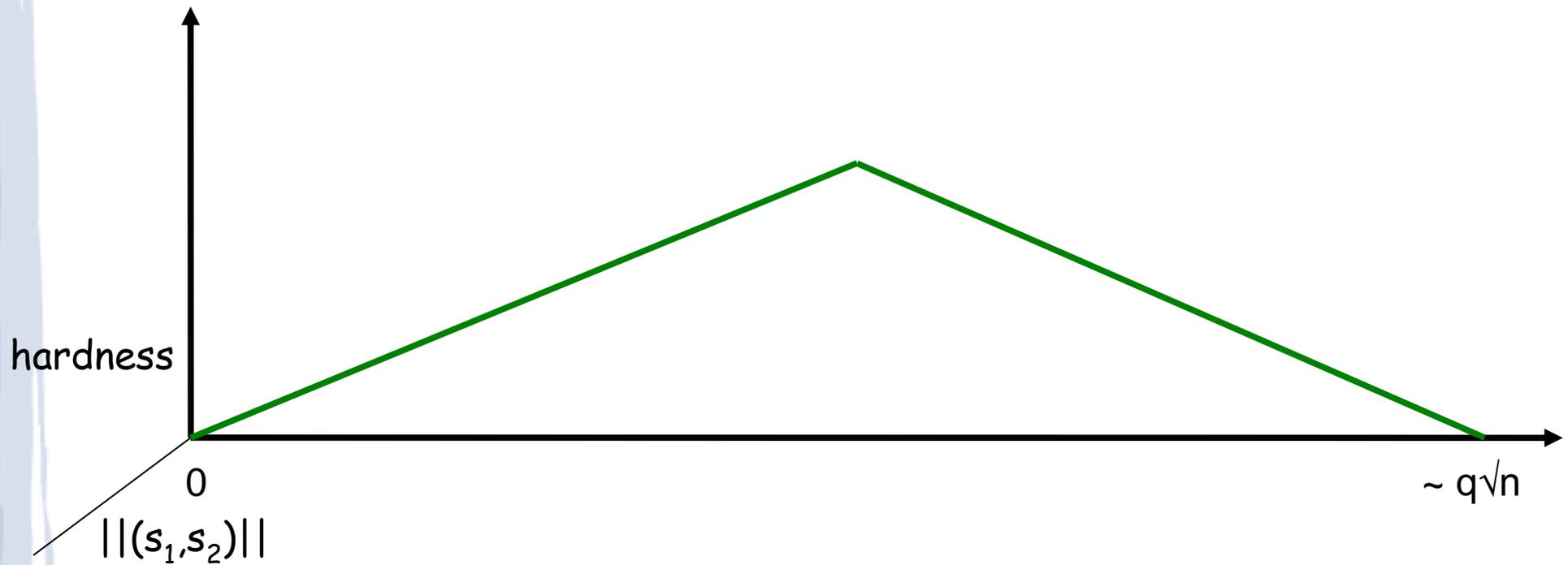


Super High-Level Idea Behind the New Construction

Is it better to have a scheme based on this problem or this problem?
(assuming all other parameters are equal)

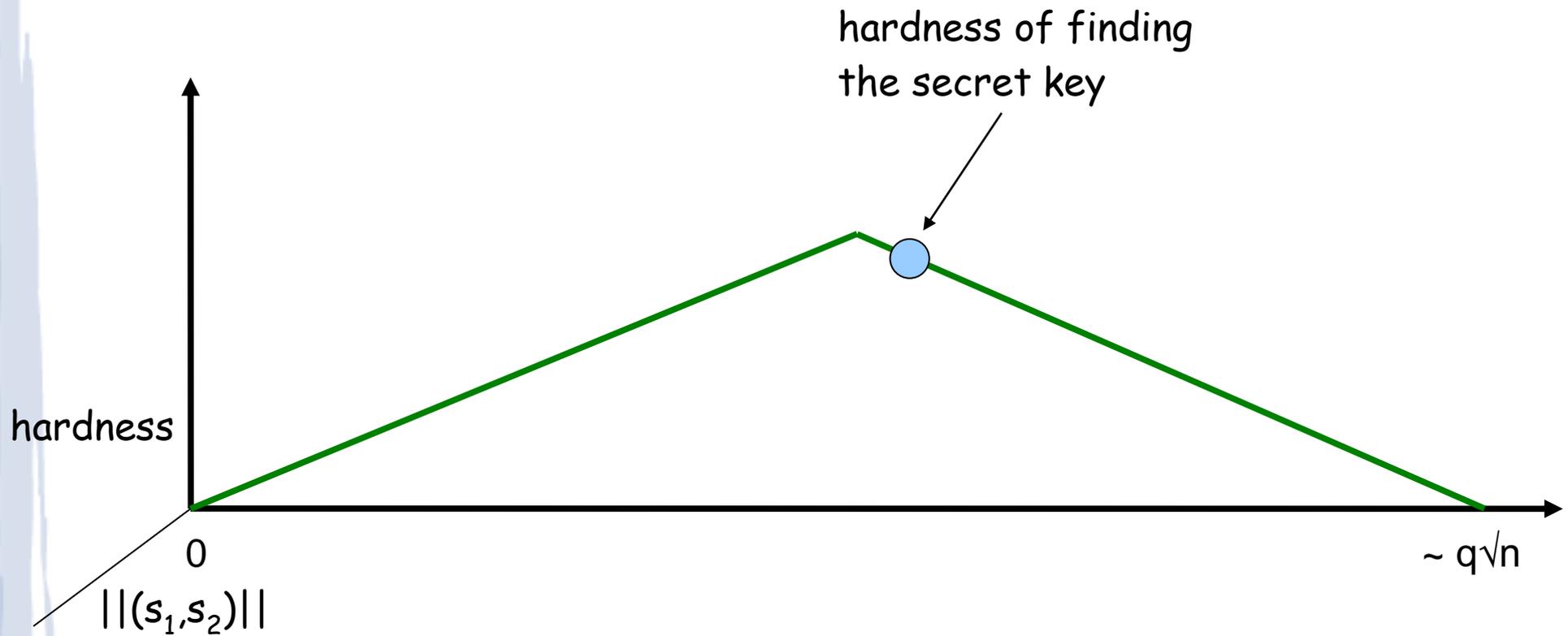


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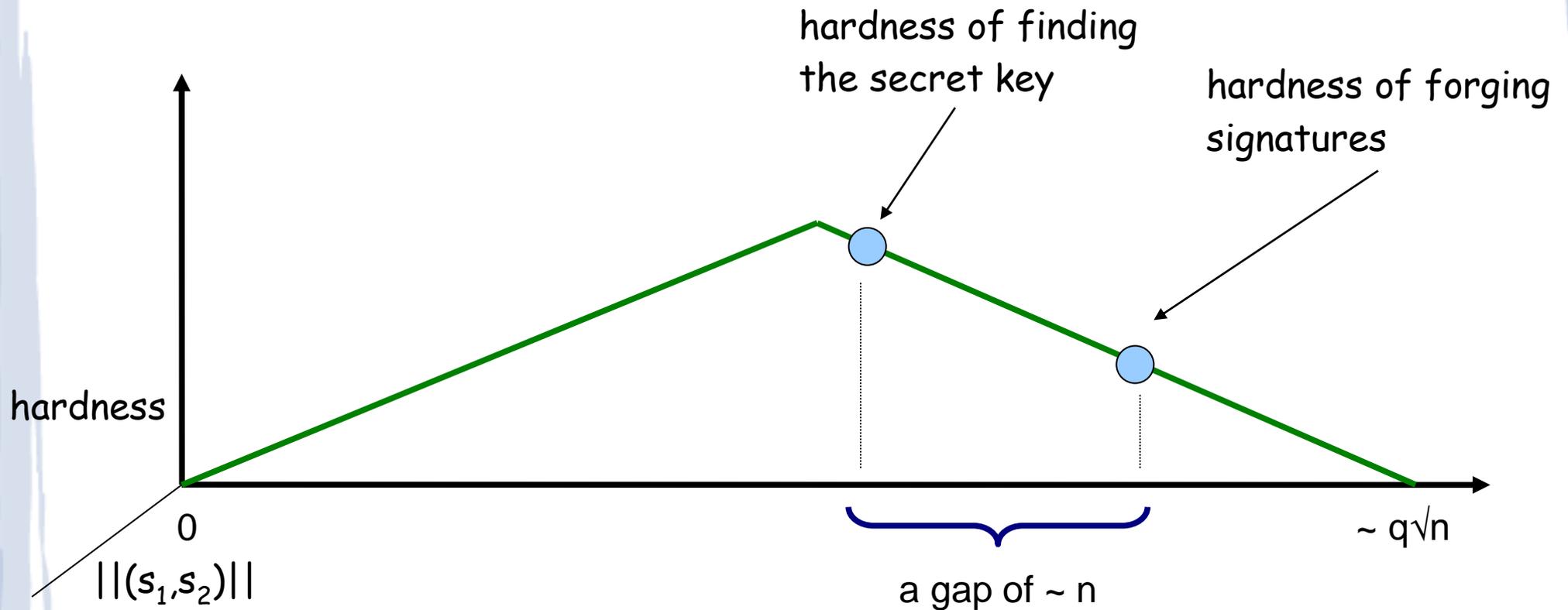
Super High-Level Idea Behind the New Construction

● Previous constructions



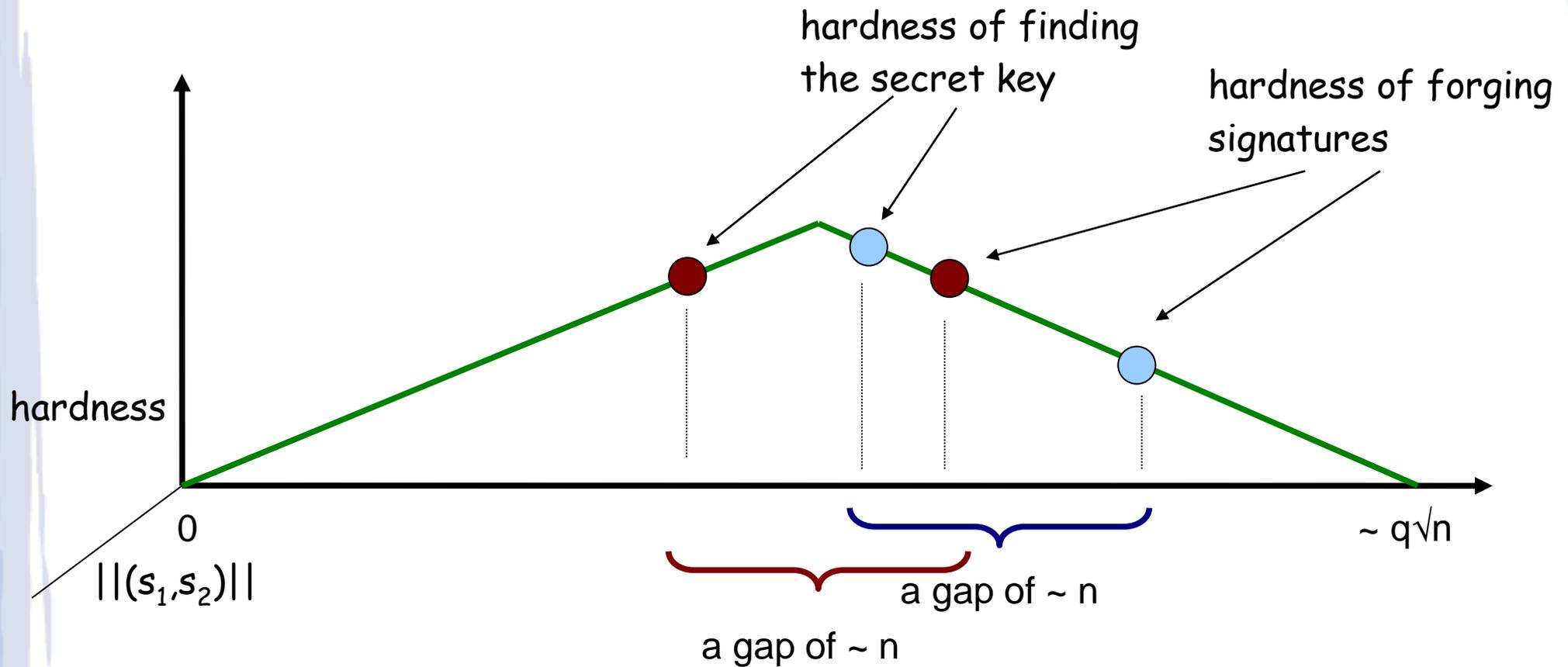
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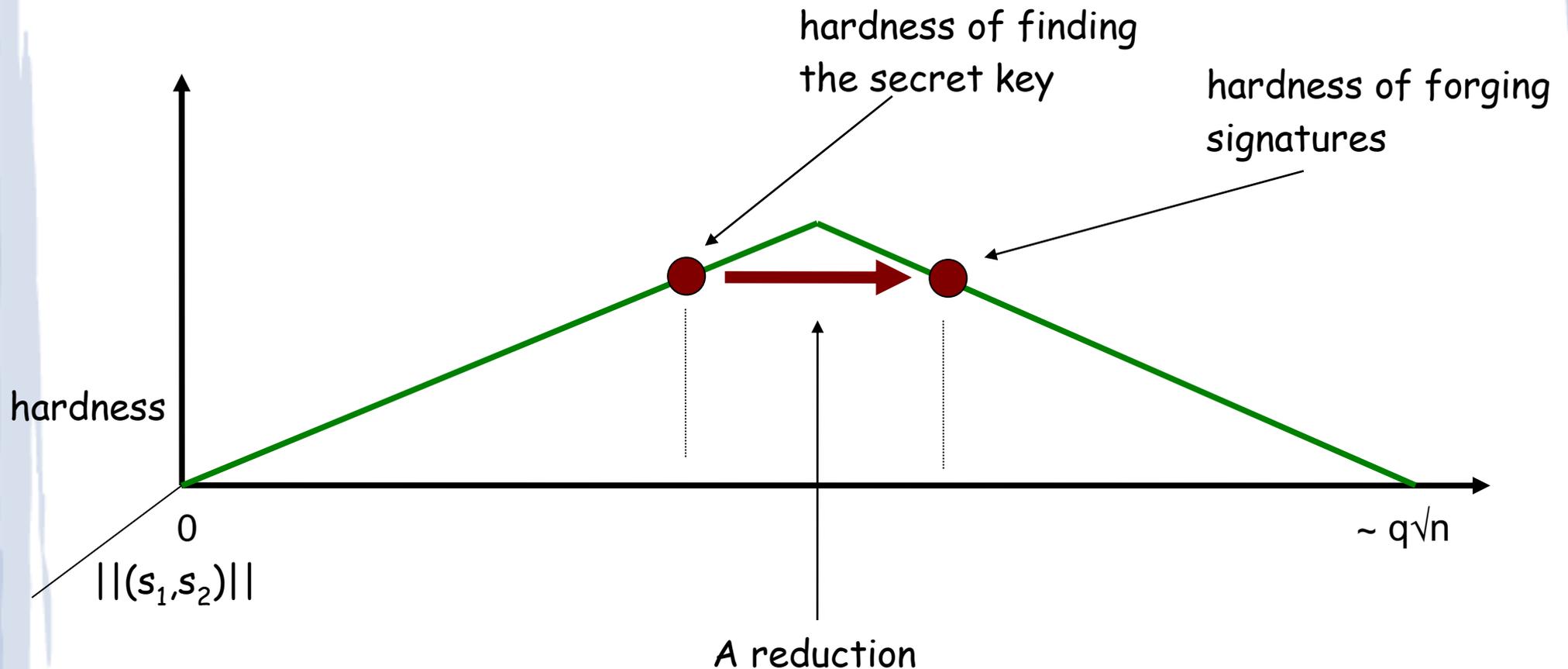
Super High-Level Idea Behind the New Construction

- Previous constructions
- This construction



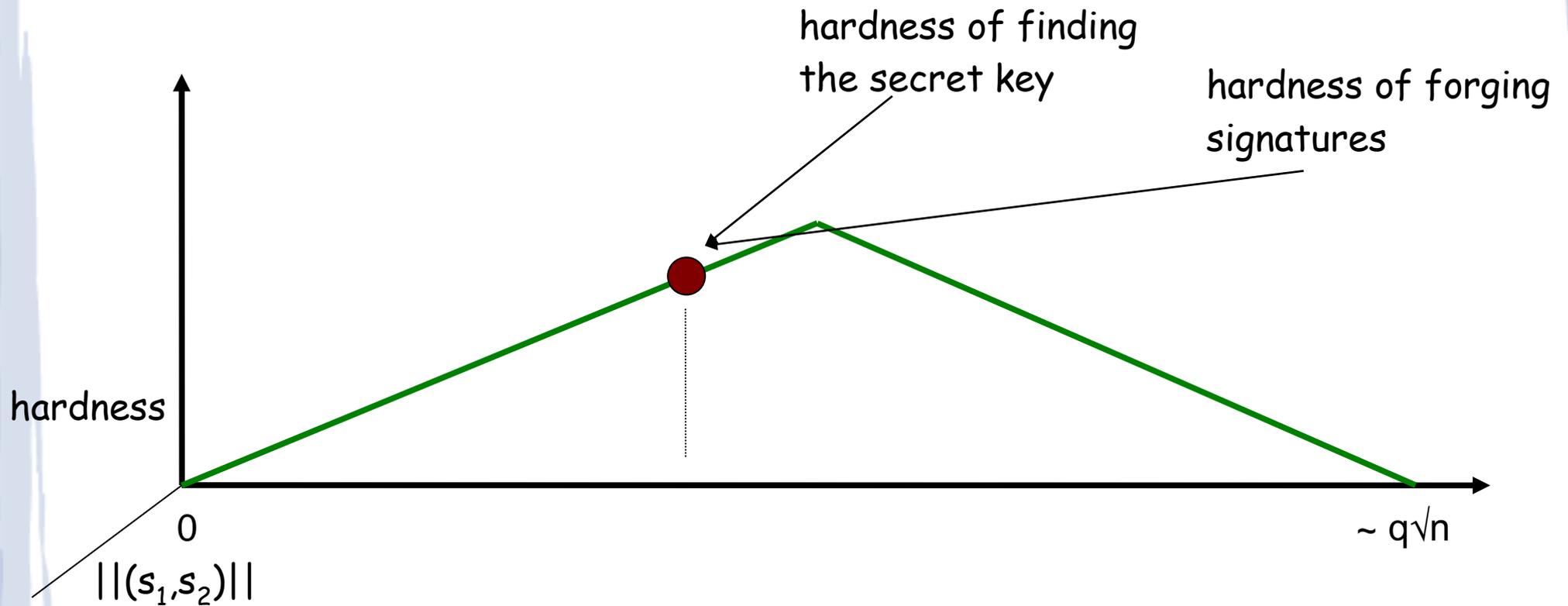
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Super High-Level Idea Behind the New Construction

● This construction



The Ring R

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Elements in R are polynomials of degree $< n$

Coefficients in the range $[-(q-1)/2, (q-1)/2]$

- $R_k = \{ \text{polynomials in } R \text{ with coefficients in the range } [-k, k] \}$

The Compact Knapsack Problem

(The Search Version)

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SCK(k):

- pick random a in R
- pick random s_1, s_2 in R_k
- output $(a, b=as_1 + s_2)$

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Given (a,b) , find s_1, s_2 in R_k such that $as_1 + s_2 = b$

(note: there could be more than one solution)

The Compact Knapsack Problem

(The Decision Version)

The Compact Knapsack Problem

(The Decision Version)

DCK(k):

- pick random a, u in R
- pick random c in $\{0,1\}$
- pick random s_1, s_2 in R_k
- output $(a, b=as_1 + s_2 + cu)$

The Compact Knapsack Problem

(The Decision Version)

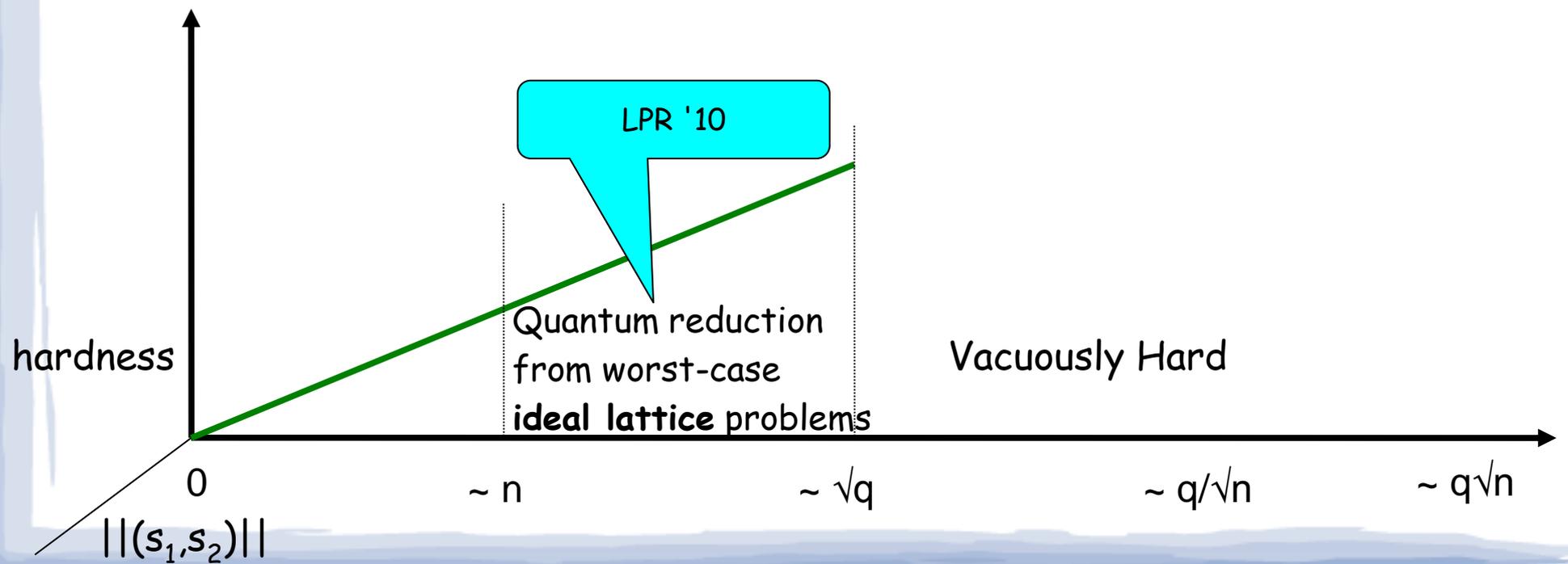
DCK(k):

- pick random a, u in \mathbb{R}
- pick random c in $\{0,1\}$
- pick random s_1, s_2 in \mathbb{R}_k
- output $(a, b=as_1 + s_2 + cu)$

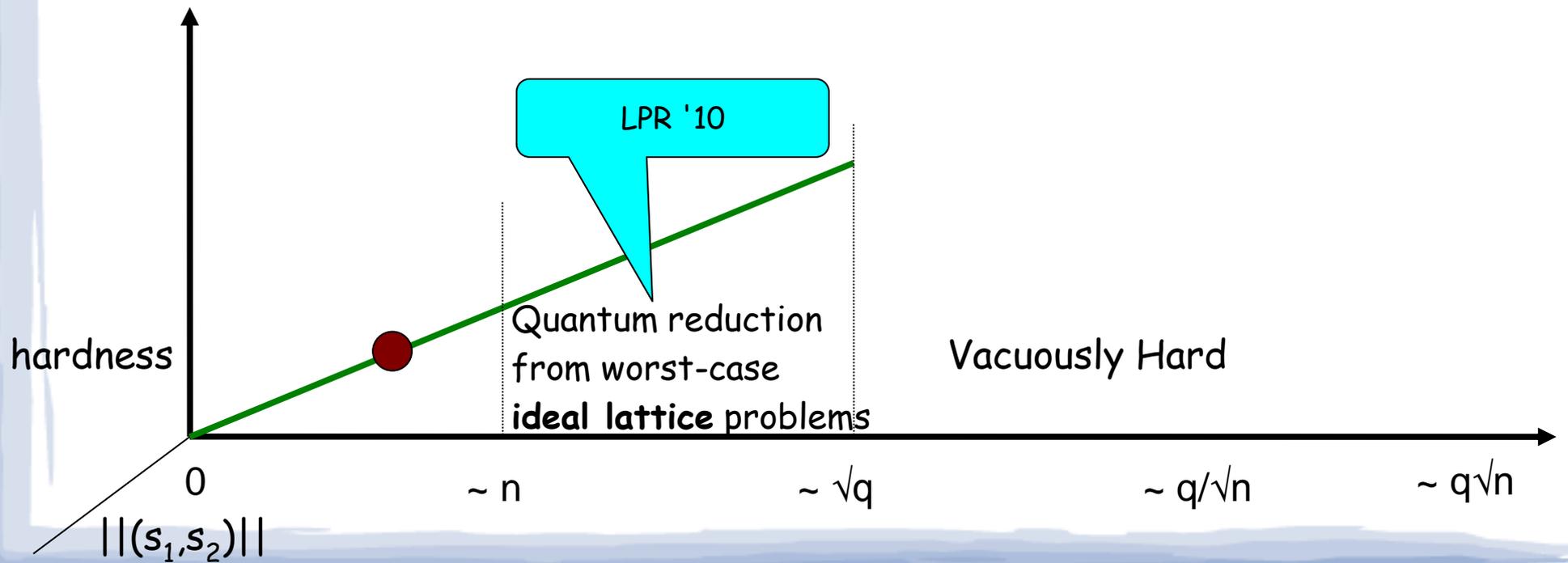
Given (a,b) , find c (be correct with probability $> 1/2$)

- Note: if k is too big, the problem is vacuously hard

Hardness of the Compact Knapsack Problem (Decision Version)



For Added Efficiency ...



The Signature Scheme

The Signature Scheme

sk: s_1, s_2 in R_1 pk: a in R , $b=as_1+s_2$

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2. $c = H(ay_1 + y_2, m)$ Range of H : sparse polynomials in R_1
(at most 32 non-zero elements)

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verify(z_1, z_2, c)

check that z_1, z_2 are in R_{k-32} and $c=H(az_1 + z_2 - bc, m)$

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signature size $\sim n\log(2k)+n\log(2k)+160$

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The Signature Scheme (improved version)

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H only acts on the
"high order bits"

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Security Proof

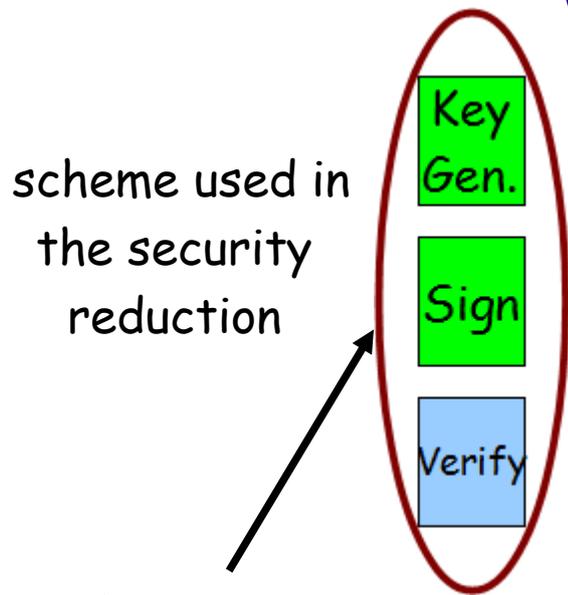
(High Level Idea)

Security Proof

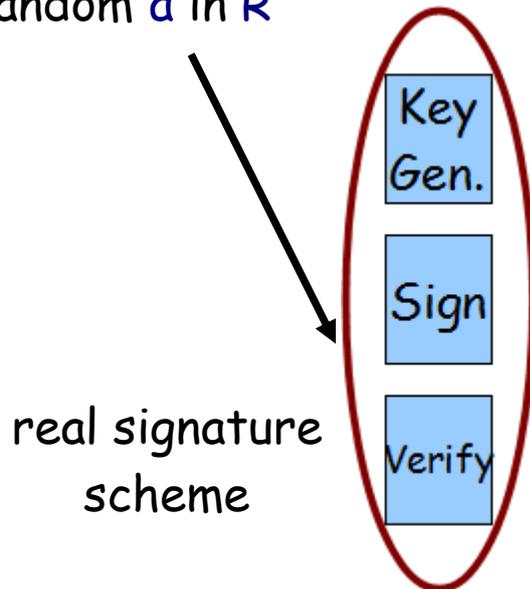
(High Level Idea)

Given random a in R

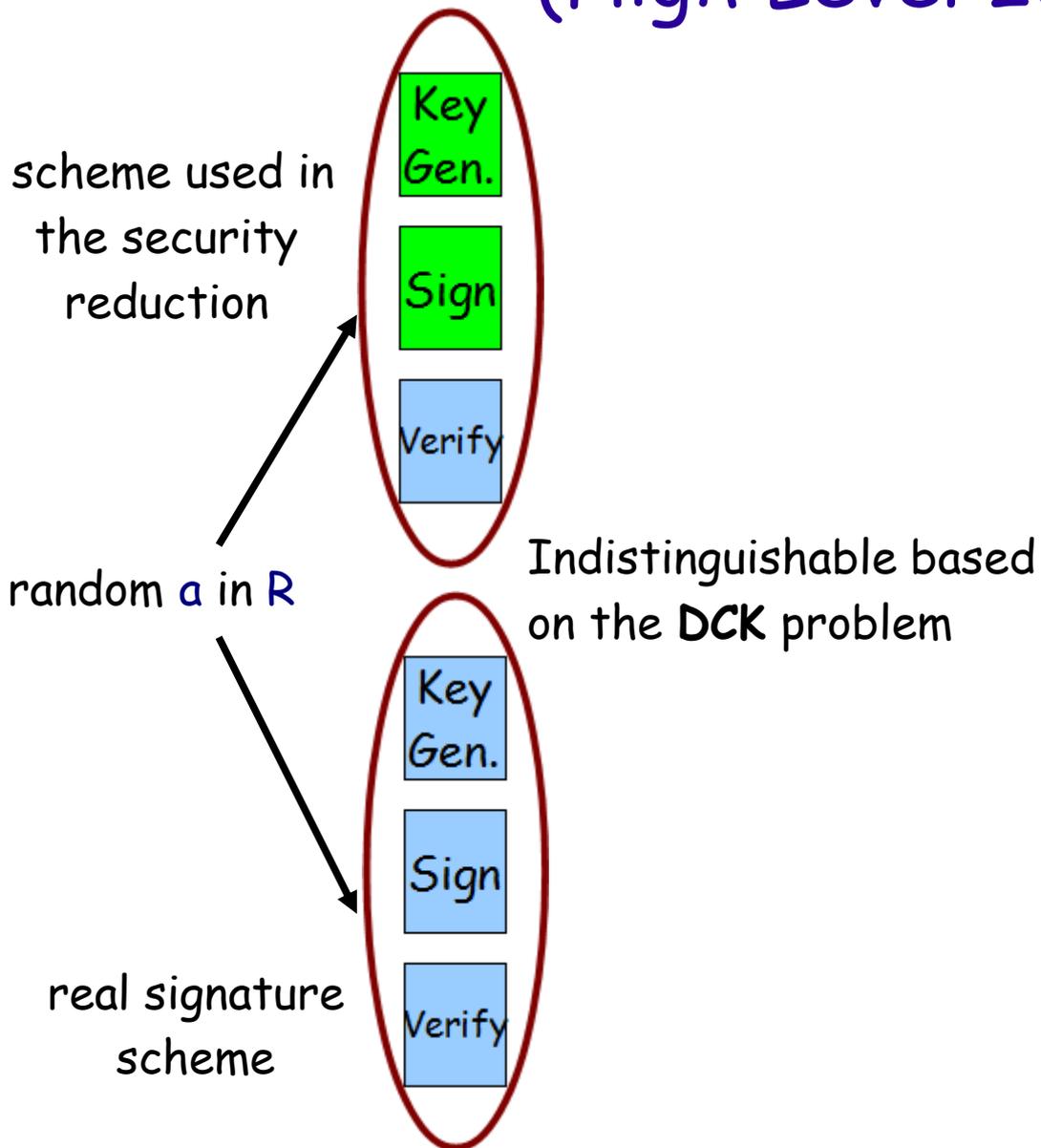
Security Proof (High Level Idea)



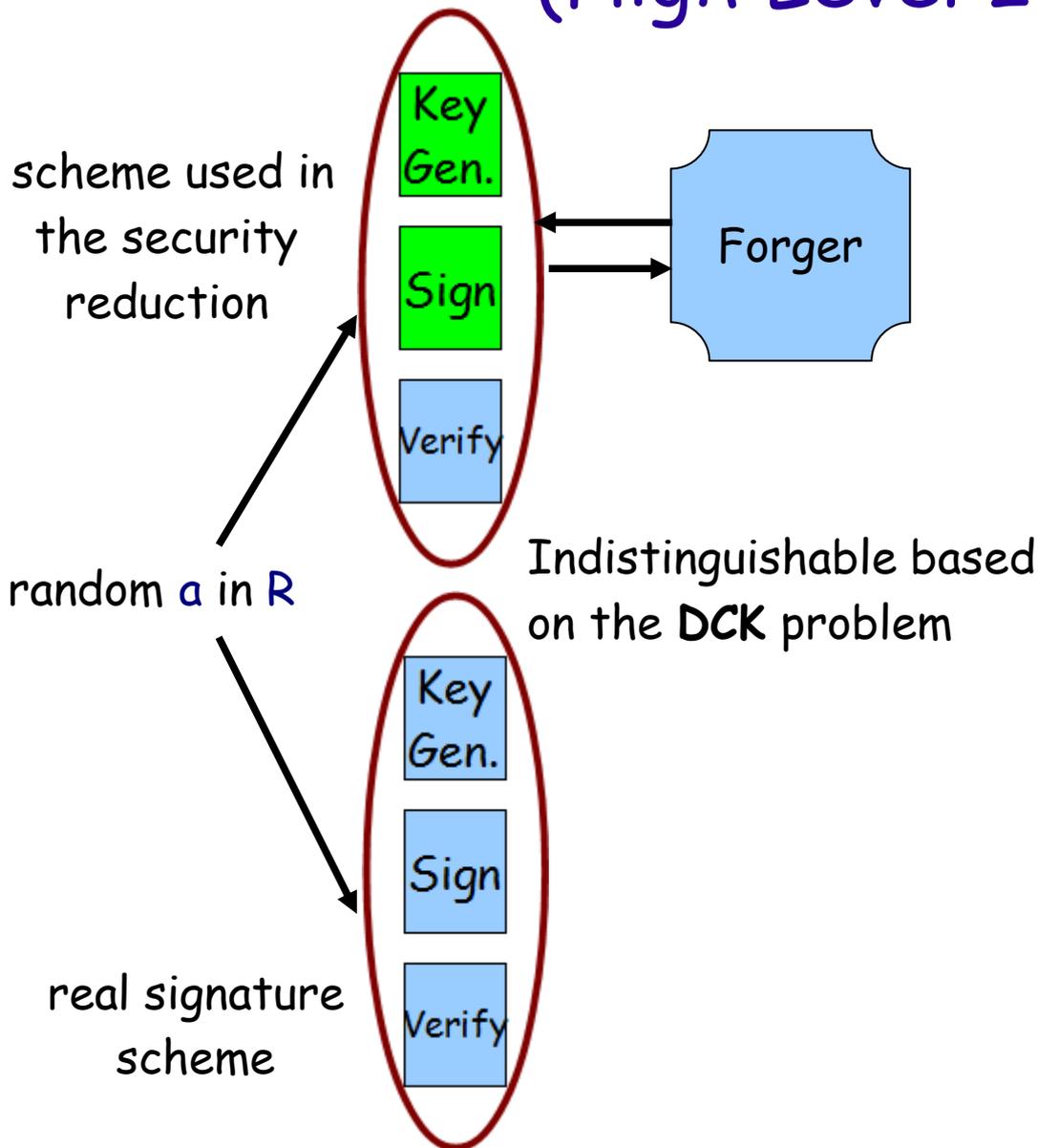
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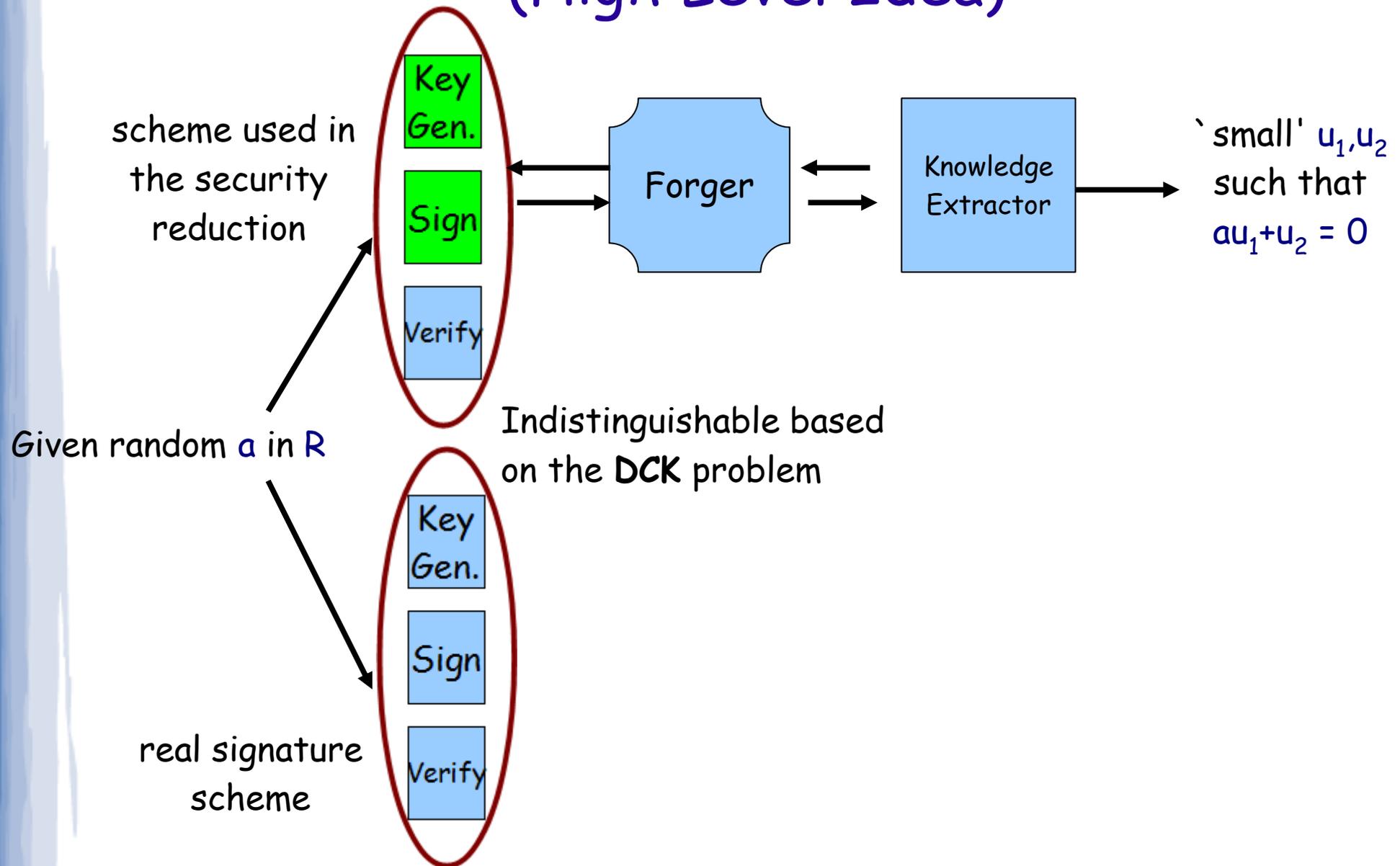
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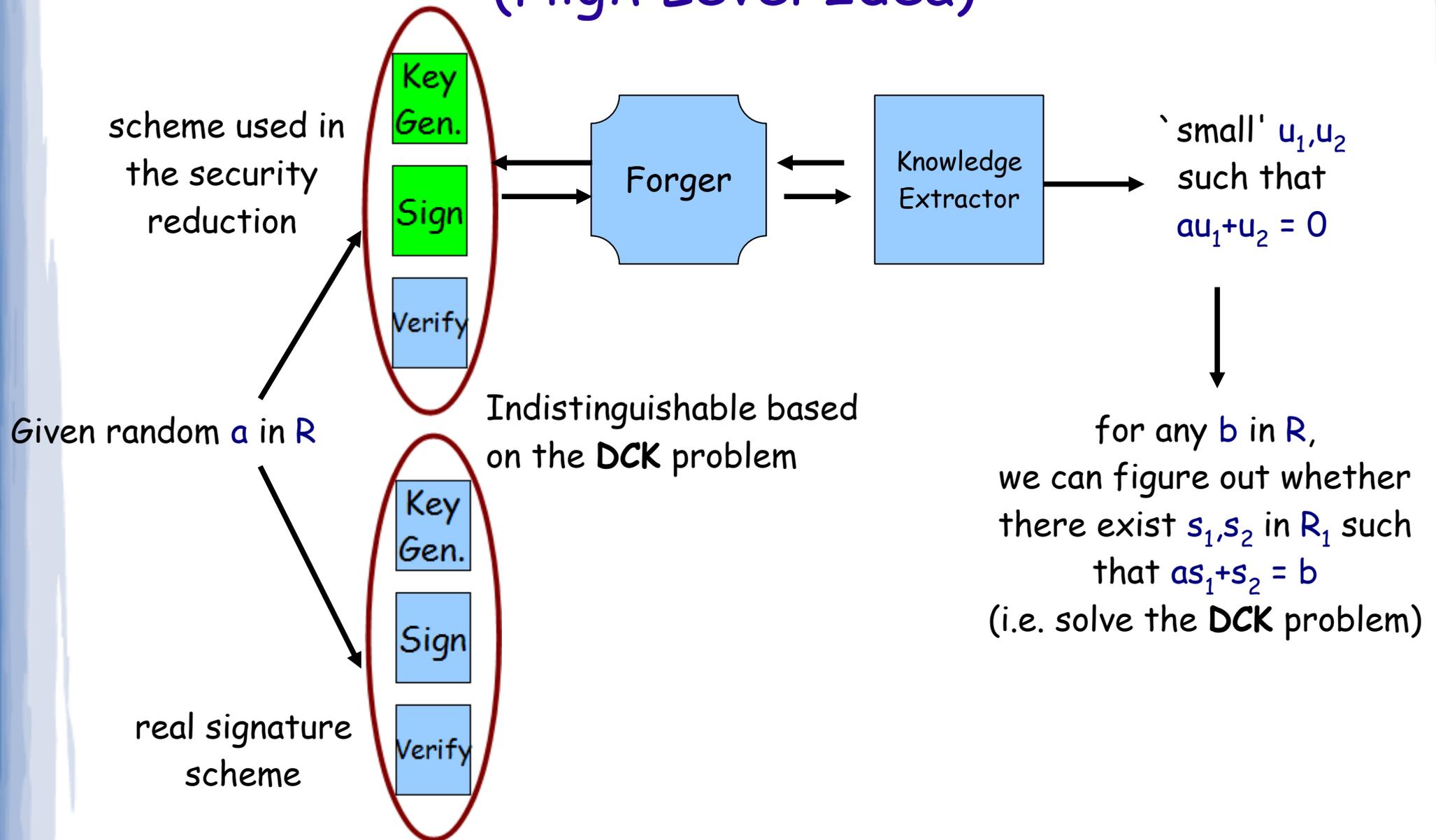
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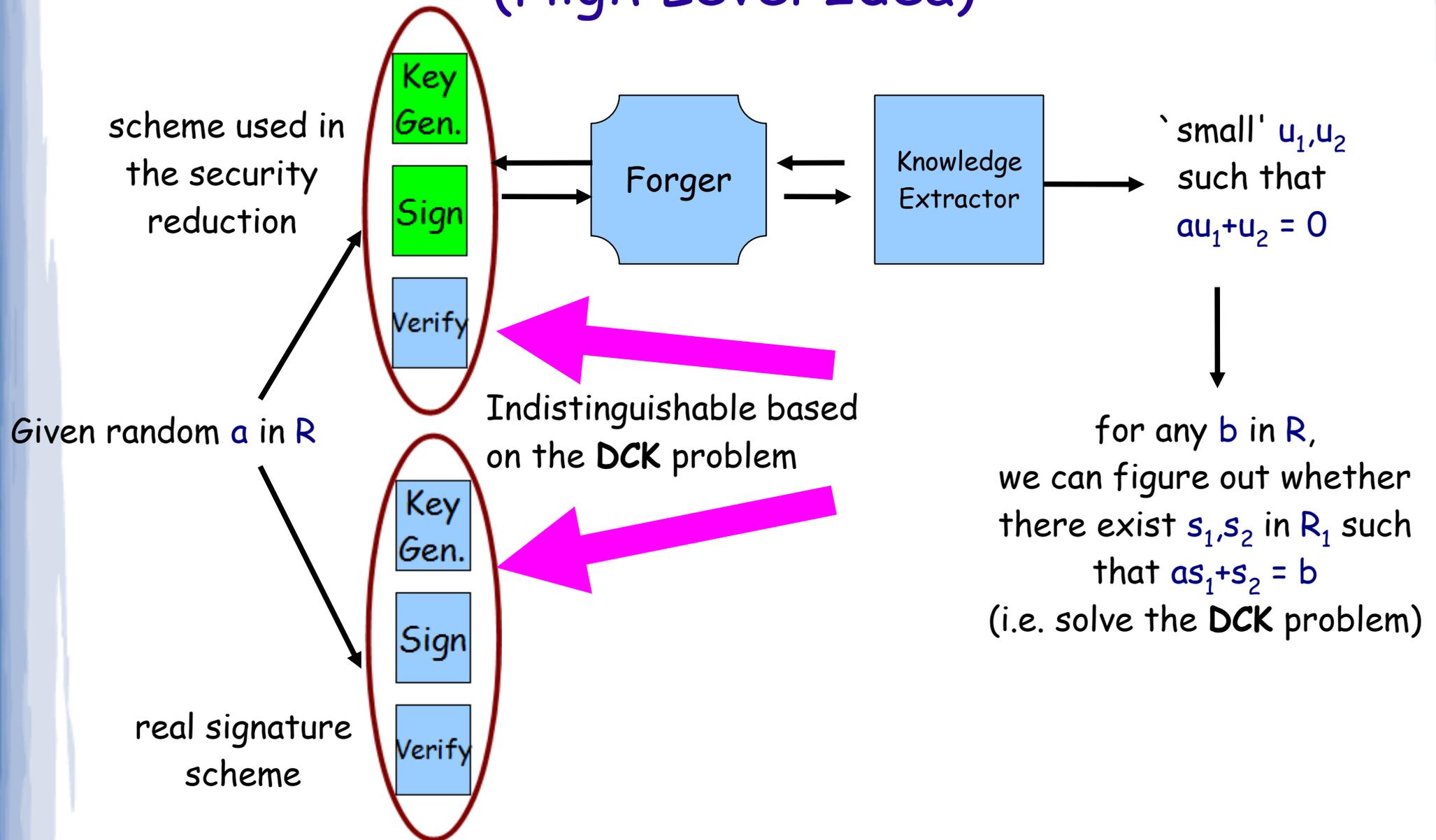
Security Proof (High Level Idea)



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verify(z_1, z_2, c)

check that z_1, z_2 are in R_{k-32} and $c=H(az_1 + z_2 - bc, m)$

Security Proof

sk: s_1, s_2 in R_1 pk: a in R , $b=as_1+s_2$

sign(m)

1. pick random y_1, y_2 in R_k ($k \sim n$)
2. $c = H(ay_1+y_2, m)$
3. $z_1=cs_1+y_1, z_2=cs_2+y_2$
4. if z_1, z_2 are not in R_{k-32} , go back to step 1
5. output (z_1, z_2, c)

sk: s_1, s_2 in R_1 pk: a in R , $b=as_1+s_2$

sign(m)

Pick random c in $\text{Range}(H)$

Pick random z_1, z_2 in R_{k-32}

Program $H(az_1+z_2 - bc, m) = c$

output (z_1, z_2, c)

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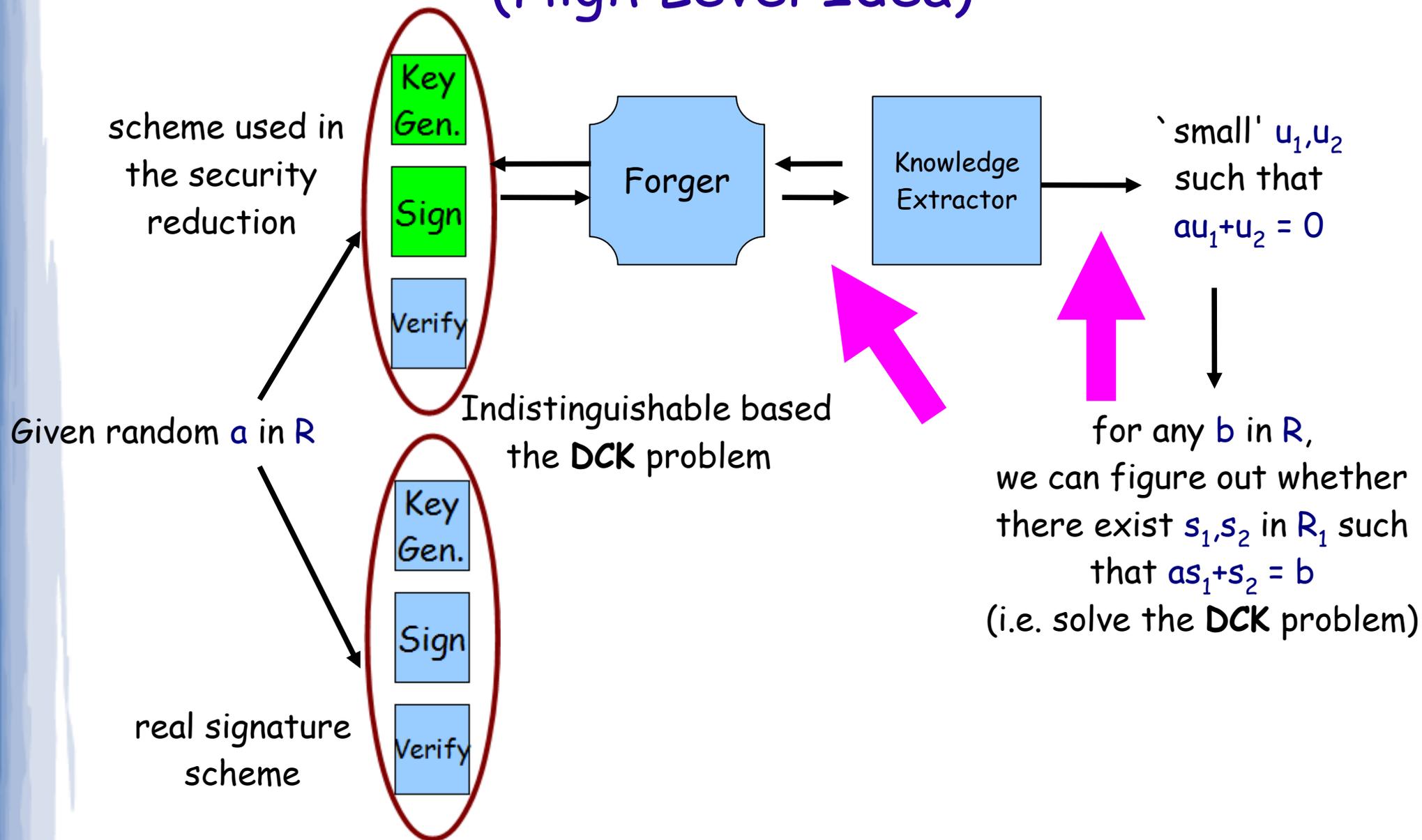
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Security Proof (High Level Idea)



Security Proof

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We can obtain from a forger
two signatures of m

(z_1, z_2, c) and (z'_1, z'_2, c')

such that

$$az_1+z_2 - bc = az'_1+z'_2 - bc'$$

verify(z_1, z_2, c)

check that z_1, z_2 are in R_{k-32} and $c=H(az_1 + z_2 - bc, m)$

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Plugging in $b=as_1+s_2 \dots$

$$a(\underbrace{z_1 - cs_1 - z'_1 + c's_1}_{u_1}) + (\underbrace{z_2 - cs_2 - z'_2 + c's_2}_{u_2}) = 0$$

verify(z_1, z_2, c)

check that z_1, z_2 are in R_{k-32} and $c=H(az_1 + z_2 - bc, m)$

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Plugging in $b=as_1+s_2 \dots$

$$a(z_1 - cs_1 - z'_1 + c's_1) + (z_2 - cs_2 - z'_2 + c's_2) = 0$$

$\underbrace{\hspace{10em}}$

u_1

$\underbrace{\hspace{10em}}$

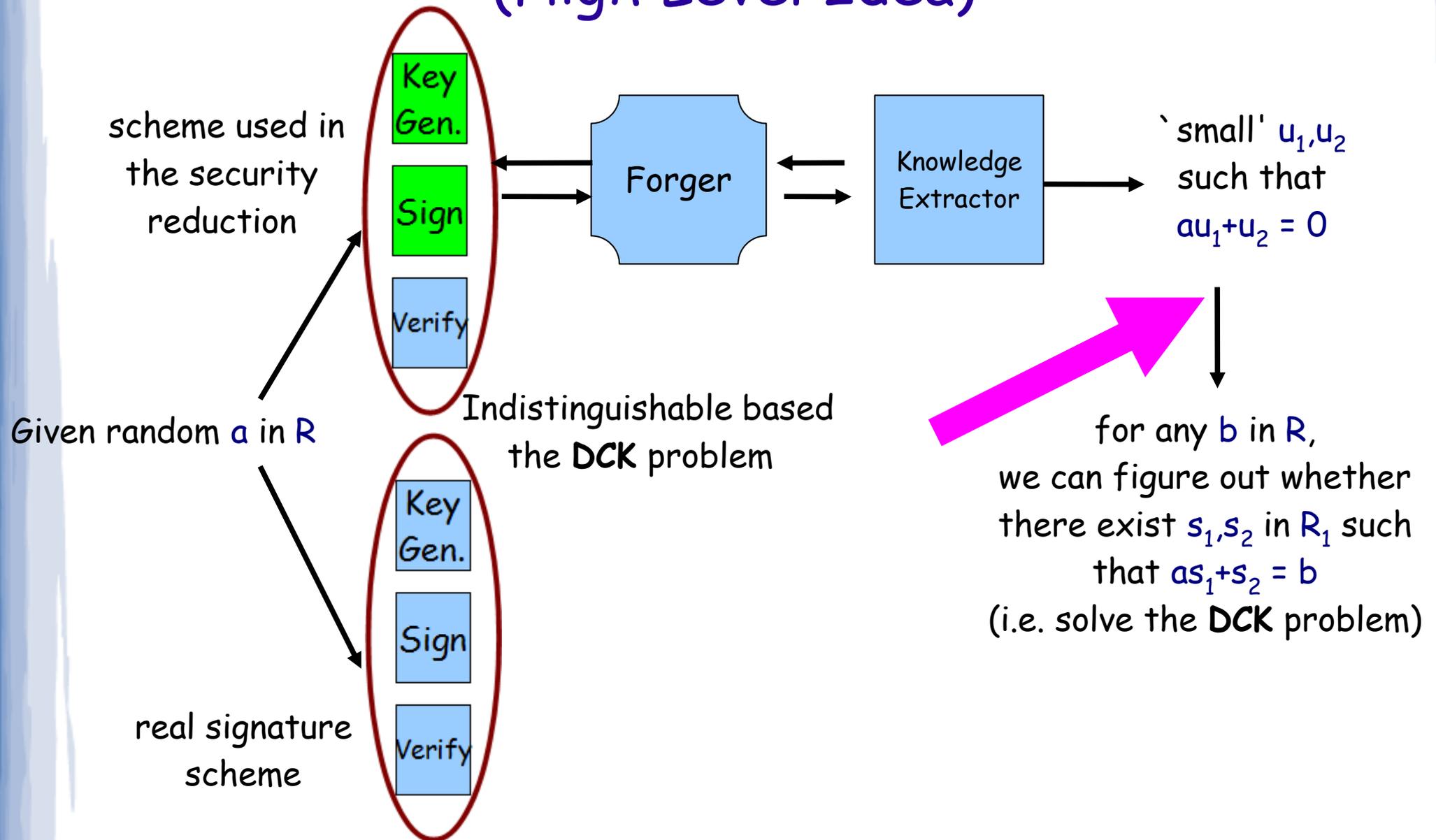
u_2

(Because s_1, s_2 are **not** unique, u_1 and u_2 are not both 0)

verify(z_1, z_2, c)

check that z_1, z_2 are in R_{k-32} and $c=H(az_1 + z_2 - bc, m)$

Security Proof (High Level Idea)



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Given 'small' u_1, u_2 such that $au_1 + u_2 = 0$, one can solve the DCK problem.

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- If $b = as_1 + s_2$ for 'small' s_1, s_2 , then

$$u_1 b = u_1 a s_1 + u_1 s_2 = -u_2 s_1 + u_1 s_2 \text{ is also 'small'}$$

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- If b is random, then the coefficients of

$u_1 b$ are also random (thus probably 'large')

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Thank You!