

Multivariate Public Key Cryptography

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Outline

- 1 Introduction
- 2 Signature schemes
- 3 Encryption schemes
- 4 Challenges

Happy Chinese New Year!



Thank the organizers, in particular, (Alex Myasnikov)².

Thank the generous support of the Charles Phelps Taft Memorial Fund and the Taft Family.

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PKC and Quantum computer

- 25195908475657893494027183240048398571429282126204
03202777713783604366202070759555626401852588078440
69182906412495150821892985591491761845028084891200
72844992687392807287776735971418347270261896375014
97182469116507761337985909570009733045974880842840
17974291006424586918171951187461215151726546322822
16869987549182422433637259085141865462043576798423
38718477444792073993423658482382428119816381501067
48104516603773060562016196762561338441436038339044
14952634432190114657544454178424020924616515723350
77870774981712577246796292638635637328991215483143
81678998850404453640235273819513786365643912120103
97122822120720357

What is this number?

PKC and Quantum computer

- The number for Microsoft updates

PKC and Quantum computer

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- Digital signature based on RSA

- Mathematics behind: integer factorization

$$n = pq.$$

$$15 = 3 \times 5.$$

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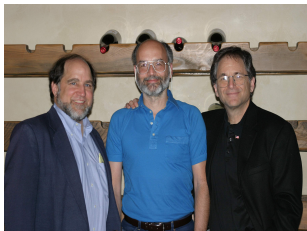
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- The concept behind:

Public key Cryptography

PKC and Quantum computer



RSA – 2003 Turing prize



PKC

Diffie-Hellman – inventors of the idea of

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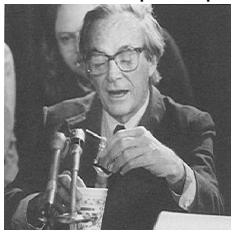
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- One knows how to factor n , one can defeat RSA

PKC and Quantum computer

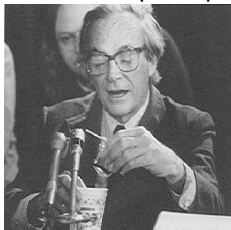
- Quantum computer: using basic particles and quantum mechanics principles to perform computations



R. Feynman

PKC and Quantum computer

- Quantum computer: using basic particles and quantum mechanics principles to perform computations



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- In 1995, Peter Shor at IBM showed theoretically that it can solve a family of mathematical problems including factoring.

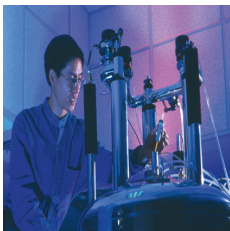


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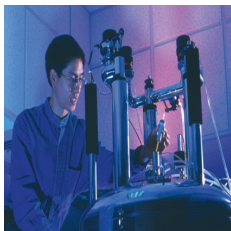


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- The problem of scaling
People have different opinions.

PKC and Quantum computer

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PKC and Quantum computer

- PQC – to prepare for the future of quantum computer world
- Ubiquitous computing world .

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Public key cryptosystems that potentially could resist the future quantum computer attacks. Currently there are 4 main families.

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- 4) Multivariate Public Key Cryptography

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- Multivariate Public Key Cryptosystems
 - *Cryptosystems, whose public keys are a set of multivariate functions*
- The public key is given as:

$$G(x_1, \dots, x_n) = (G_1(x_1, \dots, x_n), \dots, G_m(x_1, \dots, x_n)).$$

Here the G_i are multivariate (x_1, \dots, x_n) polynomials over a finite field.

Encryption

- Any plaintext $M = (x'_1, \dots, x'_n)$ has the ciphertext:

$$G(M) = G(x'_1, \dots, x'_n) = (y'_1, \dots, y'_m).$$

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- To decrypt the ciphertext (y'_1, \dots, y'_m) , one needs to know a secret (**the secret key**), so that one can invert the map to find the plaintext (x'_1, \dots, x'_n) .

Toy example

- We use the finite field $k = GF[2]/(x^2 + x + 1)$ with 2^2 elements.

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- We denote the elements of the field by the set $\{0, 1, 2, 3\}$ to simplify the notation.
Here 0 represent the 0 in k , 1 for 1 , 2 for x , and 3 for $1 + x$.
In this case, $1 + 3 = 2$ and $2 * 3 = 1$.

A toy example



$$G_0(x_1, x_2, x_3) = 1 + x_2 + 2x_0x_2 + 3x_1^2 + 3x_1x_2 + x_2^2$$

$$G_1(x_1, x_2, x_3) = 1 + 3x_0 + 2x_1 + x_2 + x_0^2 + x_0x_1 + 3x_0x_2 + x_1^2$$

$$G_2(x_1, x_2, x_3) = 3x_2 + x_0^2 + 3x_1^2 + x_1x_2 + 3x_2^2$$

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- For example, if the plaintext is: $x_0 = 1$, $x_1 = 2$, $x_2 = 3$, then we can plug into G_1 , G_2 and G_3 to get the ciphertext $y_0 = 0$, $y_1 = 0$, $y_2 = 1$.

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- This is a bijective map and we can invert it easily. This example is based on the Matsumoto-Imai cryptosystem.

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- - *Solving a set of n randomly chosen equations (nonlinear) with n variables is NP-complete, though this does not necessarily ensure the security of the systems.*

Quadratic Constructions

- 1) *Efficiency considerations lead to mainly quadratic constructions.*

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- 2) *Mathematical structure consideration: Any set of high degree polynomial equations can be reduced to a set of quadratic equations.*

$$x_1 x_2 x_3 = 5,$$

is equivalent to

$$\begin{aligned} x_1 x_2 - y &= 0 \\ y x_3 &= 5. \end{aligned}$$

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- Multivariate Public key cryptosystem – Algebraic Geometry – the 20th century mathematics
Algebraic Geometry – Theory of Polynomial Rings

Early works

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- Fast development in the late 1990s.

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Multivariate Signature schemes

- **Public key:**

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- **Signing a hash of a document:**

$$(x_1, \dots, x_n) \in G^{-1}(y_1, \dots, y_m) .$$

- **Verifying:** $(y_1, \dots, y_m) \stackrel{?}{=} G(x_1, \dots, x_n)$.

k , a small finite field.

A toy example over GF(3)

$$\begin{aligned}G_1(x_1, x_2, x_3) &= 1 + x_3 + x_1x_2 + x_3^2 && \text{Hash:} \\G_2(x_1, x_2, x_3) &= 2 + x_1 + 2x_2x_3 + x_2 && (y_1, y_2, y_3) = (0, 1, 1). \\G_3(x_1, x_2, x_3) &= 1 + x_2 + x_1x_3 + x_1^2\end{aligned}$$

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$$\begin{aligned}G_1(2, 0, 1) &= 1 + 1 + 2 \times 0 + 1 = 0 \\G_2(2, 0, 1) &= 2 + 2 + 2 \times 0 \times 1 + 0 = 1 \\G_3(2, 0, 1) &= 1 + 0 + 2 \times 1 + 1 = 1\end{aligned}$$

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- Direct attack: difficulty of solving a set of nonlinear polynomial equations over a finite field.

How to construct G ?

- A scheme by Kipnis, Patarin and Goubin 1999. (Eurocrypt 1999)

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- $G = F \circ L$.
 - F : nonlinear, easy to compute F^{-1} .
 - L : invertible linear, to hide the structure of F .

Unbalanced Oil-vinegar (uov) schemes

- $F = (f_1(x_1, \dots, x_o, x'_1, \dots, x'_v), \dots, f_o(x_1, \dots, x_o, x'_1, \dots, x'_v))$.

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$$f_l(x_1, \dots, x_o, x'_1, \dots, x'_v) = \sum a_{lij} x_i x'_j + \sum b_{lij} x'_i x'_j + \sum c_{li} x_i + \sum d_{li} x'_i + e_l$$

Oil variables: x_1, \dots, x_o .



Vinegar variables: x'_1, \dots, x'_v .

How to invert F?

$$f_l(x_1, \dots, x_o, \underbrace{x'_1, \dots, x'_v}_{\text{fix the values}}) =$$
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- F : linear in Oil variables: x_1, \dots, x_o .

$\implies F$: easy to invert.

Security analysis

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- Direct attacks does not work.

Security analysis

- The mathematical problem to find equivalent secret keys — find the common null subspace spaces of a set of quadratic forms.

$$\begin{array}{cccccc} 0 & .. & 0 & * & .. & * \\ . & . & 0 & * & .. & * \\ . & . & 0 & * & .. & * \\ . & . & . & * & .. & * \\ 0 & .. & 0 & * & .. & * \\ * & .. & * & * & .. & * \\ * & .. & * & * & .. & * \end{array}$$

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- The problem above can also be transformed into solving a set of quadratic equations.

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- Rainbow(18,12,12) over $\text{GF}(2^8)$.

$$F_1 : o_1 = 12, v_1 = 18.$$

$$F_2 : o_2 = 12, v_2 = 12 + 18 = 30.$$

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- | | |
|---------------------------|----------------------|
| Signature 400 bits | Hash 336 bits |
|---------------------------|----------------------|

Implementations

- IC for Rainbow: 804 cycles
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- FPGA implementation by the research group of Professor Paar at Bochum (CHES 2009)
Beat ECC in area and speed.

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- **A good candidate for light-weight crypto for small devices like RFID.**

- UOV: not broken since 1999.
- Rainbow – MinRank problem

Pros and Cons

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- Computationally very efficient
- Large public key size

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- $\bar{K} = k[x]/(g(x))$, a degree n extension of k .
- The standard k -linear invertible map $\phi : \bar{K} \longrightarrow k^n$, and $\phi^{-1} : k^n \longrightarrow \bar{K}$.

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- IP problem.

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Encryption

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- Let $\tilde{F}(x_1, \dots, x_n) = \phi \circ F \circ \phi^{-1}(x_1, \dots, x_n) = (\tilde{F}_1, \dots, \tilde{F}_n)$.
- The $\tilde{F}_i = \tilde{F}_i(x_1, \dots, x_n)$ are quadratic polynomials in n variables. Why quadratic?

$$X^{q^\theta+1} = X^{q^\theta} \times X.$$

Decryption

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- The first toy example is produced by setting $n = 3$ and $\theta = 2$.

Decryption

- The condition: $\gcd(q^\theta + 1, q^n - 1) = 1$, ensures the invertibility of the map for purposes of decryption. It requires that k must be of characteristic 2.
- $F^{-1}(X) = X^t$ such that:

$$t \times (q^\theta + 1) \equiv 1 \pmod{q^n - 1}.$$

- The public key includes the field structure of k , θ and $\bar{F} = (\bar{F}_1, \dots, \bar{F}_n)$. The secret keys are L_1 and L_2 .
- The first toy example is produced by setting $n = 3$ and $\theta = 2$.
- This scheme is defeated by linearization equation method by Patarin 1995.

HFE by Patarin etc

- The only difference from MI is that F is replaced by a new map given by:

$$F(X) = \sum_{i,j=0}^D a_{ij} X^{q^i+q^j} + \sum_{i=0}^D b_i X^{q^i} + c.$$

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- Due to the work of Kipnis and Shamir, Faugere, Joux, D cannot be too small. Therefore, the system is much slower.
- D can not too large due to the inversion of using Berlekamp algorithms of solving one variable equations.

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- Construction – small-scale “noise” is added to the system in a controlled way so as to not fundamentally alter the main structure, but yet substantially increase the “entropy.”

Internal Perturbation

- Let r be a small integer and

$$z_1(x_1, \dots, x_n) = \sum_{j=1}^n \alpha_{j1} x_j + \beta_1$$

⋮

$$z_r(x_1, \dots, x_n) = \sum_{j=1}^n \alpha_{jr} x_j + \beta_r$$

be a set of randomly chosen affine linear functions in the x_i over k^n such that the $z_j - \beta_j$ are linearly independent.

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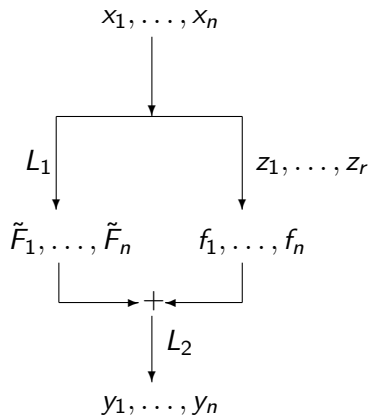
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be a set of randomly chosen affine linear functions in the x_i over k^n such that the $z_j - \beta_j$ are linearly independent.

- We can use these linear functions to create quadratic "perturbation" in HFE (including MI) systems.



■

Figure: Structure of Perturbation of the Matsumoto-Imai System.

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- We need to use Plus Method, **Adding random polynomial**, to help it to resist differential attacks.
- Despite the cost of the search, it is still efficient.

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- IPMHFE+ (odd characteristics)

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Other works

- Quartz – HFEV- – very short signature
- MHFEV- short but much more efficient schemes
- MFE, TTM

Outline

- 1 Introduction
- 2 Signature schemes
- 3 Encryption schemes
- 4 Challenges**

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- Quantum computer attacks?

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Provable security

- A very difficult question
- Some new results are coming out.

New constructions

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Heindl, Gao – Diophantine Equations

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Heindl, Gao – Diophantine Equations
- Other structures

- Thank you very much!
- Questions?